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Article

Responding to Pollution Problems: Conceptual Analysis of Disciplinary Approaches

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Abstract: The scientific community to guide the analysis of pollution problems and solution generation adopts disciplinary approaches. This paper examines monodisciplinary where all attention is given to one element or relationship; multidisciplinary approach where disciplines are considered side by side and usually arranged by an intuitive notion of connections, interdisciplinary approach where disciplines are strongly connected, usually by way of a systematic framework and transdisciplinary approach where different elements of disciplines form a discipline. Conceptual schemes, the causal chain approach and systems approach which are offsprings from the different disciplinary approaches relevant for the development of frameworks for pollution management are examined. The paper ends by proposing adaptive management of complex systems, material flow analysis, cognitive switches in evolutionary approaches for problem analysis and opportunity discovery as the building blocks for the development of frameworks for sustainable pollution management in developing countries.

Keywords: disciplinary approach; pollution management; sustainability; problem analysis; opportunity discovery; policy design

31 **1. Introduction**

32 Pollution problems have reached unprecedented levels in spite of the fact that governments,
33 companies and individuals take corrective and preventive approaches pollution management. The slow
34 progress made in address pollution problems could be attributed to lack of pollution management
35 polices or enforcement of the policies in some cases which are grounded in disciplinary approaches.

36 Attempt my the scientific community to respond to pollution problems has been through the
37 development of frameworks such as Driving, forces, Pressure, State, Impact and Response (DPSIR),
38 Problem-in Context Framework (PiC) which are interdisciplinary tools that are used to communicate
39 knowledge on state of the environment and causal factors related to pollution problems (Svarstad et al.,
40 2008; De Groot, 1998). These frameworks are good at indentifying problems, but there is a unique
41 problem with sustainability when it comes to solving the pollution problems. There is therefore the
42 need to develop a framework within which individual disciplines can provide criteria and indicators
43 related to sustainability, and where possible, use mono, multi and interdisciplinary approaches to
44 respond to pollution problems when required.

45 This paper examines monodisciplinary where all attention is given to one element or relationship,
46 multidisciplinary, interdisciplinary approach and transdisciplinary approach. Overview of conceptual
47 schemes, the causal chain approach and the systems approach which are offsprings from the
48 disciplinary approaches relevant for the development of framework for pollution management are
49 discussed. The paper ends with a focus on building blocks for the development of a framework for
50 pollution management in developing countries. More details on all aspects of the present paper are in
51 the first author's PhD thesis (Tsetse, 2008).

52 **2. Disciplinary Approaches**

53 One of the major outcomes of the change in global environmental consciousness witnessed over the
54 past three decades was its effect on the various disciplines of science. This change resulted in an
55 academic process that led to different approaches to environmental problems. The response has been a
56 two-way process that helped the environmental debate to benefit from insights of sciences, and for the
57 scientific community to learn from their attempt to rise to the environmental challenge.

58 *2.1. The Monodisciplinary Approach*

59 The monodisciplinary approach originated within the domains of the different disciplines, leading
60 to specialized areas within many of them (Bromme, 2000). Fields such as environmental economics,
61 environmental engineering, environmental law and environmental biology are the outcome of
62 monodisciplinary approach. Today, specialised environmental disciplines constitute the core elements
63 of environmental education and research of major educational institutions around the world.

64 The monodisciplinary approaches are extensions of the basic principles and theories of the
65 disciplinary domains towards the field of the environment, which is inherently an area of complexity.
66 This complexity leads to two major constraints of the mono-disciplinary approach. First, as a means of
67 understanding the root causes of the environmental crisis, none of the disciplines can provide full

68 insight in environmental problems. The second is that solutions generated within the disciplinary
69 domains usually have a quite limited scope of application.

70 Notwithstanding these constraints, the monodisciplinary approaches to environmental issues have
71 been important for three main reasons. First, they have significantly expanded the knowledge about the
72 different aspects of environmental issues. Typical achievements of the monodisciplinary approach are
73 the dose-effect relationship models and other stand-alone models developed for social, ecological and
74 economic disciplines. Second, this approach has exposed some of the basic assumptions of the
75 traditions of science to critical examination. This has resulted in the questioning of assumptions
76 thereby creating a forum for research that extends well beyond the traditional environmental problems.
77 Finally, the impossibility of the mono-disciplinary approach to fully understand, let alone resolve,
78 most of the environmental problems has opened doors for interdisciplinary dialogue.

