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Feasibility Study of Time-Frequency Representations as a Feature Extraction Method for the Classification of Tool Wear Patterns in the Milling Process [†]

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Abstract: The time-frequency analysis has garnered attention for research due to its applications in studying non-stationary signals, revealing information often obscured by conventional time or frequency domain analysis. This study aims to reduce the computational cost associated with large dataset analysis using the smoothed pseudo Wigner-Ville distribution (WVD), a valuable time-frequency tool for analyzing various signal data.. We used a 9000-sample acoustic signal from a milling machine, sampled at 100 kHz, and filtered between 1 kHz to 8 kHz. Two approaches were pursued: first, calculating the average WVD from equidistant time windows; second, reducing the sampling rate by a factor of ‘k’ by creating an array where each ‘nth’ element corresponds to the ‘k*nth’ element of the original signal. The mean WVD method distorted the time-frequency diagram with middle-range frequencies, while the second approach preserved the WVD, even with significant ‘k’ factors, reducing analysis time significantly. Incorporating a redundant data elimination method in the sampling rate reduction process markedly reduces analysis time.

Keywords: wigner ville; non stationary; time-frequency analysis



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1. Introduction

Machine learning-based artificial intelligence systems are becoming increasingly important in monitoring conditions in manufacturing processes due to the possibilities of automating manual processes and making real-time decisions based on data generated by sensors. Following new industrial practices and the concept of Industry 4.0, such intervention will ensure a smarter and automated manufacturing process, resulting in improved quality and cost reduction [1–3].

Convolutional neural networks (CNNs) stand out as powerful tools for the tasks mentioned above, particularly for image recognition and processing through deep learning (DL) algorithms. These algorithms can be trained to recognize patterns indicating tool wear onset, predict when a tool needs replacement, classify different levels of wear, and estimate remaining useful life (RUL). However, technical challenge when using CNNs is related to long sequences, such as those derived from monitoring a manufacturing process, which can become a complex task requiring high computational demand. In the study conducted by Wang and Oates [4], an approach for transforming time series data into images was developed, enabling the extraction of features by CNN in time series analysis. The proposed methodology includes two algorithms for transforming time series data, based on gramian angular summation/difference fields (GASF/GADF) and Markov transition fields (MTF). While the strategy of converting time series into images has proven effective, due to the

forementioned challenges, there is a need for tools that can overcome the limitations of training with long sequences and provide greater clarity in interpreting their decisions.

Therefore, the aim of the present study is to assess the feasibility of using time-frequency representations as a feature extraction tool from non-stationary signals, while also providing the ability to correlate the tool condition with changes in the frequency spectrum corresponding to the acoustic signal. The data analyzed in this work corresponds to the study of the milling process. It is employed for the manufacturing of a wide variety of parts, characterized by its ability to remove material from the surface of the workpiece, giving it complex shapes and precise finishing. The machining process of parts inevitably involves the wear of the milling cutter, resulting in a gradual loss of its cutting precision. This degradation can lead to the production of parts with inadequate finishing or damage. Hence, in order to enhance this operation's quality, it is of great ambition to monitor the preceding phenomenon. In this study, time series data based on acoustic emission signals related to the milling tool wear were transformed into images using the Wigner-Ville distribution (WVD), as employed by Schol [5], by means of two distinct computational approaches, based on average WVD in equidistant time intervals, and also through data sampling rate reduction. The time-frequency representation will be particularly useful in examining the variable frequency content at different time intervals in the signal, potentially providing additional insights into the level, intensity, and onset of tool wear. Related approaches in the literature have used short-time Fourier transform (STFT) [6] and wavelet transform (WT) [7]. However, the WVD method serves as an alternative not yet reported within the scope of this study.

It is expected that the present study will contribute to overcoming the challenges related to the need for tools capable of handling the limitations of training with long sequential data and providing more clarity in interpreting results in the practical use of CNNs. The results are also expected to contribute to the automation of the manufacturing process and the optimization of tool life in machining operations, specifically focusing on the milling process.

2. Material and Methods

Three ways to carry out the diminish of computational cost aforementioned were proposed, which will be detailed in the following subtopics.

