



Proceedings Paper

# A Critical Appraisal of Various Implementation Approaches for Realtime Pothole Anomaly Detection: Towards Safer Roads in Developing Nations <sup>+</sup>

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**Abstract:** Road infrastructure is essential to national security and growth. Potholes on the road surface causes accidents and costly automotive damage. Novel technology that detects potholes and alerts drivers in real time may address this challenge. These approaches can improve road safety and lower vehicle maintenance cost in resource-constrained developing nations. This study reviews deep learning and sensor-based pothole detection approaches. Analysis shows that deep learning computer vision-based algorithms are most accurate, but computational and economic constraints limit their use in developing nations like Nigeria. While, the sensor-based solutions are cost-effective and can be utilized in developing nations for potholes detection.

Keywords: deep learning; detection; computer vision; lidar; potholes; road

#### 1. Introduction

Road infrastructure is an important part of modern society since it facilitates transit, commerce, and general economic development [1,2]. The condition and maintenance of roads, on the other hand, can have a substantial impact on safety and efficiency. Road maintenance authorities and motorists have long struggled with potholes, which are prevalent in developing nations [3]. Potholes not only cause car damage, but they also pose a significant risk to road safety, frequently resulting in accidents and injuries [4]. Pothole detection and maintenance have traditionally relied on manual inspections, which can be time-consuming, costly, and prone to human mistake [5]. However, with the introduction of deep learning techniques in computer vision and artificial intelligence (AI) and improved sensor technology like LiDAR (Light Detection and Ranging) sensors that emit laser pulses or light beams and measure the time it takes them to bounce off objects and return to the sensor [6], and ultrasonic sensors that measure distances by measuring the time it takes ultrasonic pulses to bounce off objects and return to the sensor [7] has revolutionized the road surface conditions monitoring approach and specifically realtime potholes detection and notification system.

These two approaches have received a lot of interest from academia and industry for realtime road anomaly monitoring and alerting systems, especially for potholes. Thus, this article examines the use of deep learning, LiDAR, Ultra-sonic sensors, and other Internet of Things (IoT) devices for pothole detection, highlighting their benefits, potential

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**Copyright:** © 2023 by the authors. Submitted for possible open access publication under the terms and conditions of the Creative Commons Attribution (CC BY) license (https://creativecommons.org/licenses/by/4.0/). impact on road maintenance and safety, and potential adoption in developing countries like Nigeria. Deep learning techniques, notably Convolutional Neural Networks (CNNs), have gained widespread acceptance and demonstrated efficacy in a wide range of computer vision applications such as image categorization, object recognition, and segmentation [8]. CNNs are an excellent alternative for detecting potholes in road images due to their adaptability and agility [9,10]. The CNNs have been widely used for pothole detection, attaining high precision while dramatically lowering false positives when compared to traditional machine learning approaches [11]. This capability enables real-time detection and alerts to drivers or maintenance teams, enabling timely responses to road hazards. In addition, the Video-based pothole identification showed the promise of deep learning systems beyond static images [12].

Deep learning models are adaptable to changing road and lighting conditions. They can learn and generalise from a variety of datasets, making them adaptable to a variety of contexts [9]. While deep learning holds enormous promise for pothole detections, challenges persist. Data availability, model complexity, and the need for significant computational resources are some of the hurdles that researchers and practitioners face. Deep learning models that are accurate and efficient require large labelled datasets, which can be difficult to get. Model training and deployment necessitate robust computational infrastructure, which can have an impact on model deployment in developing nations.

Similarly, LiDAR technology has found extensive uses in a variety of industries, including agriculture, forestry, urban planning, and, most importantly, transportation infrastructure management [13]. One of the primary benefits of LiDAR technology in pothole detection is its capacity to acquire very precise and accurate spatial data [14]. LiDAR sensors emit laser beams that bounce off things, including road surfaces. LiDAR systems may generate high-resolution elevation maps of the road by measuring the time it takes for these laser pulses to return [14]. LiDAR is reliable for day and night operations since it works well in various lighting conditions. This adaptability provides continuous data collection regardless of climatic conditions for real-time road condition monitoring. Li-DAR-based pothole identification involves installing sensors on vehicles and driving tests to collect point cloud data, which a pre-developed algorithm can use to identify road faults like potholes. Road maintenance officials and drivers receive quick alerts for potholes, enabling rapid response and repair. LiDAR's precise depth and spatial information make pothole detection accurate and efficient, and its wide coverage area provides dependable detection.

