



## Proceeding Paper

# Robot-Aided Quality Inspection of Plastic Injection Molding Parts Using an AI Anomaly Detection Approach in an Industrial Environment <sup>+</sup>

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**Abstract:** While artificial intelligence has shown promising results for quality inspection, it often requires large training datasets, which are impractical for industrial applications. Defective parts are heavily underrepresented in normal production which makes it a poorly posed problem for supervised learning approaches. In this work, this issue is tackled by combining an automated inspection procedure with an anomaly detection approach for defect detection. A 7-DoF robotic manipulator was used to automate the part handling in front of an industrial optical camera sensor. The captured images were used to train a PaDiM anomaly detection network to reconstruct a normal image of the part. The results show that various defects can be detected with defect detection rates up to 100% while maintaining approximately 91% specificity using a small dataset of 117 parts.

Keywords: robotics; automation; optical inspection; anomaly detection; artificial intelligence

## 1. Introduction

In-line quality inspection in manufacturing industry is of high relevance to reduce financial losses by minimizing waste and preventing returns [1]. In the current time, production processes are becoming increasingly more efficient demanding automated systems that can keep up with the increased production speed without losing reliability [1].

Although deep learning (DL) approaches are already applied in a broad spectrum of fields, they are still rare in industrial settings. This is usually due to the highly time intensive process of acquiring training data, which is not readily feasible in time-efficient production environments, which has already been addressed by previous works [2,3]. This paper aims to explore possibilities to seamlessly integrate training data acquisition into normal production. As the targeted use-case the field of plastic injection molding was elected, where 100% inspection of the produced parts is commonly required to ensure high production standards. Plastic injection molded parts often have complex surface structures which makes the inspection of such parts time-consuming, difficult to automate and unreliable for humans. Due to the wide variety of materials, geometries, surface properties and degrees of complexity in the field of injection molded components, each product has specific characteristics that are used to evaluate product quality manually or automatically. Examples include geometric variations, flow marks, scratches, weight variations, differences in gloss level, cracks, etc. Many systems can identify single or a few defect features. But no system exists which can evaluate several defect-features at the same time without a substantial training database. Especially in the field of plastic

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**Copyright:** © 2024 by the authors. Submitted for possible open access publication under the terms and conditions of the Creative Commons Attribution (CC BY) license (https://creativecommons.org/license s/by/4.0/). injection molding flexible inspection system designs would be desirable due to the inherently dynamic nature of plastic injection molding. The mold cavity as well as the plastic or rubber material are readily exchangeable and new mold cavities for new parts are being developed regularly. Thus, smart systems that are easily adaptable to changing circumstances would greatly benefit the production quality and efficiency in this field.

To achieve this, the experimental setup of this work consists of a robotic manipulator used to execute the handling of the parts—namely picking, placing, and presenting it to an industrial camera in multiple orientations. On the backend, a DL approach is applied that processes the acquired images and assesses the quality of the inspected part. This work aims to be a prove the concept of a robotically aided automatic inspection procedure in this production field that is easily adaptable to other use-cases.

More concretely, this paper aims to contribute practical potentials, limits, challenges, and experiences that arise when implementing robotic automation and DL anomaly detection into industrial manufacturing processes.

## Related Work

The field of defect detection on images is very broad. The development of artificial intelligence networks in recent years has enabled real time detection and/or classification of defects on optical images with very high precision. Currently, machine vision or DL approaches are broadly investigated in many fields, especially in the production sector [4–6]. The majority of approaches in this field employ supervised learning which requires vast amounts of data to train.

Transfer learning, the application of previously learned skills to new circumstances can be used to mitigate this problem. While initially, transfer learning was only applied to knowledge transfer between similar domains, now first results indicate that it is also possible to transfer knowledge between dissimilar domains, achieving up to 99.95% classification accuracy [7]. Transfer learning has also already been evaluated in an industrial environment for defect detection of thermal conductive components, where it could be shown that a CNN pretrained on ImageNet can reach an accuracy of 93% after training on a 6000 image dataset with four types of defects [3]. It should be noted that [3] and [7] used grayscale images and considered only parts/subjects without complex geometries. An alternative approach to the reduction of training data is data augmentation. This has already been applied to practical use-cases in the field of semi-conductor manufacturing, where as little as 30 images per class could be used to achieve a accuracy of approximately 95% [2]. However [2] also state that generating a consistent amount of defect data for each class was difficult in practice.

