



# Proceeding Paper Rainfall-Runoff Modelling Using Artificial Neural Network—A Case Study of Purna Sub-catchment of Upper Tapi Basin, India <sup>+</sup>

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Abstract: The present study examines the rainfall-runoff-based model development by using artificial neural networks (ANNs) models in the Yerli sub-catchment of the upper Tapi basin for a period of 36 years, i.e., from 1981-2016. The created ANN models were capable of establishing the correlation between input and output data sets. The rainfall and runoff models that were built have been calibrated and validated. For predicting runoff, Feed Forward Back Propagation Neural Network (FFBPNN) and Cascade Forward Back Propagation Neural Network (CFBPNN) models are used. The efficacy of the model evaluated by various measures such as mean square error (MSE), root mean square error (RMSE), and coefficient of correlation (R) are employed. With MSE, RMSE, and R values of 0.4982, 0.7056, and 0.96213, respectively, FFBPNN outperforms two networks with model architectures of 6-4-1 and Transig transfer function. Also, in this study, the Levenberg-Marquardt (LM), Bayesian Regularization (BR), and Conjugate Gradient Scaled (CGS) algorithms are used to train the ANN rainfall-runoff models. The results show that LM creates the most accurate model. It performs better than BR and CGS. The best model is the LM trained method with 30 neurons, which has MSE values of 0.7279, RMSE values of 0.8531, and R values of 0.95057. It is concluded that the constructed neural network model was capable of quite accurately predicting runoff for the Yerli sub-catchment.

**Keywords:** artificial neural network; rainfall-runoff modeling; feed forward back propagation; cascade forward back propagation

# 1. Introduction

Hydrologists have been attempting to understand the translation of rainfall to runoff for many years to estimate streamflow for objectives including water supply, flood control, irrigation, drainage, water quality, power production, recreation, and fish and wildlife propagation [1]. Rainfall-runoff modelling is one of the most prominent hydrological models used to examine the relation between rainfall and runoff generated by various watershed physical factors [2]. In the real world, all physical catchment features influence rainfall-runoff, hence generalizing all physical catchment characteristics is a difficult process. It's difficult to depict such a large range of values in a lumped hydrological model since parameter values must be averaged for each watershed [3].

In the past, academics and hydrologists presented different ways for effectively forecasting runoff by building several models of rainfall-runoff (RR) [4]. The process of rainfall-runoff is highly nonlinear and incredibly complex, and is still poorly understood [5]. Furthermore, several rainfall-runoff models require a substantial amount of data used for calibration and validation time scale, making them computationally intensive and thus unpopular [6]. Machine learning techniques are becoming more prevalent due to their

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**Copyright:** © 2023 by the authors. Submitted for possible open access publication under the terms and conditions of the Creative Commons Attribution (CC BY) license (https://creativecommons.org/license s/by/4.0/). ease of use, simplicity, and efficiency [7]. Machine learning techniques are a good option when there is minimal data and the process is complex [8]. In the context of estimating issues, the artificial neural network (ANN) is a subclass of machine learning that has gotten a lot of attention [9]. ANN are data-processing systems that mimic the human brain's capabilities [10]. ANNs were first developed in the 1940s, and comes in a wide variety [11]. ANN models, are also known as black-box models [12]. The application of ANN in the creation of models results in trustworthy and versatile learning ability, makes ANN promising for forecasting [13]. ANN models have been extremely prevalent in the domains of hydrology, water resources and watershed management over the last few decades [14]. The ANN contains three layers such as an input layer, a hidden layer and an output layer [15]. The weight of communication is the relationship between neurons in consecutive layers [16]. In the given study, the input layer consists of six data namely (rainfall, minimum temperature, maximum temperature, surface pressure, specific humidity, and wind speed). The hidden layer consists of layers with two different sets of number of neurons 10 and 20 respectively. The output layer comprises of predicted runoff.