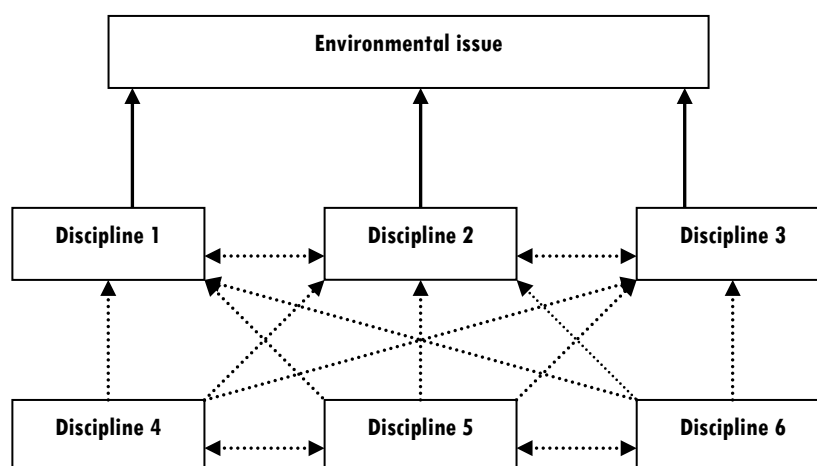
79 2.2. The Multidisciplinary Approach

80 The multidisciplinary approach is where more than one discipline is connected side by side to deal
81 with a particular issue without coming to a result that is significantly more than the sum of the
82 disciplinary contributions (De Groot, 1992).

83 This approach bring additional strength to the several disciplines in question and the strenght is
84 always in the exclusive services of the the home discipline. In otherward, mutidisciplinary approach
85 overflows disciplinary boundaries while its goal remain limited to the framework of disciplinary
86 approach.(Polimeni, 1999; Nicolescu, 2005).

87 In the multidisciplinary approach, disciplines are connected but only weakly, as shown in Figure 1,
88 where the arrows represent the contribution of each dsicpline to the ennvionmental issue while the
89 dotted line show the weak interconnections between the disciplines.

90 **Figure 1.** Side by side connection of disciplines [adopted from Tsetse, (2008)].



91

92 2.3. The Interdisciplinary Approach

93 The environmental issues that are too complex to be treated within the scope of the different
94 monodisciplines led to the evolution of the multidisciplinary approach. This in turn, led to the
95 interdisciplinary approach in environmental education and research, exemplified by the establishment

96 of many interdisciplinary environmental education and research centres at academic institutions. With
97 the interdisciplinary approach, there is a strong connection between the contributing disciplines such
98 that the result is more than the sum of the parts (Salter and Hearn, 1997; De Mey, 2000; Palmer, 2002).
99 Thus, interdisciplinary approach is concerned with the transfer of methods from one discipline to
100 another, but its goal remain within the framework of disciplinary research (Klein, 1990; Necolescu,
101 2005; Marilyn and Dennis, 2004).

102 A key move in the interdisciplinary approach is the transfer and adaptation of methodologies from
103 one disciplinary area to another, but without the presence of an overarching body of theory, which
104 results in boundaries between disciplines affecting how information is used and knowledge constructed
105 (Easton, 1991; Benowitz, 1995; Jain Qin, et al., 1996; Palmer, 2002). This has led to a large extent to a
106 mechanistic combination of concepts and tools generated under the different disciplinary domains.
107 Much attention was therefore given to how the disciplinary contributions might be connected, and at
108 what point in the analysis and solution of environmental problems. Following the causal routes of
109 human actions and especially of changes in the environment (e.g. pollution pathways) gave rise to the
110 most characteristic achievements of the interdisciplinary approach, which are the interdisciplinary
111 frameworks such as Life Cycle Assessment, Environmental Impact Assessment and the Problem-in-
112 Context framework.

113 In this approach, limitations that are observed within the independent disciplines are often
114 transferred to the interdisciplinary approach. The two main criticisms are:

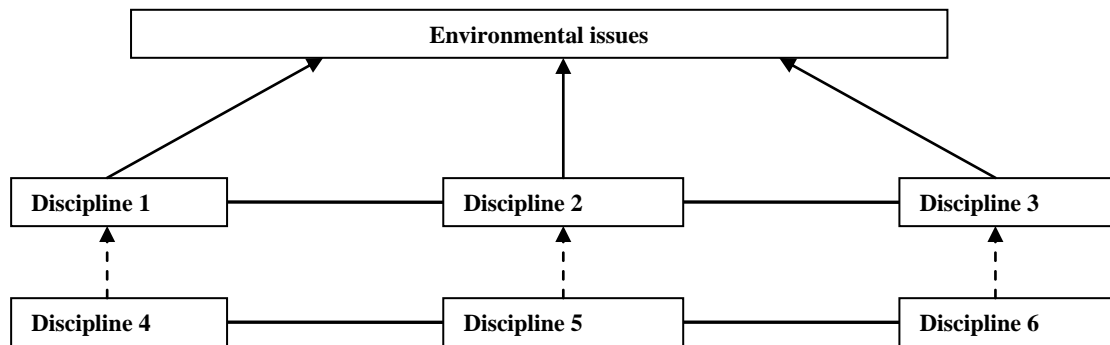
- 115 • Interdisciplinary approaches remain shallow; they do not address root causes of environmental
116 problems.
- 117 • Interdisciplinary approaches and frameworks remain dominated by monodisciplinary lines of
118 thought such as ecological or economic.

