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# Quantum-chemical study on the role of alkyl chloride Zr,Al-complexes in stereoselective alkene oligomerization

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**Abstract:** A theoretical study on the thermodynamic and activation parameters of two successive9stages of alkene insertion into alkyl chloride bimetallic complexes  $L_2ZrMeCl-AlMe_3$  (L = Cp, Ind,10ansa-Me2SiInd2) and the modeling of the chain termination stage in Cp2ZrClAlk-AlMe3 were car-11ried out. Dependence of the activity, chemo- and regioselectivity of the catalytic system on the12structure of the active sites is discussed.13

Keywords: metallocenes; olefin oligomerization; density functional theory; stereoselectivity

### 1. Introduction

Catalytic reactions of alkene di-, oligo- and polymerization provide a variety of 17 highly demanded products, which structure depends on the type of catalytic system 18 [1-3]. Currently, a large number of catalytic systems have been proposed, including those 19 based on transition metal complexes and organoaluminum compounds [3-9]. These sys-20 tems are characterized by high activity and stereoselectivity. In this regard, the estab-21 lishment of the reaction mechanisms remains an urgent problem. Our research focuses on 22 bimetallic catalytic systems consists of Ti subgroup transition metals and organoalu-23 minium compounds, which catalyze alkene hydro-, carbo- and cyclometalation [10,11]. 24 These reactions can be considered as models of possible stages in the alkene di-, oligo-25 and polymerization processes. As a result of studying the alkene carbometalation by 26 trialkylalanes in the presence of zirconocenes, the key intermediate L<sub>2</sub>ZrMeCl-AlMe<sub>3</sub> was 27 proposed [12, 13]. The intermediate regulates several catalytic cycles and the formation 28 of the corresponding low molecular weight reaction products. In the presented work this 29 intermediate was chosen as an example for studying the sequential stages of alkene in-30 sertion and chain termination to estimate the factors that determine the direction of the 31 reaction, the catalytic system activity, and regioselectivity. For this purpose, we carried 32 out a series of calculations on the thermodynamic and activation parameters of two 33 successive stages of propene insertion into alkyl chloride bimetallic complexes 34 L<sub>2</sub>ZrMeCl-AlMe<sub>3</sub> (where L<sub>2</sub> = Cp<sub>2</sub>, Ind<sub>2</sub>, Me<sub>2</sub>SiInd<sub>2</sub>) and the process of  $\beta$ -H elimination in 35 Cp<sub>2</sub>ZrClAlk-AlMe<sub>3</sub>. 36

## 2. Methods

The density functional theory (DFT) calculations were carried out using the Pri-38 roda-06 package [14,15]. The Perdew-Burke-Ernzerhof (PBE) gradient-corrected ex-39 change-correlation functional [16] in conjunction with the  $3\zeta$  basis set [17] was employed. 40 The electronic configurations of the molecular systems were described by the orbital 41 basis sets of contracted Gaussian-type functions of size (5s1p)/[3s1p] for H, 42 (15s11p2d)/[10s6p2d](11s6p2d)/[6s3p2d] for С, for Al and and Cl. 43 (20s16p11d)/[14s11p7d] for Zr, which were used in combination with the density fitting 44

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**Copyright:** © 2021 by the authors. Submitted for possible open access publication under the terms and conditions of the Creative Commons Attribution (CC BY) license (https://creativecommons.org/license s/by/4.0/). basis sets of uncontracted Gaussian-type functions of size (5s2p) for H, (10s3p3d1f) for C, 1 (14s3p3d1f1g) for Al and Cl, and (22s5p5d4f4g) for Zr. The energy values reported here 2 are all Gibbs energies at 298.15 K and 1.0 atmosphere pressure. Vibration frequency cal-3 culations, within the harmonic approximation, were performed to confirm whether each 4 obtained geometry represented a transition state (one normal mode with an imaginary 5 frequency) or a minimum (all normal modes have real frequencies) in the potential en-6 ergy surface (PES). The character of the normal mode associated with the imaginary 7 frequency was analyzed to ensure that the correct transition state was found. 8

# 3. Results and Discussion

First, the calculations of the thermodynamic and activation parameters of two se-10quential stages of propene insertion into bimetallic complexes  $L_2ZrMeCl -AlMe_3 \mathbf{1}$  (L2 =11Cp2, Ind2, Me2SiInd2) were carried out (Scheme 1, Figure 1).12



Scheme 1. Pathways of two spropene molecule insertion into complex 1.



Figure 1. Energy diagram of the first propene molecule insertion.

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Figure 2. Energy diagram of the second propene molecule insertion (chain growth).