Consequently, this article studies and analyses these two prominent ways for detecting pothole anomalies, as well as determining their feasibility for implementation into automobiles to aid drivers in developing countries such as Nigeria. Thus, serving as the major contribution of this survey paper. The rest of the paper is structured as follows: section 2 evaluates deep learning techniques for pothole detection, highlighting their pros and cons. Section 3 discusses LiDAR and ultrasonic sensors for real-time pothole identification and their pros and cons. While, conclusions are drawn in section 4.

#### 2. Deep Learning Approaches for Potholes Detection

A road anomaly identification system that incorporates the use of a convolutional neural network (CNN) and visual transformer based approach for detecting road anomalies, notably potholes, cracks, and alligators was presented in [11]. The EfficientDet, YOLOV4, YOLOX, and Swin Transformer deeplearning models were chosen for their investigations due to their distinct properties. Each model was trained using annotated Canadian road deterioration condition datasets including around 27,298 images of potholes, cracks, and alligators, respectively. The system's performance was assessed using a confusion matrix as well as metrics such as mean average precision (mAP) and intersection of union (IoU), as given in Equations 1 and 2, respectively. These measurements showed that the Swin transformer model performed better, with 74% detection accuracy and a processing speed of 42 frames per second. Despite the fact that it requires a large

processing resource to train each of the deep learning models, real-time deployment of the proposed model for detection of road anomalies was not investigated.

$$mAP = \frac{1}{|Q_R|} \sum_{q \in Q_R} AP(q) \tag{1}$$

Where,  $Q_R$  is the number of validations set and AP is the average precision.

$$IoU = \frac{area(B_p \cap B_{gt})}{area(B_p \cup B_{gt})}$$
(2)

Where,  $B_p$  and  $B_{qt}$  are the predicted and ground truth bounding box.

A method that includes the deployment of a deep learning model for detecting road anomalies (potholes), notably You Only Look Once version3 (Yolov3) is presented in [15]. This required the use of 330 image datasets to train the model. The trained model was used for real-time detection by integrating a webcam for real-time road surface image data acquisition, a GPS module for real-time coordinate logging, and visualisation of detected potholes using the Googlemap API. The testing results showed that the proposed system could achieve 90% accuracy and 65.05 mAP. However, limited datasets for deep learning model training affect the proposed approach's performance. Similarly, a technique for detecting potholes that uses YOLOV3 and Faster Region based Convolutional Neural Network (F-RCNN) was presented in [16]. This involves training each deep learning model with road surface image datasets and extracting pothole images from road network recordings. With 90% detection accuracy, the proposed technique was shown to be effective. A real-time deployment method was investigated, but the system cannot localise road anomalies. In developing nations, the need for GPUs and uninterrupted electricity during training may hinder its implementation.

An MVGG16, a modified VGG16 deep learning architecture for pothole detection was presented in [17]. This involved changing dilation rates and removing several convolution layers to improve MVGG16 training performance and reduce computational cost. The suggested MVGG16 was compared to YOLOv5, ResNet101, ResNet50, and VGG16 and used as a backbone network for a quicker R-CNN. Compared to VGG-16, MobilNetV2, and InceptionV3, YOLOv5 significantly improves performance accuracy, mean precision, and inference time when used as the backbone of a quicker R-CNN for real-time pothole identification. Thus, MVGG16 balances pothole detecting speed and accuracy. However, the ambient meteorological circumstances in which image data is taken affect model training and system performance. Computational resource constraints may limit the application of these models in developing nations due to their initial training requirements. We note that a similar approach that uses YOLOv5 and FRCNN was also explored for pothole detection in [18]. Results showed a considerable performance accuracy when deployed for realtime detection on India road network.

In [19], a solution that combined the use of an accelerometer and an ultrasonic sensor for real-time pothole detection based on a deep convolutional neural network (CNN-DL) was described. The sensors output was processed in real-time for the detection of potholes and humps. The GPS system embedded onto their design aided in getting corresponding coordinate location of potholes and thereby alerting appropriate agencies. The experimental findings illustrate the usefulness of the suggested approach for detecting potholes and humps. Nonetheless, the suitable positioning of such sensors to keep them from being damaged by environmental effect as well as other interferences such as the intensity of sunlight that influences the operation of the ultrasonic sensor remained a challenge. A similar approach based on an advance IoT based technology that utilizes a YOLOv7 deep learning model and ultrasonic sensor as a two stage approach for pothole detection towards ensuring the accuracy of the proposed system was reported in [20]. The approach shows prospect for a possible adoption and deployment for used in developing nations.