119 These criticisms may be true indeed for many framework applications in practice. Applicant
120 institutions are often dominated by certain disciplines (leading to one-sided application) and often shy
121 away from addressing root causes. This may not be inherent in (all) frameworks themselves, however.
122 Problem-in-Context (PiC), for instance, offers an avenue to identify root causes and fully embraces the
123 natural, social and normative sciences (De Groot, 1992).

124 It has been said that although the interdisciplinary approaches try to be inclusive, the frameworks
125 often remain anchored within one or another disciplinary domain (Palmer, 2002), and although the
126 interdisciplinary efforts gave rise to useful scientific metaphors and models such as the
127 pressure/state/impact model and models of metabolism, they have essentially resulted in an integration
128 of methods rather than the forging of substantive theories (Leroy, 1997; Metzger, 1999; De Mey, 2000;
129 Bromme, 2000). This appears to be true indeed. The frameworks, efficient as they are to arrive at
130 practical solutions to concrete problems, do not challenge the researcher to develop new substantive
131 concepts. The frameworks produce analyses and solutions by connecting existing disciplines (see
132 Figure 2). Even though the frameworks, taken together might amount to a new 'discipline for
133 interdisciplinarity' (De Groot, 1992), this new discipline remains only methodological. This has given
134 rise to the transdisciplinary approach.

135

136 **Figure 2.** Strong connections between disciplines in an interdisciplinary approach [adopted
 137 from Tsetse, (2008)].



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139 2.4. The Transdisciplinary Approach

140 Several definitions of transdisciplinary approach exist (Guimaraes and Funtowicz, 2006) but in this
 141 research it is described as a form of disciplinary approach in which boundaries between and beyond
 142 disciplines are transcended and knowledge and perspectives from different scientific disciplines as
 143 well as non-scientific sources are integrated (Finterman et al., 2001; Klien et al.,2001; Guimaraes and
 144 Funtowicz, 2006; Gibbons and Nowotny, 2001; Necolescu, 1987; 1999; 2001, 2005).

145 According to the transdisciplinary approach, the scientific approaches to environmental problems
 146 examined above present little fundamental understanding for the management of the environment. This
 147 is due to the fact that environmental problems are complex and dynamic subjects that essentially fall
 148 beyond the reach of the reductionist scientific thinking, even if the parts are connected by way of
 149 systematic frameworks. Scientific understanding of environmental problems such as pollution requires
 150 overcoming the limitations of the reductionistic approach that is inherent in our mainstream way of
 151 thinking. This implies the need for a change in paradigm (Klien et al.,2001; Nicolescu, 1987; 1999;
 152 2001;2005).

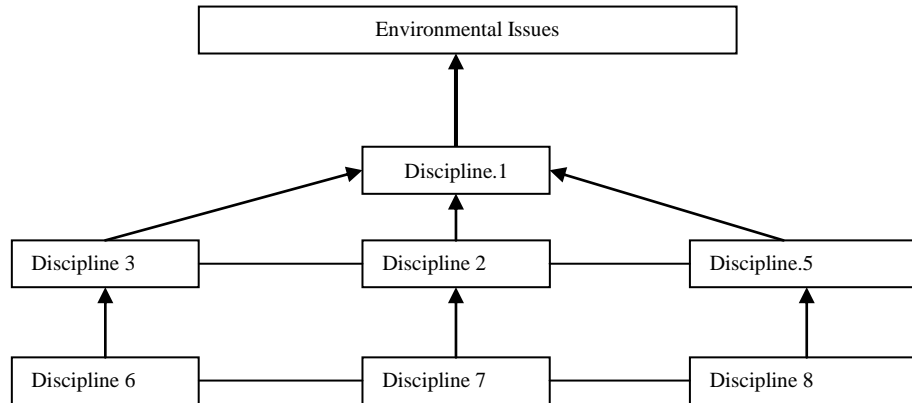
153 A paradigm is a cultural pattern of doing science, consisting of a cognitive, a perceptual and a
 154 behavioural framework (Van der Vorst, 1997). The disciplinary approaches examined, if considered
 155 individually over a temporal scale, will show an evolutionary pattern of paradigms for managing
 156 environmental problems. The outcome of the shift in reductionistic approaches is the transdisciplinary
 157 approach that is based especially on system thinking.

