



2

3

4

27

28

Proceedings RAFT-mediated radiation grafting on natural fibers in aqueous emulsion⁺

Bin Jeremiah D. Barba ^{1,2*}, David P. Peñaloza Jr.², Noriaki Seko³ and Jordan F. Madrid¹

1	Chemistry Research Section, Philippine Nuclear Research Institute, Department of Science and Technology,	5
	Quezon City, Philippines; jfmadrid@pnri.dost.gov.ph	6
2	Department of Chemistry, College of Science, De La Salle University Manila, Philippines; da-	7
	vid.penaloza.jr@dlsu.edu.ph	8
3	Quantum Beam Science Research Directorate, National Institutes for Quantum and Radiological Science	9
	and Technology (QST), Gunma, Japan; seko.noriaki@qst.go.jp	10
*	Correspondence: bjdbarba@pnri.dost.gov.ph; Tel.: +632 8929 6011	11
+	Presented at The 2nd International Online Conference on Polymer Science - Polymers and Nanotechnology	12
	for Industry 4.0, 1-15 November 2021.	13

Abstract: Using aqueous emulsion as the medium in radiation-induced graft polymerization (RIGP) 14 offers an environment-friendly shift from organic solvents while increasing polymerization effi-15 ciency through known water radiolysis-based graft initiation. Here, we further extend the applica-16 bility of RIGP in emulsion under the influence of reversible addition-fragmentation chain transfer 17 (RAFT) mechanisms. Emulsions prepared with Tween 20 showed good colloidal stability for several 18 hours. Subjecting it to simultaneous irradiation with abaca fibers resulted in successful grafting, 19 supported by gravimetric, IR, SEM, and TG analysis. A correlation was drawn between smaller 20 monomer micelles and enhancement of grafting driven by diffusion and surface area coverage. 21 RAFT mechanisms were also conserved based on molecular weight evolution. RAFT-mediated 22 RIGP in aqueous emulsion shows good potential as a versatile and green surface modification tech-23 nique for natural fibers for various functional applications. 24

Keywords: radiation-induced graft polymerization (RIGP); reversible addition fragmentation chain25transfer (RAFT) polymerization; emulsion grafting; natural fibers26

1. Introduction

Emulsion polymerization involves the free radical polymerization of monomer mol-29 ecules in large and discrete polymer particles dispersed in a continuous aqueous phase 30 stabilized by surfactants. This aids in the utilization of water as the solvent for relatively 31 hydrophobic monomers contributing to green chemistry as it significantly reduces or-32 ganic solvent dependence and their corresponding VOC generation [1]. Because of the 33 segregation of free radicals within the micelles formed in emulsions, the probability of 34 bimolecular termination is reduced, leading to faster polymerization rates and higher mo-35 lecular weights [2]. This technique has been successfully applied in various industries uti-36 lizing polymers that include coatings, adhesives, plastics, and synthetic rubber. 37

This has also been explored in graft copolymerization, particularly radiation-induced 38 graft polymerization (RIGP). RIGP can be applied in the synthesis of functional materials 39 as it involves the attachment of polymer chains with advantageous tailored properties to 40 the surface of a base polymer with desirable bulk character. The general mechanism is 41 illustrated in Scheme 1 [3]. The key advantage here is that graft reactivity is usually higher 42 in water due to its radiolytic products, which participate in the initiation phase [4]. Glyc-43 idyl methacrylate (GMA), a widely used monomer in RIGP, is only soluble in water at 44 concentrations less than 2%. In a previous work [5], emulsifying GMA with Tween 20 45

Citation: Lastname, F.; Lastname, F.; Lastname, F. Title. *Proceedings* **2021**, 68, x. https://doi.org/10.3390/xxxxx

Published: date

Publisher's Note: MDPI stays neutral with regard to jurisdictional claims in published maps and institutional affiliations.



Copyright: © 2021 by the authors. Submitted for possible open access publication under the terms and conditions of the Creative Commons Attribution (CC BY) license (https://creativecommons.org/license s/by/4.0/).

5

6

formed a milky emulsion that was stable for 48 h and gave sufficiently high grafting yield 1 on polyethylene fibers at doses as low as 10 kGy. GMA concentrations can go up to 10% 2 (w/w) which effectively enhances the degree of grafting [6,7]. 3



Figure 1. Proposed general mechanism of RIGP in emulsion [3].