The objectives of the present study are: (i) to develop a rainfall-runoff model for Upper Tapi using Artificial Neural Networks Technique. (ii) to compare ANN rainfall-runoff models developed using nntool with different neural network types i.e., FFBPNN and CFBPNN. (iii) to compare ANN rainfall-runoff models trained using LM, BR and SCG algorithms.

## 2. Materials and Methods

## 2.1. Study Area and Data Collection

The current study area comprises a portion of the Upper Tapi Basin known as the Purna sub-catchment (Figure 1). The area lies between Maharashtra and Madhya Pradesh, between latitudes of 20°09' N to 22°03' N and longitudes of 75°56' E to 78°17' E. It has subtropical to temperate climatic conditions. The mean annual precipitation in the chosen area varies from 833 to 990 mm.



Figure 1. Index Map of the Study Area.

Table 1. Source of Data.

Data Type	Data Source
Digital Elevation Model	USGS Earth Explorer
Rainfall	Central Water Commission
Meteorological data	India Meteorological Department
Discharge	Central Water Commission

#### 2.2. Methodology

The theoretical aspects and research methodology used in the current study to identify the best neural network model to perform the rainfall and runoff modelling for the yerli sub-catchment have been discussed in these section.



Figure 2. Flow chart of NNTOOL.



Figure 3. Flow chart of nnstart.

2.2.1. Following Steps Should be Performed for Developing an ANN Model Using NNTOOL

- Data Collection: The required observed data (rainfall, runoff, temperature, specific humidity, surface pressure, wind speed) at the prerequisite station is to be collected.
- Import Data: The collected data is imported in the NNTOOL box as input and target data.
- Creating Network: The network is created by selecting a suitable network type i.e., FFBPNN or CFBPNN. Network architecture is formed (6-2-1, 6-3-1, 6-4-1).
- Number of Neurons: For the given network number of neurons is taken as 10 or 20.
- Network Training: The developed network is trained based on performance function.
- Result: Once the network is trained, the result is checked by plotting the regression plot, and predicted output is obtained.
- Retraining: If the obtained regression plot is not satisfactory, then reinitialization of weights has to be done by changing the number of neurons.
- Model Evaluation: Based on statistical parameters such as (MSE), (RMSE), (R<sup>2</sup>), and (R).

2.2.2. Following Steps Should be Performed for Developing an ANN Model Using Nnstart

- Neural Fitting App: The Neural Fitting app will help to select data, create and train a network, and evaluate its performance using mean square error and regression analysis.
- Data Selection: The collected data will be used as both input and output data. The input data is a 6 × 36 matrix. On the other hand, Target data is a 1 × 36 matrix.
- Validation and Test: Splitting data as 70% (training), 15% (validation), and 15% (testing).
- Network Architecture: For the given network number of neurons is taken as 10, 20, 30.
- Select Algorithm: For training, the algorithms namely Levenberg Marquardt (trainlm), Bayesian Regularization (trainbr) and Scaled Conjugate Gradient (trainscg) were used.
- Train Network: To fit the input and goal data, train the network.
- Retrain: The network is retrained if a satisfactory regression plot is not obtained.
- Output: Desired predicted output is obtained after fixing the regression plot.

## 2.3. Model Evaluation Criteria

The findings of the ANN model applied in this study were evaluated by means of:

Mean Square Error (MSE):

$$MSE = \frac{1}{n} \sum_{i=1}^{n} (Q_p - Q_o)^2$$
(1)

• Root Mean Square Error (RMSE):

$$\mathbf{RMSE} = \left[\frac{\sum_{i=1}^{n} (Q(i) - \widehat{Q}(i))^2}{n}\right]^{0.5}$$
(2)

• Regression Coefficient (R): Using Regression Plot between predicted and observed runoff.

where  $Q_p$  is the value of predicted runoff;  $Q_o$  is the value of observed runoff;  $\hat{Q}(i)$  is the n estimated runoff value; Q(i) is the n observed runoff value

#### 3. Results and Discussion

# 3.1. NNTOOL

The multilayer FFBPNN and CFBPNN algorithms with Levenberg–Marquardt (LM) are utilized to optimize the learning approach in this study. Two different models were developed, i.e., (FFBPNN) and (CFBPNN) with three different architecture (6-2-1, 6-3-1 and 6-4-1) using several combinations of transfer functions i.e., (transig, logsig and purelin) along with two sets of neurons 10 and 20 and then compared their capability for estimation of flow for the period 1981–2016.