Alternatively, semi-supervised, or unsupervised approaches are also described in the literature. In these cases it is assumed that either only defect-free samples are learned, or that the majority of training samples is defect-free [6]. In recent developments the Patch Distribution Modelling (PaDiM) anomaly detection approach [8], reached at least 94.1% Area Under The Curve—Receiver Operating Characteristics (AUC-ROC) metric for all classes in an anomaly detection benchmark dataset (MVTech AD) [9]. The main advantage for the anomaly detection approach is that defective parts are usually heavily underrepresented in production. Furthermore, it is not always trivial to synthesize defects, so that the acquisition of appropriate training data is challenging in an ongoing production environment. A drawback of anomaly-based methods is that no direct classification of the defect is possible.

When it comes to automating the required data acquisition for optical surface defect detection different systems are applied. Stationary cameras observing conveying systems, CNC machines, dedicated inline computer vision systems or articulated robots with a camera as end-effector [1]. Especially in serial production of complex parts, it is not trivial to design a process, that achieves reliable and precise inspection of the entire part surface. Complex parts are usually difficult to pick for robots and require multiple viewpoints for an optical inspection procedure to sample the entire surface. To avoid the problem of

picking and placing parts, articulated robots are often used to manipulate optical sensors around objects for inspection. In [10], an articulated robot was used to track parts on a running conveyor belt using a stereovision 3D scanner, paving the way for in-motion quality inspection. Another example for this robot-camera setting is given in [11], where a mobile articulated robot with a dual camera setup and a 3D scanner is used to inspect aircraft engine assemblies. Furthermore, in [12], a robot was used to pick metal washers and manipulate them in front of a high resolution inspection camera system to perform geometric measurements. An advantage of the part-in-hand setting instead of the sensorin-hand setting is that perspectives of complex shapes are easier to reach while avoiding occlusions.

## 2. Materials and Methods

Our experimental setup consists of a robotic manipulator as the part handling system Franka Emika Panda (Franka Emika GmbH, Munich, Germany), a Basler GigE Vision (Basler AG, Ahrensburg, Germany) industrial camera system with an attached ring light source and a PCE Instruments PCE-AB100C precision scale (PCE Deutschland GmbH, Meschede, Germany).

The part on which the system is applied is a transparent thermoplastic (polycarbonate) ring, with complex small surface structures (see Figure 1). A general overview of the proposed experimental setup is given in Figure 2.



Figure 1. Injection molding part model used in the proposed system architecture.



**Figure 2.** Overview of the used system setup consisting of the part handling robot, an industrial camera and a precision scale.

The prototype realizes a generalizable system architecture, applicable in an industrial production setting that is differentiable into the robotic unit, the anomaly detection approach and the communication and data management of the entire process.

## 2.1. Image Acquisition Procedure

The complete inspection process consists of the initial production of the part, which is then picked up by the robot at a consistent, predefined grasp position on the part. The robot moves the part to the industrial camera and captures 20 MP uncompressed images of eight different, heuristically defined perspectives. Subsequently, the part is placed on the precision scale and picked up again at a second predefined grasp point after the weight measurement is completed. This necessary due to the occlusions caused by the gripper in the first set of images. The same eight perspectives are acquired again using the second grasp point, yielding a total of 16 inspection images per part. The complete process diagram is given in Figure 3.



Figure 3. Diagram of the inspection process (GP: grasp point).

Control of the robot is realized using a robot operating system (ROS) environment [13] in which the real world planning scene setting and the corresponding motion of the robot was planned using Movelt [14].

## 2.2. Dataset and Image Preprocessing

The dataset used for this study comprises 118 defect-free parts (no noticeable differences or defects to a sample part) and 32 parts with different kinds and degrees of artificially generated defects (scratches, cracks, dots, missing geometries, deformation). Some of the generated defects are given in Figure 4.



**Figure 4.** Examples of some of the artificially generated defects on the part surface marked in red. From left to right: scratches, dots, crack, missing structure 1, missing structure 2.