In the preparation of diverse functional materials, control over polymer brush archi-7 tecture leads to a significant influence over surface properties and often enhances its spe-8 cific functionality [8]. Among controlled radical polymerization techniques, the reversible 9 addition-fragmentation chain transfer (RAFT) mechanism is applicable involving both ra-10 diation-initiated reactions and emulsion systems [9–11]. The RAFT agent, initially dis-11 solved in the monomer before emulsification, may be likely found inside the micelle 12 where it can mediate chain growth through reversible deactivation of propagating chains 13 [12]. Difficulties associated with the use of RAFT in *ab initio* emulsion systems such as low 14 polymerization rates, broad molecular weight distribution, and loss of control are usually 15 attributed to colloidal instability and RAFT transport [13]. In this work, RAFT-mediated 16 radiation grafting in aqueous emulsion using Tween 20 as the surfactant for emulsion 17 polymerization was assessed for its pseudo-living character and its potential as a green 18 surface modification technique for natural fibers for various functional applications. 19

2. Materials and Methods

2.1. Emulsion preparation

The emulsion was prepared with the following components by weight: 10% GMA (>95%, TCI, Japan), 0.2% 4-cyano-4-(carbonothioylthio)pentanoic acid (CPPA, 97%, Strem 23 Chemicals Inc., USA) and 0.5 - 2% Tween 20 (Kanto Chemical Co. Inc., Japan) in ultrapure 24 water. The solution was homogenized at 6000 rpm for 10 min. Stability and micelle size 25 was measured by dynamic light scattering using FPAR 1000 particle size analyzer (Otsuka 26 Electronics Co., Ltd., Japan) at 25 °C. 27

2.2. Radiation grafting of abaca fibers

Pre-weighed abaca woven fabrics $(1 \times 5 \text{ cm}^2)$ and 8 g of the emulsion were placed in 29 a glass vial and purged with N2 gas. The vial was placed in a Co-60 gamma irradiation 30 chamber and polymerization was carried out at a dose rate of 1 kGy/h (in air). The fabrics 31 were washed several times with methanol and tetrahydrofuran (THF) and dried to con-32 stant weight in vacuo. The homopolymers were then precipitated from the solution using 33 methanol and weighed. The degree of grafting (DG, %) was calculated from the percent 34

20 21

22

weight gain of the fabric, while conversion (%) was determined from the added weight of 1 the collected homopolymer and weight gain of the fabric over the initial amount of mon-2 omer in the vial. A sample of homopolymers was dissolved in THF and analyzed using 3 gel permeation chromatography (Chromaster, Hitachi HighTech, Japan equipped with 4 Shodex Asahipak GF-16 7B guard and two GF-7M columns). Polymethyl methacrylate 5 standards were used. 6

2.3. Characterization

The abaca fabrics before and after RIGP were subjected to several characterization 8 techniques. The chemical information of the fabrics was examined by a Frontier FTIR 9 Spectrophotometer (Perkin Elmer, Japan) in attenuated total reflectance (ATR) mode with 10 4 cm⁻¹ resolution. Morphology and elemental composition of the fabric surface were ex-11 amined by scanning electron microscopy with energy dispersive x-ray (SEM-EDX) taken 12 by a Hitachi SU3500 scanning electron microscope (Hitachi HighTech, Japan) coupled to 13 X-Max EDX spectrometer (Horiba Ltd., Japan) at vacuum conditions and acceleration 14 voltage of 50 Pa and 10 kV, respectively. All fabrics were gold coated using JFC-1600 Auto 15 Fine Coater (JEOL Ltd., Japan) prior to scanning. The thermal decomposition profile of 16 the fabrics was examined using a TG/DTA 6200 Extar 6000 (Seiko Instruments Inc., Japan) 17 under nitrogen atmosphere using a 5 mm aluminum sample pan, with the following heat-18 ing program: (1) 25-130 °C at 20 °C/min; (2) 130 °C for 10 min; and continuing to 550 °C at 19 10 °C/min. 20

3. Results and Discussion

In the mechanism of emulsion polymerization via RAFT, the formation of oligomers 22 at the initial phase can dramatically reduce the chemical potential of the nucleated particle 23 and lead to a large amount of monomer to transfer from droplets to these particles [14]. 24 This phenomenon, known as superswelling, ultimately destabilizes the emulsion and of-25 ten leads to a loss of control of polymerization associated with the formation of a colored 26 layer or coagulum. The use of a relatively higher surfactant concentration, preferably 27 nonionic like Tween-20 above its critical micelle concentration (CMC), has been known to 28 circumvent superswelling [15]. High amounts of surfactant (at least greater than their 29 CMC) also ensure that most of the monomers, as well as the RAFT agent are in the stable 30 micelles (<1 μ m), which are considerably smaller than monomer droplets (>1 μ m). This also aids in the rapid transport of RAFT agent to the growing particles owing to the larger surface area of the micelles [11]. 33



Figure 2. CTPA/GMA emulsion studies: (a) effect of surfactant concentration on the degree of grafting (DG) at 5 kGy absorbed dose and average micelle diameter \emptyset ; and (b) representative images of solution during initial separated phases and emulsified milky state.