Furthermore, in [21] a CNN-based model, especially YOLOV3, was proposed for the classification of various road types, including paved, unpaved, and asphalt roads. In

addition, the suggested model was trained to detect the existence of potholes on classed asphalt images with an accuracy of 96%, while the road classification achieved an accuracy of 88%. Also, an approach that incorporated a CNN and web-based application embedded on an unmanned area vehicle for road anomalies detection, viz potholes, cracks and other defects was reported in [22]. Despite showing prospect for the successful detection of potholes anomaly and reporting instance to appropriate authorities for planning maintenance, its suitability for deployment in developing nations is difficult, where the internet network facilities is not yet optimal for the operations of such technology.

For real-time pothole detection on Indian roads, a transfer learning-based technique called faster region-based convolutional neural network (F-RCNN) and Inception-V2 was created [23]. This included connecting the established model processing device with a web camera positioned in front of a car to acquire a live stream of road surface data, analyse it in realtime, and detect the existence of potholes. Nonetheless, the experimental findings demonstrated that the proposed technique was capable of detecting the underlying anomaly. However, the localisation of the identified potholes anomaly was not incorporated into their design, which is critical to assisting road maintenance in developing countries. These documented findings are summarized in Table 1, while highlighting the method, strength, limitation and possibility of realtime deployment for each of the manuscript reviewed.

Paper	Goal	Method	Limitation	Performance Accuracy	Realtime Deploymen Capability
[11]		EfficientDet, YOLOv4, YOLOX, and Swin Trans- former deep learning mod- els were used to detect road anomalies.	System training requires lots of computing resources.	Swin transformers achieve 74% accuracy.	NO
[15]	YOLO3-based pothole detection	A trained model with realtime detection using a webcam for road surface image data gathering, a GPS module for coordinate logging, and the Google- map API to visualize pot- holes.	Small training dataset used by the model serves as a drawback	90% accuracy and 65.05 mAP was achieved	YES
[16]	Region based Convolu-		The system could not local- ize detected road anomalies	90% detection accuracy was achieved	YES
[17]	Article highlights a mod- ified VGG16 deep learn- ing architecture for pot- hole detection	Achieved by changing dila-	Requires a huge computa- tional resource which can affect the model training and system performance	Outperforms other mod- els interms of perfor- mance accuracy, mean precision, and inference time when used as the backbone of a quicker R- CNN	YES
[18]	Pothole detection that uses YOLOv5 and FRCNN	Similar methodology as presented in [17]	Considered only an Indian road network	No measurable value though satisfactory re- sults achieved	YES
[19]			Suitable positioning of the sensors was a key challenge		YES
[21]		road types into paved, un-	The system could not be as- certained to aid real-time detection and deployment especially in developing countries.	cation of potholes based	NO
[22]	CNN-based road anom- aly detection with a UAV- based web application.	Incorporate a trained model and a web-based ap- plication on a UAV de- ployed for monitoring road surface conditions and de- tecting road anomalies	ne system may experience malfunctions when de-	The system was success- fully able to detect dif- ferent road anomalies and log such infor- mation the design web application	YES
[23]	A transfer-learning based technique called faster-re- gion based CNN and In- ception-V2 was consid- ered for Indian roads	The model was connected to a processing device with	Localization of identified potholes was not considered in their design.		YES

 Table 1. Deep Learning Approaches for Potholes Detection.

### 3. Exploration of LiDAR and Ultrasonic Sensors based Approaches for Pothole Detection

This section evaluated various contemporary methodologies that investigated the use of LiDAR and ultrasonic sensors for pothole detection. We observe that, despite the limited recorded literature on the application of this technology for real-time pothole detection, it nevertheless offers a reasonable promise for implementation in developing countries such as Nigeria. This is due to its low cost and convenience of implementation to assist drivers in navigating an anomalous road network. Thus, ensuring the safety of lives and properties.

An automated pothole anomaly detection system that makes use of an ultrasonic sensor, a GPS module, and a driver alerting system was reported in [24]. The ultrasonic sensor assessed the road surface and detected potholes, while an accelerometer sensor incorporated in the system determined the depth of the perceived pothole, notifying drivers and sending an email warning to approved users. Experiment results show that it is suitable for pothole detection with about 90% performance accuracy at speeds ranging from 10 to 50 km/hr. Though suited for deployment in developing nations, new strategies that could minimise the impact of environmental conditions on the functioning of the system when deployed for use should be considered. Similarly, [25] reported a user-friendly approach that incorporates the use of an ultrasonic sensor and a Raspberry Pi as a processor for realtime pothole anomaly detection. Potholes were detected using the timing difference between the radiated and reflected signal pulses. The experimental results show that the proposed approach for real-time detection was effective. However, the system's inability to localise the identified pothole and save such information on a remote database or onboard to allow appropriate authorities to plan and prioritise maintenance is a drawback of the developed system.