2.1. Dataset

The dataset taken as reference was obtained from the work of [8], which consists of a MATLAB structure composed of acoustic emission signals with 9000 samples, obtained from a sampling frequency of 100 kHz using the WD 925 sensor for monitoring purposes. The experiments of the dataset were conducted on a milling machine for different speeds, feeds, and depth of cut. The tool wear, VB, was also measured for each test series. For the first two subsequent analysis, the signal was filtered by a band-pass filter at the band 5 to 7 kHz with the objective of easing the analysis.

2.2. Methodology of the Resulting Average Spectrum

The Wigner-Ville Distribution is a widely used time-frequency analysis tool for extracting features from non-stationary signals. One of the main reasons for its popularity is highlighted by Scholl, who suggests that the WVD overcomes the spectral resolution limitations of other time-frequency transforms by utilizing the concept of instantaneous autocorrelation [9]. In this methodology, three sample windows were extracted from the original signal, all of the same size, representing three different instants of the sampling: the beginning, middle, and end of the milling process. From these three sub-signals, a fourth one was generated following the relationship presented in the equation below:

$$a_{mi} = \frac{a_{1i} + a_{2i} + a_{3i}}{3}$$

where $a_{mi}, a_{1i}, a_{2i}, a_{3i}$ are the i -th terms, respectively, of the final sub-series and the three time windows taken from the original signal.

2.3. Methodology of Reducing the Sampling Frequency

In this approach to mitigate the computational cost of the WVD, a sub-series of the original signal was generated in such a way that:

$$b_i = k \cdot a_i$$

where b_i, a_i represent, respectively, the i -th term of the resulting signal and the original signal. In the meantime, the resulting signal is a version of the original signal sampled at a sampling frequency:

$$f_b = \frac{f_a}{k}$$

where f_b and f_a are the respective sampling frequencies of the resulting signal and the original, and k is the sampling frequency division factor.

2.4. Joint Analysis

In the particular case of the analysis taken in this subsection, the filter applied was a low-pass filter with cut frequency of 40 kHz. The reason is to better simulate the research conditions, since the dataset document claimed that the foregoing cut-frequency represents the overall band of the acoustic emission signal. This filter also eliminates noise in higher frequency components, as it was said in other sections.

It was tracked a joint analysis composed by first preprocessing the signal taking out noise by applying the aforementioned low-pass filter, and then eliminating undesired transient information, by selecting an interval of the original signal when the milling process becomes stable, and then applying the aforesaid method of diminishing the sample frequency.

For diminishing the sample frequency, as a result of the 40 kHz bandwidth and following the Nyquist Criterion, the new one taken was 80 kHz, which means a division factor of:

$$k = 1.25$$

This factor was obtained by discarding every sample whose position was a multiple of five from the original signal.

3. Results and Discussion

3.1. Methodology of the Resulting Average Spectrum

For the sake of simplicity, the following methods' results will be shown for only one signal from the dataset mentioned previously. The WVD of the signal, without any prior treatment, is shown in the Figure 1:

3.2. Methodology of the Resulting Average Spectrum

The analysis of the average spectrum from 2000 and 3000 sample windows based on the WVD is shown in Figure 2 below. In this figure, the horizontal axis represents time in seconds, while the vertical axis represents the signal's component frequency in Hz.

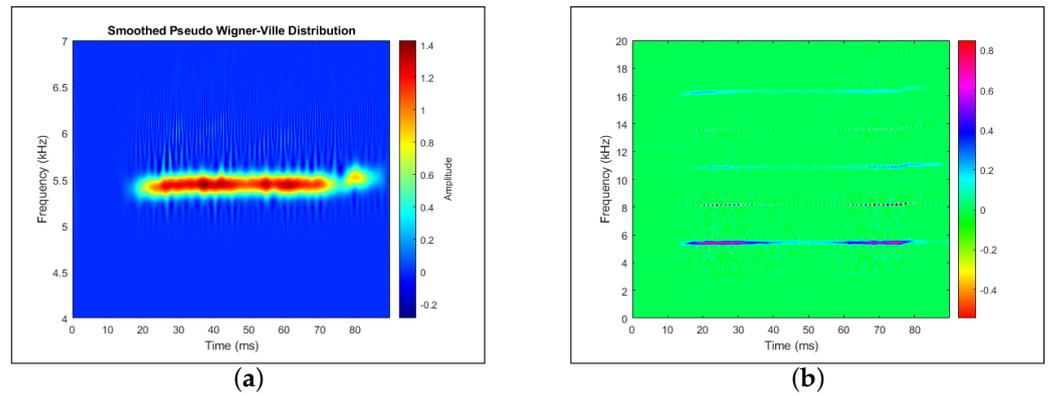


Figure 1. Wigner-Ville Distribution of the Signal. (a) For the band 4kHz to 7kHz. (b) For the full signal band. **Source:** Author’s own work