The acquired images need a few processing steps before being used for training of the elected anomaly detection method. To avoid processing too many unnecessary pixels, the full image is initially cropped to the outline of the part. Therefore, Multi-Otsu [15] was used to determine a threshold for the background and the image was cropped accordingly. Additionally, heavy reflection artifacts from the metal fingers of the robot gripper introduced challenges to the anomaly detection algorithm. As the gripper was in a very consistent image area and is not required for the actual quality analysis, this part of the image was cropped by removing the bottom 300 rows of pixels from the image. The cropped images were resized with constant padding to the shorter side to achieve a square image ratio. Finally, each image was normalized according to the ResNet18 documentation [16].

#### 2.3. Anomaly Detection Network and Training

For the anomaly detection, an implementation of PaDiM [8] with a ResNet18 backbone [17] was trained on a GeForce RTX 3090 GPU to convert input 20 MP RGB images into lower resolution anomaly heatmaps. To reduce the potential for outliers, each anomaly heatmap was filtered using an isotropic gaussian smoothing operation with  $\sigma = 4$ . An individual model was trained for each of the sixteen perspectives of the part. To estimate the required training dataset size and output precision, the network was trained using different parameters. Lower resolution heatmaps require less computation time and thus grant faster inference times while less training data yields shorter training times and preparation/data acquisition periods. This was done by varying the training/validation split ratios (0.4, 0.6, 0.8) of the defect-free images as well as three network output resolutions (256 × 256, 512 × 512, 1024 × 1024) for the three split ratios, resulting in nine test cases.

## 2.4. Anomaly Decision Process

The PaDiM network generates heatmaps in the specified size in which each pixel corresponds to an anomaly score. The decision of whether a part is anomalous based on the anomaly heatmaps can be approached in different ways. To avoid manual labelling, which is time-intensive and defeats the purpose of this work, threshold-based decision methods are applied to determine an "anomaly score" A. In each case, an anomaly score defined and compared to the threshold T. If the threshold is crossed in at least two of the 16 images for each part, the part is labelled anomalous. This was done to increase the robustness of the detection against noise or outliers.

#### 2.4.1. Maximal Image Value

This metric extracts the maximal image value from each anomaly heatmap *M*.

$$A_{max} = max\left(M\right) \tag{1}$$

#### 2.4.2. Percentile-Based

A more robust approach may be the usage of percentiles instead of the maximal image value. This may account for outliers in defect-free anomaly maps. For this approach, the anomaly score is equal to the image value at *p*-th Percentile *P*.

$$A_p = P_p(M) \tag{2}$$

## 2.4.3. Cluster-Based Anomaly Decision

A slightly more advanced approach is to binarize the anomaly heatmap according to T and then evaluate the resulting pixel clusters by size. Here, the binary decision whether an image is anomalous or not is based on the presence of at least one cluster of pixels above T of at least size S. Furthermore, it is possible to mark the detected defect location on the image to evaluate the anomaly in edge cases with low anomaly scores.

The values for *T* and *S* in this work were determined by analyzing the dataset. For *T*, the distributions of maximal image values for all images in all datasets and network configurations (see Section 2.3) were plotted and the mean distance between the training data distribution and anomaly data distribution was calculated. An example for this evaluation is given in Figure 5. The value of S was determined by estimating the dimensions of the

minimal expected defect size and converting it to the corresponding pixel area. A minimal defect size of 0.5 mm was defined according to the smallest defect in the defective dataset. A circular pixel area was assumed for determining the expected pixel cluster size in an anomalous image. To optimally reflect the expected minimal anomaly cluster size, the smoothing kernel size was considered by adding the kernel size to the pixel defect size before calculating the pixel area. This results in the following values for *S* in the different resolutions: 1024: 131, 512: 86, 256: 67.



**Figure 5.** Example distributions of maximal image values to determine a threshold value for the subsequent analyses. The network configuration with 94 training parts and 23 validation parts was chosen for this purpose with the 1024 × 1024 heatmap resolution. The mean distance between the distributions of the training dataset (orange) and the anomaly dataset (green) is shown at the dashed red line. The distribution of the validation set is given in blue.