158 The transdisciplinary view arose in order to get away from the superficial notion of disciplinarity,
 159 which has not been able to solve environmental problems effectively despite the huge efforts over the
 160 last 20 years. According to the International Centre for Transdisciplinary Studies and Research (1999):
 161 “Transdisciplinarity is not concerned with the simple transfer of a model from one branch of
 162 knowledge to another, but rather with the study of isomorphism between the different domains of
 163 knowledge”. Transdisciplinarity aims at forging the flow of information circulating between the
 164 various branches of knowledge and discipline, permitting the emergence of unity amidst the diversity
 165 (Necolescu, 1987; Polimeni, 1999; 2001; 2006). Its objective is to lay bare the nature and
 166 characteristics of this flow of information and its principal task is the elaboration of a new language

167 and new concepts to permit the emergence of a real dialogue between specialists in the different
 168 domains of knowledge.

169 Transdisciplinarity is therefore the linkage of several different disciplines at a higher hierarchical
 170 level that are bridged and fused together with the help of a concept that is capable of propelling the
 171 evolution of a new discipline (see Figure 3).

172 **Figure 3.** Conceptual explanation of disciplines considered within a boundary [adopted
 173 from Tsetse, (2008)].



174 The main feature of the transdisciplinary approach is its cross-sectional nature running through all
 175 disciplinary domains, which looks at the dynamic interrelationships between domains to generate
 176 solutions with maximum synergistic effect. Most importantly, the transdisciplinary view does not
 177 dissociate itself from the disciplinary domain but rather works within each domain serving as the
 178 synthesizing thread of action in the approach to environmental issues.

180 Transdisciplinary approach has evolved to address problems as sustainability and environmental
 181 governance through the integration of scientific and non-scientific sources (and types) of knowledge in
 182 the identification of, formulation and resolution of problems (Necolescu, 1999).

183 Prime examples of the outcomes of the transdisciplinary approach are the adaptive management
 184 approach, system evolution thinking, and resilience thinking in pollution problem identification,
 185 opportunity discovery and development of a pollution management strategy.

186 3. Offspring of the Disciplinary Approaches

187 This section examines the offspring of the various disciplinary approaches that are relevant for the
 188 conceptual development of frameworks.

189 3.1. Offsprings of the Monodisciplinary Approach

190 Typical results of the monodisciplinary approach are, for instance, the many dose-effect
 191 relationships between human action and the environment established by environmental biology and
 192 other natural sciences, the insight in environmental movements gained by environmental sociology and

193 the interpretation of environmental jurisprudence by environmental law. All of this knowledge is of
 194 obvious relevance to pollution management but its system level compared to environmental problems
 195 as a whole is too low to be expressed in the generic framework that this present study seeks to develop.
 196 We therefore move straight to offspring of the other approaches discussed in the previous section.

197 3.2. Overview Offsprings of Multidisciplinary Approaches

198 Multidisciplinary approaches have the natural urge to put side by side the contributions of the various
 199 disciplines in a systematic manner. Tsetse (2008) presents two of those multidisciplinary overview
 200 schemes that are of special relevance for the OPiC framework. The schemes are the CPSH+PR
 201 classification of environmental functions and the classification of participatory research methods.

202 (i) The CPSH+PR Classification of Functions of the Environment

203 Functions of the environment can be used as a classifying concept to make a systematic analysis of
 204 everything the environment means to people and nature in a particular context. Such a classification
 205 can support a problem analysis in complex cases (e.g. covering a whole region), or act as a basis for
 206 economic valuation of the environment. The classification presented below is adapted from De Groot
 207 (1992). It lists the major tasks performed by the environment as a result of contributions from several
 208 disciplines. ‘CPSH+PR’ stands for the first letter of the different functions of the environment which
 209 are presented as listed in Table 1. The plus sign in-between indicates that the last two functions
 210 causally underlie the first four; care should therefore be taken to avoid double-counting when applying
 211 the full list. The CPSH+PR classification should be employed as a tool for pollution problem analysis
 212 in any framework for pollution management (Tsetse, 2008).

213 **Table 1.** CPSH+PR classification showing contributions from disciplines. (Adapted from
 214 De Groot, 1992).