31 32

34

Emulsion systems with different surfactant-to-monomer ratios were observed. As 1 seen in Figure 2a, the degree of grafting (DG) increased when the surfactant amount was 2 changed from 0.5 to 1% and then proceeded to decrease at higher [Tween 20]. DG seems 3 to correlate inversely with the observed micelle size of the emulsion. Smaller micelles may 4 cover a wider surface area of the trunk polymer leading to an enhanced grafting efficiency 5 [5]. Furthermore, smaller micelles usually diffuse better throughout the solution allowing 6 them greater access to the site of graft propagation [4,5,16]. Based on these results, the 7 surfactant concentration was fixed to 1% in further experiments as it exhibited the highest 8 DG. At this concentration, the emulsion remained in a milky state (Figure 2b) with no 9 phase separation and was relatively stable for at least 10 h. 10



Figure 3. Effect of absorbed dose on the (a) degree of grafting and (b) monomer conversion; and (c) representative GPC 12 chromatograms for PGMA formed using the conventional technique and with CPPA at ~0.8 conversion.

Grafting in emulsion was further carried out at various absorbed doses. As seen in 14 Figure 3a, there was an initial rapid increase in DG with absorbed dose as it correlates to 15 radical production. Continuous chain growth is also a feature of RAFT-mediated 16 polymerization, which minimizes irreversible chain termination (dead chains) through 17 the reversible deactivation of propagating polymer radicals ("living" chains) [17,18]. 18 Therefore, grafted polymer chains continue to grow and contribute to DG as long as mon-19 omers remain available. At higher absorbed doses, DG increase slowed down possibly 20 due to the decrease in available monomer molecules during the course of the polymeriza-21 tion. This is reflected in monomer conversion values shown in Figure 2b. Similarly, mon-22 omer conversion showed a rapid increase with absorbed dose then slows down at higher 23 doses due to restricted monomer diffusion with an increase in polymer concentration and 24 viscosity. There was an initial linear increase in conversion values as a function of ab-25 sorbed dose, which translates to polymerization time (h) as the dose rate is fixed to 1 26 kGy/h. To estimate the properties of the grafted polymers in terms of molecular weight 27 and polydispersity, GPC analysis was performed on the precipitated homopolymers from 28 the solution. As reported in previous works on RAFT-mediated radiation-induced graft-29 ing, the growth of surface grafted polymer chains exists in dynamic equilibrium with the 30 free polymer chains (homopolymers) formed in the grafting solution [9,19]. Figure 3c 31 shows the chromatograms of PGMA homopolymers formed using conventional (without 32 CPPA) and RAFT-mediated RIGP. In contrast to the former's broad and multimodal mo-33 lecular weight distribution, using RAFT polymerization demonstrated narrow and mon-34 omodal distribution with a polydispersity index of 1.35 and good correspondence with 35 the calculated value based on the RAFT-monomer ratio and conversion [12]. These results 36 suggests the pseudo-living characteristics of the polymerization, indicating that the RAFT 37 mechanism was in effect in the emulsion [20]. 38

13

39



Figure 4. Evidence of grafting: (**a**) FTIR spectra highlighting the appearance of carbonyl and epoxide peaks on the (dashed lines); (**b-c**) weight loss and DTG (dashed lines) profiles; and (**d-e**) SEM micrograph of fiber surface of pristine and grafted abaca (DG ~ 20%).

Analyzing the fabrics using FTIR (Figure 4a), successful grafting is evident as sup-5 ported by the appearance of C=O stretching bands at 1725 cm⁻¹ and epoxide ring defor-6 mations at 750–950 cm⁻¹, in addition to the cellulose profile of the fiber shown as peaks at 7 1600, 1110 and 1040 cm⁻¹ attributed to the glucose ring vibrations [21]. Figure 4b-c also 8 show the extension of the degradation profile of abaca fiber after cellulose main chain 250– 9 360 °C to 420 °C attributed to the degradation of the grafted polymers [22]. Finally, abaca 10 fibers were examined using SEM-EDX. The pristine fiber showed a relatively smooth sur-11 face made of 60% carbon and 40% oxygen atoms (Figure 5c). Meanwhile, abaca-g-PGMA 12 showed a much rougher surface due to the amorphous PGMA covalently grafted on its 13 surface (Figure 4d). There is also an increase in the carbon content from the PGMA chain, 14 shifting the composition to 70% C and 30% O. Trace amounts of sulfur (0.02 %) were also 15 detected which can be attributed to the thiocarbonylthio end of CPPA which adds to the 16 propagating chain during RAFT-mediated polymerization [9,12,23]. 17