The sensitivity, or true positive rate (TPR), as well as the specificity, or true negative rate (TNR) were used to evaluate the results. In this case, a positive classification corresponds to an anomalous part, whereas a negative classification corresponds to a defect-free part. In industrial manufacturing it is necessary to keep the sensitivity high to maintain high quality standards while also keeping the specificity high to avoid unnecessary disposal of too many parts that are defect-free. This is a common trade-off in classification problems which needs to be balanced for the individual use-case. In this work, emphasis is placed on the TPR to ensure that all anomalous parts are detected. Thus, the metric,  $D_T$ , for evaluating the threshold in this case is the true negative rate (TNR) at the highest threshold,  $T_{max}$ , that still yields a TPR of 1. This way, the best tradeoff where all anomalies are still detected with the least amount of false positive classifications can be determined.

$$D_T = TNR_{T_{max}} \tag{3}$$

## 3. Results

The main results for the proposed anomaly decision methods and the different network parameters are given in Figure 6. In Figure 6, the results for sensitivity and specificity are shown against the respective anomaly score thresholds for the three evaluation metrics. The range of thresholds was chosen according to the results from Figure 5, which indicated that a threshold value around 10 may be a sensible choice to separate the anomalous images from the defect free images. Thus, thresholds from 0 to 15 were evaluated in steps of 1. Additionally, the effects of the split of training data and validation data, as well as the trained anomaly heatmap pixel ratio on the evaluation metrics are given. Additionally, the results for  $D_T$  for each network and decision approach is given in Table 1.

**Table 1.** Evaluation of the lowest False Positive Rate (FPR) at the highest threshold that still yields a true positive rate (TPR) of 1 for each network constellation.  $D_T$  is equal to the FPR at that threshold

Parameter Metrics	Maximal Value		Pecentile 99.99		Cluster-Based	
	Т	TNR	Т	TNR	Т	TNR
47/70/256	6	0.49	6	0.51	6	0.67
71/46/256	7	0.79	6	0.68	6	0.75
94/23/256	6	0.73	6	0.76	5	0.58
47/70/512	9	0.61	8	0.57	7	0.55
71/46/512	8	0.69	8	0.73	8	0.79
94/23/512	9	0.88	8	0.88	7	0.81
47/70/1024	13	0.62	11	0.72	11	0.66
71/46/1024	12	0.71	10	0.78	10	0.75
94/23/1024	11	0.83	10	0.91	9	0.85

and serves as the evaluation metric for the network performance. The minimal values for D<sub>T</sub> for each evaluation method are marked in bold letters.



**Figure 6.** Plots of the sensitivity (true positive rate – TPR) in full lines and the specificity (true negative rate – TNR) in dashed lines against the tested thresholds for the respective anomaly scores. The results are displayed in terms of the three anomaly decision methods (maximal value-, percentile (99.99)- and cluster-based, as well as each anomaly heatmap image ratio (columns) and into the different splits of training and validation values (47/70: blue/yellow, 71/46: green/red, 94/23: purple/brown).

## 3.1. Anomaly Heatmap Size

For all evaluated metrics, the effect of the anomaly heatmap size on the detection performance is similar. The drop-off of the TPR starts at lower thresholds of values around 6–7 for the 256<sup>2</sup> heatmaps, whereas the 512<sup>2</sup> TPR begins dropping at around 7–9 and the 1024<sup>2</sup> maps at 10–11. The opposite is the case for the TNR. Here, the lower resolution anomaly maps reach plateaus earlier and achieve higher rates in general. The TNR is close to one at a threshold value range of 10–12 whereas the TNRs for the 512<sup>2</sup> and 1024<sup>2</sup> maps

are still ascending to one at the maximal evaluated threshold of 15. In combination, this means that the best compromise between false positive detections and false negative is reached at lower thresholds for lower resolution heatmaps and at higher thresholds for the higher resolution heatmaps. In terms of  $D_T$ , higher resolutions on average result in lower  $D_T$ -scores, except for the max-value based decision approach, where the average of  $D_T$ -scores is higher for the 1024<sup>2</sup> resolution heatmap than for the 512<sup>2</sup> resolution heatmap.

## 3.2. Training/Validation Split

The split of training and validation data also influences the performance metrics. In general, the TNR for the lower split ratios is lower than in the higher split ratios, whereas there is no visible difference in the TPR for the different split ratios. The D<sub>T</sub>-scores for the different split ratios are mostly decreasing on average with greater split ratios, although no average difference could be found between the D<sub>T</sub>-scores of the 71/46 split and 94/23 split in the cluster-based decision method.