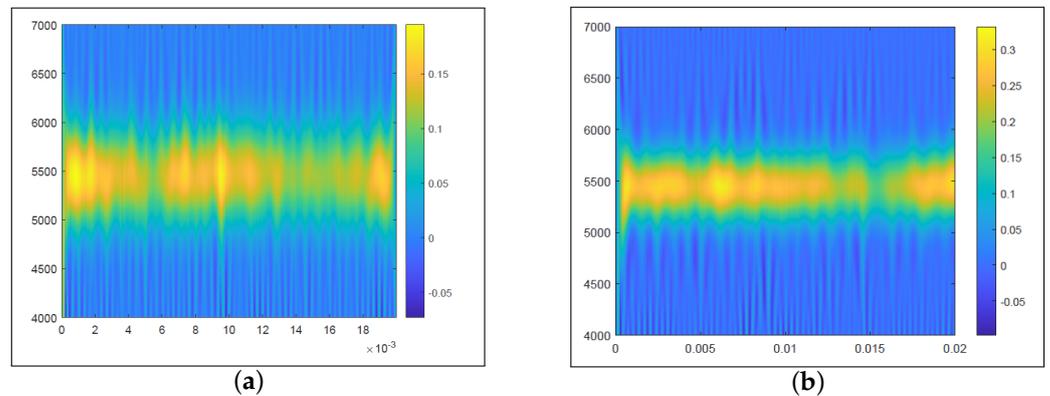


Figure 2. Mean spectrum for 2000 and 3000 sample windows. (a) WVD of the average spectrum obtained from 2000 samples of the original signal. (b) WVD of the average spectrum obtained from 3000 samples of the original signal. **Source:** Author’s own work

Using the methodology of the average spectrum, the compilation time for the observed plot was significantly shorter than that taken to produce Figure 1a. Furthermore, the signal’s spectral density remains close to 5.5 kHz, as in the original plot. However, it is noteworthy that no windowing time adopted was able to faithfully reproduce the original signal’s spectrum. The reason for this mismatch between the spectra presented in Figure 2 and the original spectrum is due to the multidimensional nature of the tool used. The calculation of the average between the spectra of the adopted time windows resulted in the mixing of the energy densities of the spectrum in these analyzed time intervals, resulting in distortions in the final average spectrum that propagate throughout the temporal extension of the WVD.

3.3. Methodology of Reducing the Sampling Frequency

The compilation of spectra for this methodology took significantly less time than that spent on the average spectrum analysis and notably yielded better results compared to the method being compared, maintaining the signal’s spectrum even for a sample size six times smaller than the original signal. However, it can be observed from Figure 3b that the tool loses resolution with high values of k . This occurs as the sampling frequency division (F_a) must still adhere to Nyquist’s Law, which dictates that F_a must be at least 2 times greater than the signal’s bandwidth. Since the original signal has a bandwidth of 40 kHz, the reduction in sampling frequency could not adopt values of the division factor k large enough for a useful reduction in computational cost. In this scope, greater changes in time analysis, using this approach, can only be achieved for lower frequency bands of the signal.

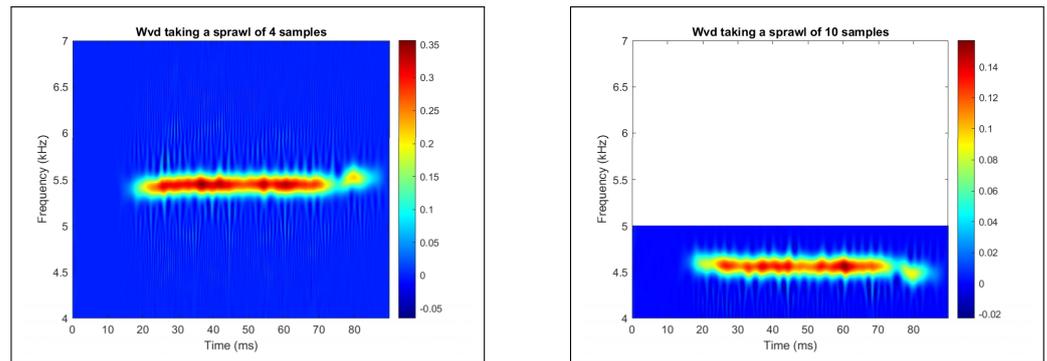


Figure 3. WVD obtained for different sampling frequency division factors k . (a) WVD for $k = 4$. (b) WVD for $k = 10$. **Source:** Author's own work