4. Conclusion

Graft polymerization reactions done in aqueous emulsion offer an environment-19 friendly alternative to the use of organic solvents. In this work, glycidyl methacrylate was 20 successfully grafted from abaca fibers using radiation-induced graft polymerization me-21 diated by reversible addition-fragmentation chain transfer mechanism. Stable milky 22 emulsions were formed using Tween 20 as the surfactant and CPPA as the chain transfer 23 agent. The emulsion remained stable for several hours and did not form an oily layer or 24 coagulum indicative of unsuccessful RAFT polymerization. Instead, grafting proceeded 25 with pseudo-living characteristics as supported by the increase in the degree of grafting 26 with the linear increase of conversion, and the narrow and monomodal molecular weight 27 distribution of generated homopolymers with good correspondence to the theoretic value. 28 Evidence of grafting was verified using FTIR, TGA, and SEM-EDX, which all showed suc-29 cessful attachment of PGMA chains onto the abaca fiber. These results highlight the po-30 tential of RAFT-mediated RIGP in aqueous emulsion as a green surface modification tech-31 nique for natural fibers. Studies on the further functionalization and characterization of 32 the fibers for applications as adsorbents and composite reinforcement fillers are currently 33 underway. 34

18

1

2

3

2

3

4

11

12

Author Contributions: Conceptualization, B.B., J.M., D.P.P.; methodology, B.B., J.M., N.S.; formal analysis, B.B.; investigation, B.B.; resources, N.S.; writing—original draft preparation, B.B.; writing—review and editing, J.M, D.P., N.S.; supervision, J.M., D.P. All authors have read and agreed to the published version of the manuscript.

Acknowledgments: The authors acknowledge the financial support given by the Department of5Science and Technology - Science Education Institute (DOST-SEI), which sponsored the graduate6scholarship and fellowship grant of Ms. Barba as part of their Human Resource Development Program. This work was also partially supported by JSPS KAKENHI (Grant No. JP17K05956). The authors also acknowledge the contributions of the Environment Polymer Group of QST-TARRI in8providing assistance and facilities for the conduct of experiments.10

Conflicts of Interest: The authors declare no conflict of interest.