## 3.1.1. Feed Forward Back Propagation Neural Network (FFBPNN)

FFBPN While considering 6-2-1, 6-3-1, and 6-4-1 architectures, the transig function provides the best value for performance. The most effective model architecture for the Transig function is 6-4-1, which has the value of MSE 0.4982, the value of RMSE 0.7056, and the value of R 0.96213. Table S1 contains the inclusive outcomes. However, in comparison to other transfer functions, the transig transfer function with architecture 6-4-1 gives better results in the current study. Figure 4 depicts the best regression plot.



Figure 4. Regression plot for FFBPNN 6-4-1 model.

# 3.1.2. Cascade Forward Back Propagation Neural Network (CFBPNN)

Similarly, for CFBPNN While considering 6-2-1, 6-3-1, and 6-4-1 architectures, the transig function provides the best value for performance. The most effective model architecture for the transig function is 6-4-1, which has MSE values of 0.8813, the value of RMSE 0.9387, and the value of R 0.96096. Table S2 contains the inclusive outcomes [17]. However, in comparison to other transfer functions comparison to other, the transig transfer function with architecture 6-4-1 gives better results. Figure 6 depicts the best regression plot.



Figure 5. Regression plot for CFBPNN 6-4-1 model.



Figure 6. Regression plot for LM algorithm with 30 Neurons.

## 3.2. Nnstart

In this study, three different algorithms namely Levenberg Marquardt (trainlm), Bayesian Regularization (trainbr) and Scaled Conjugate Gradient (trainscg) were used for model development. Table S3 shows the yerli station results for ANN trained by LM, BR, and CGS. The study compares ANN models that were trained with LM, BR, and CGS. LM trained algorithm with 30 neurons is the best model with an MSE value of 0.7279, the value of RMSE 0.8531, and the value of R 0.95057. Figure 6 shows the best regression plot for the LM algorithm with 30 neurons.

## 4. Conclusions

This study described how ANN models are used to estimate yearly runoff for the Yerli sub-catchment of the upper Tapi basin. Runoff estimation was done using NNTOOL and NNSTART. Adopting NNTOOL, two different models were developed, i.e., FFBPNN and CFBPNN networks, using several combinations of input data and then comparing their capability of flow estimation for the period 1981–2016. For estimating runoff using NNTOOL, two NNs are used, with the values of MSE, RMSE, and R calculated. For the transig function in FFBPNN, the most prominent model architecture is 6-4-1, which has an MSE value of 0.4982, a RMSE value of 0.7056, and a value of R of 0.96108. The 6-4-1 model architecture for the transig function is the most effective for CFBPNN, with MSE values of 0.8813, RMSE values of 0.9387, and R values of 0.96096. Using three different algorithms, LM, BR, and CGS, were used to predict runoff. Among the three, LM trained the algorithm with 30 neurons is the best model, with MSE values of 0.7279, RMSE values of 0.8531, and R values of 0.95057. According to the findings, FFBPNN predicts better results than CFBPNN, and the LM algorithm stands out among the other algorithms.

**Supplementary Materials:** The following supporting information can be downloaded at: www.mdpi.com/xxx/s1, Table S1: Results of FFBPNN for Yerli station, Table S2: Results of CFBPNN for yerli station, Table S3: Results of nnstart for yerli station.

Conflicts of Interest: The authors declare no conflict of interest.

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