As follows from Figure 1, the reaction of complex **1** with propene is characterized by 3 a positive change in the Gibbs free energy for most cases. Its values vary from -1.3 4 kcal/mol (L=Me2SiInd) to 1.6 kcal/mol (L=Ind). Analysis of the activation parameters in-5 dicates that the alkene insertion should run via the front side of the complex, and the 6 7 1,2-addition of the olefin is preferable, which is in agreement with experimental data [12, 13]. Thus, at this stage, an isobutyl fragment should be predominantly formed along with 8 pathway A. When comparing the energy parameters of pathway A for studied zirconium 9 complexes, it turned out that the most reactive in the carbometalation reaction is the in-10 denyl complex Ind<sub>2</sub>ZrClMe-AlMe<sub>3</sub> (41.7 kcal/mol), therefore, it was chosen for further 11 chain growth modeling (Figure 2). 12

It was found that the insertion of the second propene molecule is a thermodynami-13 cally more favorable process in comparison with the first stage for all studied complexes 14(Figure 2). A comparative analysis of the energy parameters of the four reaction path-15 ways showed that pathways C and D could be predominantly realized for all complexes, 16 i.e. the coordination of the alkene occurs from the outside, which is obviously due to the 17 steric factor. Thus, the bis(cyclopentadienyl)zirconium complex 2A can lead to regioer-18 rors, since the difference between the barriers  $\Delta G^{*}$  (2A $\rightarrow$ 3AC) and  $\Delta G^{\neq}$  (2A $\rightarrow$ 3AD) does 19 not exceed 0.7 kcal/mol. The bulky indenyl ligands, as well as the ansa-bisindenyl ligand, 20 should demonstrate relatively higher regioselectivity due to the large difference between 21 the barriers. For example, for L=Ind the formation of the **3AD** product goes through the 22 barrier of 44.4 kcal/mol, while for **3AC**  $\Delta G^{*}$  = 50.7 kcal/mol. Moreover, for the indenyl 23 complex, the conformation of the growing alkyl fragment becomes a more significant 24 factor based on the thermodynamic stability of the second stage products. For example, 25 the structures **3AA** and **3AC** differ only in the conformation of the alkyl chain. Indeed, 26 the difference in the  $\Delta G^{\circ}$  values of the reactions in the case of L=Ind reaches 7.7 kcal/mol, 27 while the difference in  $\Delta G^{\circ}$  values for L=Cp does not exceed 1.7 kcal/mol. Thus, the 28 course of the reaction depends not only on the  $\eta^{5}$ -ligand type but also on the structure 29 and conformation of the growing alkyl fragment. 30

Second, the modeling of the chain termination stage for the complexes 31 Cp<sub>2</sub>ZrClAlk-AlMe<sub>3</sub> (Scheme 2) was carried out taking into account the possibility of  $\beta$ -H 32 elimination and the formation of the products with a double bond. 33

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**Scheme 2.** Chain termination after the insertion of the first (left) and second (right) propene molecules.



**Figure 3.** Energy diagram of chain termination after the insertion of the first (left) and second (right) olefin molecules.

Possible pathways of  $\beta$ -H elimination were studied for complexes 2A and 2B 7 (Scheme 2, Figure 3). As follows from calculated thermodynamic data and the activation 8 barrier (Figure 3), the formation of vinylidene product 5A is more favorable. The product 9 2B, isomeric to 2A, preferably could be transformed to but-2-ene 5B', while but-1-ene 5B 10 should not be formed due to the higher barrier (the pathway of its formation implies the 11 C-H activation in the methyl substituent). The modeling of possible pathways of  $\beta$ -H 12 elimination was carried out similarly for the complexes **3AA** and **3AB**. It was shown that 13 the pathways of chain termination with the formation of products 6A and 6A" are the 14most energetically favorable. 15

#### 2. Conclusions

A set of theoretical studies was carried out to determine the thermodynamic and activation parameters of two successive stages of propene insertion into methyl chloride bimetallic complex L<sub>2</sub>ZrMeCl-AlMe<sub>3</sub>1 (L=Cp, Ind, *ansa*-Me<sub>2</sub>SiInd<sub>2</sub>), which is proposed as the key intermediate of the alkene carbometalation reaction. 20

It was found that, for all complexes, the most energetically favorable pathway for 21 the insertion of an olefin molecule into the initial complex **1** is the alkene 1,2-coordination 22 to a metal alkyl via the front side of the complex. Comparative analysis of the energy 23

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parameters of the reactions with the first propene molecule for complexes with various 1 ligands showed that the most reactive in the carbometalation reaction should be the indenyl complex Ind<sub>2</sub>ZrClMe-AlMe<sub>3</sub>. 3

The chain growth, i.e. the insertion of the second akene molecule into 4 L<sub>2</sub>ZrAlkCl-AlMe<sub>3</sub> **2** is thermodynamically more favorable, regardless of the type of ligand. However, the reaction with the indenyl and *ansa*-indenyl complexes is characterized 6 by lower activation barriers. Due to the steric reason of the alkyl fragment in complex **2**, 7 the direction of the propene molecule coordination changes, i.e. the insertion is predominantly realized from the outside of the complex. 9

It was found that the regioselectivity of the catalytic systems significantly depends on the structure of the  $\eta^5$ -ligand in the key intermediate. Thus, complexes with bis-indenyl ligands should provide better regioselectivity.

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