References

1.	Zhang, Y.; Dubé, M.A. Green Emulsion Polymerization Technology BT - Polymer Reaction Engineering of Dispersed Systems:	13
	Volume I. In; Pauer, W., Ed.; Springer International Publishing: Cham, 2018; pp. 65–100 ISBN 978-3-319-73479-8.	14
2.	Chern, C.S. Emulsion polymerization mechanisms and kinetics. Prog. Polym. Sci. 2006, 31, 443–486.	15
3.	Lovell, P.A.; Schork, F.J. Fundamentals of Emulsion Polymerization. Biomacromolecules 2020, 21, 4396–4441.	16
4.	Wada, Y.; Tamada, M.; Seko, N.; Mitomo, H. Emulsion grafting of vinyl acetate onto preirradiated poly (3-hydroxybutyrate)	17
	film. J. Appl. Polym. Sci. 2008, 107, 2289–2294.	18
5.	Seko, N.; Bang, L.T.; Tamada, M. Syntheses of amine-type adsorbents with emulsion graft polymerization of glycidyl methac-	19
	rylate. Nucl. Instruments Methods Phys. Res. Sect. B Beam Interact. with Mater. Atoms 2007, 265, 146–149.	20
6.	Madrid, J.F.; Lopez, G.E.P.; Abad, L. V. Application of full-factorial design in the synthesis of polypropylene-g-poly(glycidyl	21
	methacrylate) functional material for metal ion adsorption. Radiat. Phys. Chem. 2017, 136, 54–63.	22
7.	Madrid, J.F.; Ueki, Y.; Seko, N. Abaca/polyester nonwoven fabric functionalization for metal ion adsorbent synthesis via electron	23
	beam-induced emulsion grafting. Radiat. Phys. Chem. 2013, 90, 104–110.	24
8.	Feng, C.; Huang, X. Polymer Brushes: Efficient Synthesis and Applications. Acc. Chem. Res. 2018, 51, 2314–2323.	25
9.	Barsbay, M.; Güven, O.; Stenzel, M.H.; Davis, T.P.; Barner-Kowollik, C.; Barner, L. Verification of controlled grafting of styrene	26
	from cellulose via radiation-induced RAFT polymerization. <i>Macromolecules</i> 2007, 40, 7140–7147.	27
10.	Madrid, J.F.; Ueki, Y.; Abad, L. V; Yamanobe, T.; Seko, N. RAFT-mediated graft polymerization of glycidyl methacrylate in	28
	emulsion from polyethylene/polypropylene initiated with γ-radiation. <i>J. Appl. Polym. Sci.</i> 2017 , <i>134</i> , 45270.	29
11.	Urbani, C.N.; Nguyen, H.N.; Monteiro, M.J. RAFT-Mediated Emulsion Polymerization of Styrene using a Non-Ionic Surfactant.	30
	Aust. J. Chem. 2006, 59, 728.	31
12.	Perrier, S. 50th Anniversary Perspective: RAFT Polymerization – A User Guide. <i>Macromolecules</i> 2017 , <i>50</i> , 7433–7447.	32
13.	Prescott, S.W.; Ballard, M.J.; Rizzardo, E.; Gilbert, R.G. RAFT in Emulsion Polymerization: What Makes it Different? Aust. J.	33
14	<i>Chem.</i> 2002 , <i>55</i> , 415–424.	34
14.	Luo, Y.; Tsavalas, J.; Schork, F.J. Theoretical aspects of particle swelling in living free radical miniemulsion polymerization.	35
1 -	Macromolecules 2001 , 34, 5501–5507.	36
15.	Luo, Y.; Cui, X. Reversible addition–fragmentation chain transfer polymerization of methyl methacrylate in emulsion. <i>J. Polym.</i>	37
16	Sci. Part A Polym. Chem. 2006, 44, 2837–2847.	38 39
16.	Ma, H.; Morita, K.; Hoshina, H.; Seko, N. Synthesis of Amine-type Adsorbents with Emulsion Graft Polymerization of 4-hy- droxybutyl Acrylate Glycidylether. <i>Mater. Sci. Appl.</i> 2011 , <i>02</i> , 776–784.	39 40
17.	Moad, G.; Barner-Kowollik, C. The Mechanism and Kinetics of the RAFT Process: Overview, Rates, Stabilities, Side Reactions,	40 41
17.	Product Spectrum and Outstanding Challenges. <i>Handb. RAFT Polym.</i> 2008 , 51–104.	42
18.	Barner, L.; Zwaneveld, N.; Perera, S.; Pham, Y.; Davis, T.P. Reversible addition–fragmentation chain-transfer graft polymeriza-	42
10.	tion of styrene: Solid phases for organic and peptide synthesis. J. Polym. Sci. Part A Polym. Chem. 2002, 40, 4180–4192.	44
19.	Li, Y.; Schadler, L.S.; Benicewicz, B.C. Surface and particle modification via the RAFT process: approach and properties. <i>Handb</i> .	45
17.	RAFT Polym. 2008.	46
20.	Madrid, J.F.; Barsbay, M.; Abad, L.; Güven, O. Grafting of N, N-dimethylaminoethyl methacrylate from PE/PP nonwoven fabric	47
-0.	via radiation-induced RAFT polymerization and quaternization of the grafts. <i>Radiat. Phys. Chem.</i> 2016 , 124, 145–154.	48
21.	Sharif, J.; Mohamad, S.F.; Fatimah Othman, N.A.; Bakaruddin, N.A.; Osman, H.N.; Güven, O. Graft copolymerization of glyc-	49
	idyl methacrylate onto delignified kenaf fibers through pre-irradiation technique. <i>Radiat. Phys. Chem.</i> 2013 , 91, 125–131.	50
22.	Ananthalakshmi, N.R.; Wadgaonkar, P.P.; Sivaram, S.; Varma, I.K. Thermal behaviour of glycidyl methacrylate homopolymers	51
	and copolymers. J. Therm. Anal. Calorim. 1999, 58, 533–539.	52
23.	Barner, L.; Zwaneveld, N.; Perera, S.; Pham, Y.; Davis, T.P. Reversible addition-fragmentation chain-transfer graft polymeriza-	53
	tion of styrene: Solid phases for organic and peptide synthesis. J. Polym. Sci. Part A Polym. Chem. 2002, 40, 4180–4192.	54
		55