3.4. Joint Analysis

By analyzing the signal time-series plot, it can be observed that the moment where the milling process stabilizes is approximately 20–70 ms. This interval corresponds for samples number 2000 to 7000 of the signal.

Applying the original signal by a band-pass filter from 1–40 kHz, dividing the sample rate by a factor of 1.25 and taking the aforementioned interval of the signal results in Figure 4:

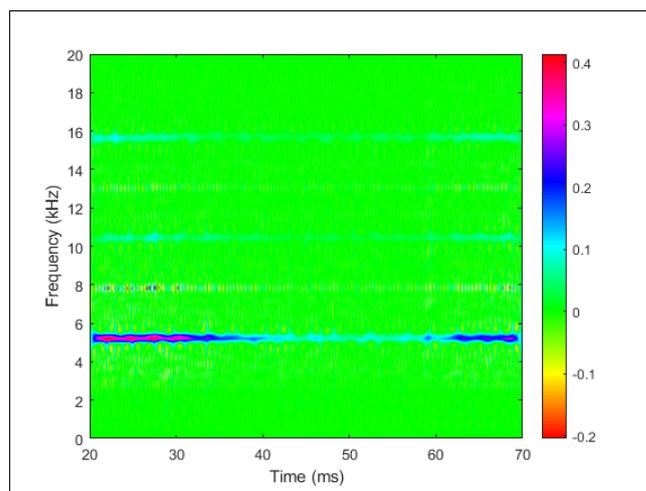


Figure 4. Wigner-Ville Distribution of the signal after joint analysis. **Source:** Author's own work

Figure 4 is identical to Figure 1b, with the only difference being the time range. The time taken to plot the former was about 5 s, while the latter took 75 s to be prepared. This proves that the approach reported in this subsection is of great efficiency, and enhances significantly the time of analysis without damaging the original information.

4. Conclusions

This research delved into the realm of time-frequency analysis, with a specific focus on addressing the computational challenges associated with the analysis of large datasets. The study harnessed the smoothed pseudo Wigner-Ville distribution (WVD) as a potent tool for time-frequency analysis, utilizing a 9000-sample acoustic signal from a milling machine, sampled at 100 kHz, and filtered between 1 kHz to 8 kHz.

Three distinct approaches were explored to mitigate the computational cost of this analysis. The first approach involved calculating the average WVD from equidistant time windows, but it was observed that this method led to a distortion of the time-frequency diagram, particularly in relation to middle-range frequencies. The second approach, which

involved reducing the sampling rate by a factor of 'k' through an array-based transformation, proved to be a more promising solution. This method demonstrated the ability to preserve the essential characteristics of the WVD even with significant 'k' factors, which significantly reduced the analysis time. The third approach consisted in the incorporation of a redundant data elimination method in the sampling rate reduction process to further enhance the efficiency of the analysis.

In essence, this research has showcased the feasibility of employing a joint preprocessing of the signal, consisting in incorporating noise and transient data elimination to the second method formerly presented, as a valuable technique for reducing the computational burden associated with large dataset analysis in the realm of time-frequency analysis. By offering a pragmatic solution that balances computational efficiency with the preservation of analytical fidelity, this study paves the way for more efficient and expedited analysis of non-stationary signals in various applications. The findings of this research can be instrumental in enhancing the practicality and utility of time-frequency analysis for researchers and practitioners working with substantial datasets in diverse fields.

Data Availability Statement: Publicly available dataset was analyzed in this study. This data can be found here: [<https://www.nasa.gov/intelligent-systems-division/discovery-and-systems-health/pcoe/pcoe-data-set-repository/>]. 3. Milling. (accessed on).

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Abbreviations

The following abbreviations are used in this manuscript:

WVD Wigner-Ville Distribution

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