#### 3.3. Anomaly Decision Approach

The different anomaly decision approaches influence the decision performance as well. The TPR curves drops off with a steeper slope in the percentile-based and clusterbased approaches compared to the max-value based method. The TNR curves are similar to each other in all evaluated cases except for the max-value based TNR curves in case of the 1024<sup>2</sup> resolution. Here, the curves show a larger plateau at thresholds of 6–7 and subsequently slightly lower maximal values for TNR with respect to the other two. Overall, the percentile-based approach yielded the best results in terms of the D<sub>T</sub>-score. In the 1024<sup>2</sup> network with a 94/23 split of training and validation data the D<sub>T</sub>-score was 0.91, meaning that in this case, 100% of anomalous parts were correctly classified as anomalous while 91% of defect-free parts were classified as defect free. The next best D<sub>T</sub>-scores of 0.88 were found in the 94/23/512 settings for the max-value based and percentile-based methods. The highest D<sub>T</sub>-score for the cluster-based approach is 0.85 in the 94/23/1024.

## 4. Discussion

The results indicate that high classification accuracy for anomalous parts can be achieved using anomaly detection methods and simple approaches for decision-making. By tuning the anomaly threshold for the individual use-cases a 100% detection rate of anomalous parts could be achieved. However, 9% of defect-free parts were misclassified in the best performing scenario which corresponds to 11 of 117 evaluated parts. Analyzing the falsely classified parts a few reasons for misclassification could be identified. Examples for these anomalies in the training dataset are given in Figure 7. Some of these anomalies are of technical nature, e.g., in row one an example of a failure of the contour detection for the cutout of the part failed. This may happen due to unexpected structures or changes in the image background and could be fixed by using an image registration approach for the cutout instead of a contour detection approach. In the second row, the gripper is in the cutout frame due to a slight offset of the grasp position on the part. This is likely due to an uncertainty in the experimental setup which can be avoided in industrial implementations of the prototype, by using more accurate hardware in the supply system and the robotic manipulator. Other parts contained residual plastic strings that were barely visible to the naked eye, or very small dust or dirt particles (see Figure 7, columns 3 and 4). The conclusions from this are twofold: Firstly, it could be shown that these kinds of defects can be detected even though they were present in the training dataset. However secondly, it may be difficult to decide whether every anomaly should directly grant rejection of the part as superficial dust may not be reason enough to dispose the part. In conclusion, this means that the  $D_T$ -scores presented in Table 1 may be slightly lower when assuming a more optimal inspection environment and setup. However, the risk of anomaly detection

methods for excessive misclassification of factually defect-free parts needs to be considered in the individual application.

The image resolution as well as the training dataset size has a measurable impact on the classification performance. According to the results of this work, adequate classification accuracy cannot be reached with training dataset sizes below 47 parts for the presented use-case. In this work, the highest measured D<sub>T</sub>-score for the 47/70 split was 0.72, so that almost 30% of all defect-free parts were falsely be classified as anomalous. Furthermore, an anomaly heatmap size of 256<sup>2</sup> will also not result in adequate classification accuracy as the highest D<sub>T</sub>-score reached in the 256<sup>2</sup> networks did not exceed 0.79.



**Figure 7.** Analysis of falsely classified parts from the training and validation datasets. The original image and the corresponding anomaly heatmap (1024 × 1024) are shown and the type of anomaly is labelled on each row.

Three simple decision approaches were evaluated and compared to the other parameters, the influence of the decision method on the classification seems to play a minor role. Interestingly, the still simple, but most advanced decision method "cluster-based" achieved worse classification accuracy in terms of D<sub>T</sub> at only 0.85 compared to the percentile-based approach. The reasons are difficult to determine without having a 100% accurate dataset, as D<sub>T</sub> may be heavily influenced by anomalous parts in the training/validation datasets.

Speed of both the hardware and software system were not prioritized in this work. An inspection cycle with the current hardware system takes approximately 1 min for one part, where the inference takes roughly 5 s per image on a midrange consumer CPU at the highest image resolution of 1024<sup>2</sup>. Future work should consider optimizing hard- and software performance and reevaluate the network performance on further datasets, as well as other parts.

In this work, important findings regarding the application of anomaly detection for automated quality inspection of complex parts could be found. The approaches were focused on ease of implementation and application in practical manufacturing. Anomaly detection approaches are suitable here due to the advantage that only defect free data is required for training. The results indicate high potential for the application in real world industrial manufacturing but need to be tuned to the individual production requirements to avoid unnecessarily high false positive classifications.

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