Function	Disciplines	Characteristics
Carrying functions	Anthropology, waste management, construction, transportation etc.	Characterised by the environment providing space and substrate to contain human activities.
Production functions	Fisheries and aquaculture, energy, agriculture and nutrition, water, forestry and agroforestry, medicine etc.	Joint production functions are characterised by that human inputs are a dominant factor. In natural production functions, on the other hand, humans only harvest what the environment produces.
Signification functions	Geology, history, biology, culture, philosophy etc.	The environment produces and human beings are the beneficiaries in the cognitive and spiritual realms. (Science, play, spiritual participation etc.)
Habitat functions	Biology, culture, philosophy etc.	Provides ecological home to non-human valuable inhabitants of the earth.
Processing functions	Geography, biochemistry, hydrology etc.	Relationship in which human beings benefit from the capacity of the environment (e.g. processing, dilution and transformation of waste)
Regulation functions	Hydrology, soil science, entomology, physics etc	Refer to the capacity of the components of the environment to dampen and shield harmful influences from other components of the environment.

216 (ii) *Participatory Rural Appraisal Methods*

217 Participatory rural appraisal (PRA) methods are used to analyse local people's understanding of
 218 environmental issues and the way it is managed. Two central characteristics of this method are the
 219 pursuit for optimal ignorance and the use of triangulation, emphasising a diversity of sources and
 220 means for gathering data. Participatory rural appraisal methods focus on local people's analytical
 221 capabilities, local and traditional knowledge systems in environmental management (Mitchell, 2002);
 222 see Table 1. Natural sciences such as agronomics and ecology often play a role here too, supporting
 223 the development of discussion issues and the understanding of what people are saying.

224 In participatory rural appraisal methods, the role of the outsider is one of a facilitator rather than
 225 one of an expert. Other key features of participatory rural appraisal methods are participatory and
 226 empowerment of local people and the development of location action and institutions. Behaviour
 227 change and experiential training are the main innovations that result from the use of this method.
 228 Participatory rural appraisal methods need to be applied both in the problem identification and
 229 opportunity discovery of a framework that will effectively address pollution problems (Tsetse, 2008).

230 **Table 2.** Participatory Rural Appraisal methods showing contribution from several
 231 disciplines [adopted from Tsetse, (2008)].

Tools and methods	Disciplines	Characteristics
Secondary sources	Anthropology, history, culture, philosophy, environmental ethics, etc.	Include books, journals, reports, maps, news paper stories, project documents, photographs used to identify important issues and potential data sources and key people to contact
Visual models	Mathematics, sociology, anthropology, etc.	Include participatory modelling- local people use ground, paper or other materials to construct social, demographic or resource maps showing ownership, shared uses, existing pattern of uses and capacity of different uses. Other tools are transect walks, seasonal calendars, institutional Venn diagrams etc., identifying important actors and their relationship depicted, timelines and trend/change analysis.
Income and Expenditure Matrix and Wealth Ranking	Economics, sociology, mathematics etc.	Identify and quantify the relative importance of different sources of income and expenditures on basic needs, to investigate perceptions of wealth differences in a community. To identify and understand local indicators and criteria of wealth and well-being, to map the relative position of households in a community.
Semi-structured interviews	Sociology, anthropology etc	Conducted in the usual surroundings of the informant without a questionnaire but key ideas and formation taken. This can be conducted for individuals or groups in the form of focus group discussions.
Workshops	Sociology, anthropology etc.	The data collector meets with informants to examine information collected, share analysis and interpretations, consider opportunities and possible actions and search for preferred initiatives.
Direct observation	Sociology, ecology, anthropology etc	Involves systematic observation of events, processes, relationships and patterns to verify insights obtained from secondary sources and from semi-structured interviews.

232 3.3. *Offspring of Interdisciplinary Approach*

233 The causal chain approach, which typical offspring from interdisciplinarity takes its roots from the
234 law of universal determinism that every event has a cause but the functional relationship between the
235 events is not necessarily deterministic and what is important is when two events belong to one causal
236 chain, the earlier may be said to “cause” the latter (Harpaz, 1996).

237 Causal chain approach is a typical offspring from interdisciplinarity because the chains connect the
238 disciplinary fields and are understood not merely as one event having one cause, but also as one event
239 having more causes. A causal relationship means that variables at a certain point in time are affected
240 by others, at earlier points in time, in a material flow or behavioural adjustment (Faber and Proops,
241 1990). Causal chain approaches concentrate on issues that connect the elements into a relationship to
242 help define a link between the cause and effect of events. The most important thing is how or what is
243 the effect or outcome in a particular situation and through what mechanism the causal link works.