A 2D LiDAR sensor technique for detecting ground, barriers, and potholes was reported in [26]. This requires the use of Robotic Operative System (ROS) nodes to facilitate easy modification of the mobile robots with sensors connected. When the sensor's angle of inclination to the horizontal axis is at 15°, data is obtained. The sensor's position requires a height of 55cm. Furthermore, a 2m distance from the robot is required for data collection. The data was converted from polar to cartesian using point cloud. Line detection finds barriers in segmented point clouds. The Euclidean distance between points helps identify lines. Ground lines were 'green,' impediments were 'red,' and potholes were 'yellow,' according to the experimental results. Due to the indoor trial, obstructions and potholes were not quite as prevalent as expected. Additionally, sensor usage caused testing errors. Thus, hindering real-time deployment.

Similarly, [14] proposed an automatic pothole detection method based on a 2D Li-DAR sensor. This entailed the installation of two 2D LiDAR sensors separated by one meter, which housed a mobile camera capable of scanning the road surface across a 4m broad area. Scanning occurs 30 times to aid in reliable data capture, and the camera unit records and retains the information scanned. To aid in pothole detection, four processes were performed: filtering, clustering, line extraction, and gradient of data function. MATLAB was used to simulate estimated pothole information such as breadth and depth and compare it to actual potholes. The results revealed successful detection with low error rates. Nevertheless, in a 2D Lidar simulation, the pothole was moved farther from the road centre.

A revolutionary method of detecting and filling potholes utilising an ultrasonic sensor technology was proposed in [27]. Canny-edge detection and image processing techniques were utilised to classify the various road types. An ultrasonic sensor measures the depth and range of potholes, and the findings are shown on a mobile device. The canny edge approach was shown to be 87.5% accurate. However, the proposed approach did not consider real-time identification and localization of potholes. Similarly, an Internet of Things (IOT)-based method for detecting potholes was proposed in [28]. The suggested concept includes an interface where users can register their information about the path they want to take. The database part provides the number of potholes that are expected to be met along the chosen path, as well as their geolocations. A robot, ultrasonic sensor, and ESP-8266 module are included with the sole purpose of detecting potholes retrieved from the android application in real time. Despite the efficacy of the method for pothole detection and alerting, its performance cannot be quantified. Table 2 summarizes these different approaches that have explored the use of LiDAR and Ultrasonic sensors for pothole detection.

Paper	Goal	Method	Limitation	Performance accuracy	Realtime deploy- ment capability
[24]	An Automated pothole anomaly detection system that uses a GPS module and an ultrasonic sensor	The accelerometer measured the depth of the potholes. However, the ultrasonic sen- sor accessed the road sur- face	Requires new strategies to minimize environmental im- pact(s)	90% accuracy	YES
[25]	Realtime pothole detec- tion that incorporates ul- trasonic sensor and Rasp- berry pi	difference between radiates	Portrayed some challenges in localization of identified pot- holes		YES
[26]		To produce a 3D map from a downward suspended 2D LIDAR sensor using a Ro- botic Operative System (ROS) software	An indoor experimental trial that did not employ mobile robots for the sensors. Fur- thermore, the system did not consider obstructions and potholes	Could not ascertain measurable accuracy val- ues	NO
[14]		Implemented by the instal- lation of two 2D LiDAR sen- sors separated by one meter, which housed a mobile cam- era capable of scanning the road surface across a 4m broad area.	ne simulation revealed that	No measured value. Though, detection was with minimized error rates.	YES
[27]	A new method of detect- ing and filling potholes utilising an ultrasonic sen- sor technology. In addi- tion, the approach makes use of Canny-edge detec- tion and image processing to classify road types.	range of potholes, and the findings are shown on a mo- bile device.	The approach was not imple- mented in real-time for proper identification and lo- calization of potholes.	The system performs with 87.5% accuracy us- ing canny edge detection.	NO
[28]	tion system based on the	The model employs an in- terface where users can reg- ister their information about the path they want to take. The database part provides the number of potholes that are expected to be met along the chosen path, as well as their geolocations.	Difficulty in quantifying the performance of the system	No clear means of meas- uring performance	YES

Table 2. Exploration of LiDAR and Ultrasonic Sensors based Approaches for Pothole Detection.

## 4. Conclusion

This study provides a concise detailed assessment of modern deep learning algorithms, as well as the application of sensors such as LiDAR and ultrasonic sensors for realtime pothole detection on asphalt road surfaces. We note that, despite the reported performance of various deep learning methods with improved performance accuracy, the initial cost of computational resources such as GPU required for training the models may limit its widespread acceptability and suitability for deployment in developing countries such as Nigeria. Furthermore, despite environmental conditions that may occasionally

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