244 Causal chain approaches link the causes of problems to their effects with lines without boundaries
245 in the form of causal ‘stories’ that never end. The application of the causal chain approach in
246 environmental problems analysis identifies two main causal lines, the causal line of facts or effects and
247 the causal line of values or norms (De Groot, 1998), which run parallel to each other and may be
248 compared to assess the environmental problem. Even though the first is empirical and the latter
249 normative, both involve the interpretation of reality. The functional relationship between cause-effect
250 may be either empirical in the form of correlations (associations) or theoretical (causation) in the form
251 of a generic relationship based on knowledge of the phenomena involved. In environmental cause-
252 effect relationships the phenomena are physical and social. For instance, the policies being imposed on
253 developing countries by international donor organisations cause social effects, which influence human
254 land use activities, which also influence environmental parameters and finally human parameters such
255 as health and economy. These, jointly with various values and norms (such as economic values and
256 health standards) determine the character and magnitude of environmental problems.

257 Causal chain approaches tend to discard the exact ingredients of the meaning of an event since in
258 most cases we fail because of their complexity. This has been the basis for criticizing the causal chain
259 concept in that it ignores the social context where people acquire information about events to
260 determine their meaning. The basis for this critique is first, that the detailed information of the cause of
261 a particular event does not seem to have a critical role to play in the causal connection between events.
262 Second, that the causal chain theory ignores critical thinking since there is no idea that will help verify
263 the event and also contribute to understanding the complex detail of events in the causal chain
264 (Harpaz, 1996). Tsetse, (2008) disagrees with this critique to some extent because as soon as actors are
265 involved in causal chains (i.e. when people respond to environmental change or actions of other
266 actors), their interpretation of these events is exactly what triggers their responses.

267 A key feature of causal chain approaches is that they do not have defined geographic or system
268 boundaries. This is because the factors influencing responses in the chain are both within and beyond
269 any predefined and bounded ecosystem or society. This therefore, calls for appropriate attention to the
270 movement of people, resources and ideas across to whatever boundaries ecosystem, society, and
271 cultures are thought to have, and may imply dealing with loose, transient and contingent interactions
272 rather than focusing only on system responses (Vayda, 1983).

273 Causal chain approaches view the world as a series of conversion processes, linked together by
 274 inputs and outputs that do not need to address the question of system or geographic boundaries. The
 275 causal chain of processes is endless. However, they have the environmental problem at their core
 276 position, and cut-off points are usually chosen somewhere causally upstream and downstream of that
 277 problem. On the upstream side, it is proposed to distinguish between the normative, physical and
 278 social context of the environmental problem (De Groot, 1998) which are necessary to identify the link
 279 between causes and effects of pollution.

280 Since pollution management is concerned with short time and long time horizons, present and
 281 future generations, economic growth and environmental processes, it is necessary to consider causal
 282 relationships between variables as one of the basis for a holistic approach. In spite of the fact that
 283 causal chain theory is not perfect it can help solve lots of pollution problems, which is sufficient for
 284 me to adopt this theory, in addition to other ones, as a basis for the development of the framework for
 285 pollution management.

286 Causal chain approaches help to present the context of pollution management in terms of
 287 governance, traditions and rules and the objects of pollution management such as communities and
 288 industries. Causal chain approaches explain the influence of context based on actors. Here, the focus is
 289 on using progressive contextualisation (Vayda, 1983) to analyse problems from both community and
 290 individual angles. It involves a procedure that focuses on significant human activities or people-
 291 environment interactions by placing them within progressively wider context (Vayda, 1983:265). This
 292 means studying specific activities performed by specific people in a specific location at specific times
 293 and then trace the causes and effects of these activities outwards, including the factors impinging on
 294 them, without defining the boundaries of the system, but through a detail review of the relationship
 295 between actors, the action and the underlying factors (Tsetse, 2008).

296 *3.4. Offsprings of Transdisciplinary Approach*

297 System approaches are offspring of the transdisciplinary perspective on environmental problems.
 298 The word 'system' as used here refers to a whole of interconnected elements with a well-defined
 299 boundary and with system level characteristics of its own. Systems may be isolated, closed or open in
 300 terms of the relationships that pertain across the boundaries of the system with the surrounding
 301 environment. Thus, any scientific thinking that employs a system definition is based on system theory.
 302 The following are the two common characteristics of systems (Tsetse, 2008):

- 303 • All systems have some structure and organisation, which show some degree of integration.
- 304 • There are functional and structural relationships between units of systems which are connected
 305 by the flow or transfer of material which is driven by force or sources of energy.

306 Systems are categorised into three, based on their complexity and randomness. The first type of
 307 systems is simple and well organised; these are accessible by traditional scientific assumptions and
 308 exclusions. The second type refers to systems that are complex but are sufficiently regular to be
 309 studied statistically. The third type of systems are too complex for reductionist simplification and too
 310 organised for statistics and can only be understood through system analysis (Weinberg, 1975). Most
 311 environmental issues fall under the third class of organised complexity of systems, making them less
 312 amenable to reductionist simplicity and statistical treatment.

313 The concept of system reflects the ability of the human mind to perceive or see things as wholes,
314 which is a collection of parts that are organised in some way, with connections and links between the
315 units. According to system theory, systems analysis should not be limited to the processing of many
316 variables but take into account the dynamics of the variables as well. Senge (1990) pointed out that
317 “mixing many ingredients in a stew involves detailed complexity, as does following a complex set of
318 instructions to assemble a machine, or taking an inventory in a discount retail store. But none of these
319 situations is especially complex dynamically”. Dynamic complexity is characterised by factors such as
320 dramatically different effects of an action in the short and long run or actions with one set of
321 consequences and very different set of consequences in another part of the system with obvious
322 interventions producing non-obvious consequences. In this context, one can say that the real leverage
323 in the management of complex situations lies in understanding dynamic complexity, not detail
324 complexity (Senge, 1990; Clayton and Radcliffe, 1996; Shih-Liang Chan and Shu-Li Huang, 2004).
325 An important feature of system approaches is the understanding of a simple concept of “feedback” that
326 shows how actions can reinforce or balance each other. The system thinking builds on the ability to
327 learn to recognise types of structures that occur again and again. Eventually, it forms a rich language
328 for describing a vast array of interrelationships and patterns of change. Ultimately, system theory
329 simplifies life by helping us to see the deeper patterns lying behind the events and details (Senge,
330 1990).

331 According to the general mode of organised complexity (Checkland, 1993), there exists a hierarchy
332 of levels of organisations, each more complex than the one below, each system level being
333 characterised by emergent properties at the lower system level. This hierarchy in organisations refers
334 to an arrangement of descending order with the higher levels having control over those directly under
335 them. Thus, properties of a given system have either a horizontal hierarchy or vertical hierarchy.
336 However, this subordination between levels is always incomplete and each level has its own rules of
337 behaviour and its own specific concern. Thus, entities that are whole at one level of the hierarchy
338 simultaneously become parts of the higher level of entities. Thus, a given system exhibits the
339 properties of being a whole and a part at a given time. For instance, an individual person is a whole on
340 his own and a part of a family, which is the higher system in the social hierarchy. Hence, the existence
341 of a specific level in the hierarchy is strictly dependent on the existence of the earlier levels in the
342 vertical and/or horizontal hierarchy. Therefore, horizontal hierarchy depicts the system hierarchy that
343 is divided into ecological, social, and economic subsystems in the order of their precedence and the
344 ecological subsystem is the basis for existence of the whole system, while the economic subsystem is
345 the last element in the hierarchy. The vertical hierarchy on the other hand depicts the hierarchy within
346 the subsystem. This means the output of a system, be it “whole” or “part”, has two-dimensional effects
347 both in the horizontal and vertical direction of the system hierarchy that keep the whole system
348 together.

349 **4. Building Blocks for Frameworks for Pollution Management**

350 The approach of the scientific community to environmental challenges started within the
351 disciplinary domains. Disciplinary science based on reductionist views will remain to be the best
352 source of gaining in-depth knowledge about single elements of the broad framework, such as on
353 pollutant dispersal and toxicity and environmental regulation. But when it comes to complex pollution

354 issues, the limitations of the reductionist view come into view. The move from the disciplinary to
 355 interdisciplinary approach has been dictated by the inherent limitations of the disciplinary approach in
 356 dealing with systems of organised complexity. The limitations of the disciplinary approach again
 357 dictate the need to also take up elements from to the transdisciplinary approach in dealing with
 358 environmental challenges.

359 To deal with both simple and complex environmental issues, interdisciplinary and transdisciplinary
 360 approaches are the best fit. Pollution is of many kinds and can be managed by adopting an
 361 interdisciplinary causal chain approach or transdisciplinary system approach. Coalescing these two,
 362 Tsetse, (2008) propose the following building blocks for a framework that will respond effectively to
 363 pollution problems should:

- 364 • Combine CSPH+PR classification of functions of the environment and participatory rural
 365 appraisal methods (from the multidisciplinary approach), progressive contextualisation (from
 366 the causal chain approach) and adaptive management, integrated management and material
 367 flow analysis (from the system approach) in a framework is aimed at overcoming the
 368 epistemological shortcomings of the traditional frameworks for pollution management.
- 369 • Use CSPH+PR classification to analyse and explain the intrinsic capacity an ecosystem needs
 370 for self-renewal, taking into account the social needs and human goals and the different of the
 371 environment. The insight gain from the analysis would assist in the development and
 372 operationalisation of the problem identification component of any framework.
- 373 • Use participatory rural appraisal methods to identify problems faced by actors (community and
 374 individuals); willingness of actors to promote their role in environmental management; the
 375 perception of actors about rules, regulations and attitudes, to harness local and traditional
 376 knowledge systems for environmental management, and for crafting appropriate policies to
 377 stimulate environmentally responsible behavior by actors. Depending on the situation and the
 378 task, a combination of methods such as secondary sources, visual models, income and
 379 expenditure matrix, semi-structured interviews, workshops and direct observation should be
 380 used. This creates an enabling context for the discovery of opportunities and also a major
 381 component in the design, implementation, evaluation and monitoring component of the
 382 framework.

383 Tsetse, (2008) in work propose that systems are taken as any organised physical entity with a
 384 specific functional purpose and manifestation, which are characterised by uncertain and
 385 undistinguishable information embedded in them. System theory is the core foundation on which a
 386 learning organization should be built since processes and structure of systems, whether biophysical,
 387 economic, social and institutional, are linked and interconnected. With pollution problems being
 388 complex and the social systems that are responsible for solving them also show some characteristics of
 389 complex systems and are difficult to describe and explain, an adaptive management approach is
 390 suitable to deal with the complex systems at any scale and level. The adaptive management approach
 391 should be one of the design principles for environmental assessment and management and the
 392 development of solutions in the framework. This involves the integration of ecological and
 393 participatory research approaches and adaptive management in this sense refers to a structured process
 394 of "learning by doing". This involves dealing with ecosystems and their interaction with human

395 society. The main characteristic of complex systems is they tend to be self-regulatory and resilient
 396 (Kessler, 2003). Thus, adaptive management turn to release of human opportunities that require
 397 flexible, diverse and redundant regulation, monitoring that leads to corrective and preventive action
 398 and experimental probing of pollution problems (Tsetse, 2008). This makes adaptive management
 399 approach

- 400 • Suitable to deal with complex systems at any scale, and allows self-regulation to reach defined
 401 management goals through careful and limited guidance;
- 402 • Makes use of diversity of complex systems to adapt and be resilient without reducing or
 403 controlling the diversity or complexity of the system;
- 404 • Characterised with organisational learning and a high responsiveness to contextual changes and
 405 societal demands through monitoring;
- 406 • Aims at maintaining and/or strengthening human capabilities and sensitivities to respond to
 407 signals from ecological and social systems.

408 The second building blocks from material flow analysis for the development of framework are the
 409 prevention of primary resource claims through a reduction of the demand for additional products by an
 410 improved use of information and existing hardware and the increase of resource use efficiency on a life
 411 cycle wide basis. This includes the reuse, remanufacturing and recycling of products and a shift
 412 towards renewable resources. The framework should take material flow management as a pillar as it
 413 has the potential to balance the pressures on the different actors and is able to combine upstream and
 414 downstream incentives. Thus, the framework use Materials Flow Analysis (MFA), which applies the
 415 concepts of industrial or societal metabolism to study how materials and energy flow into, through,
 416 and out of a system (Ayres and Simonis, 1994). Here pollution problems are viewed as problems of
 417 material and energetic relationship between society and nature and material flow analysis can be
 418 classified by the following four criteria (Fischer-Kowalski and Hultner, 1999):

- 419 • A comprehensive perspective with focus on a socio-economic system and/or the ecosystem
- 420 • A reference system such as biosphere, a national or regional system or function unit, like
 421 household or sector
- 422 • An examination of material flows in the form of total material metabolism, energy flows or
 423 specific materials in the system
- 424 • Time aspect of analysis in the form of occurrence of the material flow in a system.

425 The above criteria guides material flow analysis of environmental problems such as climate change,
 426 degradation of nature and wildlife, addressing environmental health issues, preservation of natural
 427 resources and waste management by providing insight into the structure and change over time of the
 428 physical metabolism of economic systems. Key to this is the use of indicators to determine resource
 429 use, productivity and eco-efficiency in the system. It is therefore imperative that any framework for the
 430 management of environmental problems focus on controlling the wider burden of the material
 431 throughput, to bring it to the level and composition which could be sustained without jeopardizing the
 432 quality of life for current and future generations.

433

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