

# Proceedings Data-Centric Performance Improvement Strategies for Few-Shot Classification of Chemical Sensor Data <sup>+</sup>

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Abstract: Metal-oxide (MOX) sensors offer a low-cost solution to detect volatile organic compound (VOC) mixtures. However, their operation involves time-consuming heating cycles, leading to a slower data collection and data classification process. This work introduces a few-shot learning approach that promotes rapid classification. In this approach, a model trained on several base classes is fine-tuned to recognize a novel class using a small number (n = 5, 25, 50, and 75) of randomly selected novel class measurements/shots. The used dataset comprises MOX sensor measurements of four different juices (apple, orange, currant and multivitamin) and air, collected over 10-minute phases using a pulse heater signal. While a high average accuracy of 82.46 is obtained for 5-class classification using 75 shots, the model's performance depends on the juice type. One-shot validation showed that not all measurements within a phase are representative, forcing careful shot selection to achieve a high classification accuracy. Error analysis revealed contamination of some measurements by the previously measured juice, a characteristic of MOX sensor data that is often overlooked and equivalent to mislabelling. Three strategies are adopted to overcome this: (E1) and (E2) fine-tune after dropping initial/final measurements and the first half of each phase, respectively, (E3) pretrained with data from the second half of each phase. Results show that each of the strategies performs best for a specific number of shots. E3 results in the highest performance for 5-shot learning (accuracy 63.69), whereas E2 yields best results for 25-/50-shot learning (accuracies 79/87.1) and E1 predicts best for 75-shot learning (accuracy 88.6). Error analysis also showed that for all strategies more than 50% of air misclassifications resulted from contamination, but E1 was affected the least. This work demonstrates how strongly data quality can affect prediction performance especially for few-shot classification methods and that a data-centric approach can improve results.

Keywords: metal-oxide sensors; few-shot classification; data quality analysis

# 1. Introduction

Gas detection and classification as well as the analysis of the composition of gas mixtures can be performed with analytical tools such as gas chromatography, mass spectrometry or Fourier transform infrared spectroscopy. Unfortunately, these tools are expensive and difficult to operate. Metal-oxide (MOX) sensors or arrays of MOX sensors are a promising alternative as they are small and financially competitive [1]. However, these sensors lack selectivity to target volatile organic compounds (VOCs) and are prone to cross-contamination. Selectivity and stability can be improved with metal oxides such as  $SnO_2$ ,  $WO_3$ ,  $TiO_2$ , CuO,  $In_2O_3$ , ZnO,  $Fe_2O_3$  as well as the addition of noble metals like Pd or Pt. Moreover, the definition of a heater temperature modulation, that influences the gas-specific reaction with the sensor surface, allows for a more stable classification of results [2]. However, using temperature modulation, MOX sensors consume several



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**Copyright:** © 2021 by the authors. Licensee MDPI, Basel, Switzerland. This article is an open access article distributed under the terms and conditions of the Creative Commons Attribution (CC BY) license (https:// creativecommons.org/licenses/by/ 4.0/). seconds for a single data sample, resulting in a prolonged data collection process. This becomes a hindrance during real-time inferencing as well. For instance, a classification algorithm that learns to detect a particular class requires to be trained in a supervised manner on several data samples and may cost us minutes to hours until it learns a new class. Hence, a rapid classification strategy becomes necessary to cope with the inherent delay associated with MOX sensors. In this work, a method to rapidly classify MOX sensor data is presented and strategies to improve the classification performance by having a deeper look into the characteristics of the data are explored.

## 2. Applications of MOX Sensors in Food Industry

Ideally, data collected using MOX sensors serve as a "fingerprint" of the volatile components emitted by the measured substance. Thus, the data together with an appropriate algorithm can serve to detect any deviation of the norm, which in the food industry has been applied to control the quality and authenticity of products. A good review of these studies is provided by [3,4]. In the context of food authenticity, MOX sensors paired with pattern recognition algorithms have been used for many applications, such as the identification of adulterated milk, cow ghee [5], olive oil, saffron and cherry tomato juice. Moreover, for various products, such as olive oil, orange juice, meat, milk or honey, the authenticity of the geographical origin could be determined. Moreover, the technique also served to determine faults in production processes. The "electronic nose" was also able to detect food spoilage, i.e., microbial contamination in soft drinks [6], juices [7,8] and meat products and assess the freshness of produce in meat, eggs or fish. In addition, MOX sensors served to assess the age or ripeness of products, for which this is a quality-defining parameter, such as fruit or wines. The systems applied in most of these studies consist of an array of MOX sensors combined with a simple pattern recognition algorithm based on principal component analysis, linear discriminant analysis, Partial Least Squares regression or cluster analysis. Only a few studies have applied more sophisticated modelling approaches such as neural networks. Moreover, data collection is usually performed in a laboratory-controlled environment, yielding very clean data and not dealing with the MOX sensor's sensitivity towards temperature, humidity or air composition. This paper presents a fast few-shot learning approach with a convolutional neural network (CNN) trained on the data collected in a regular office environment.

## 3. Data Collection

The data used for this paper was collected using four AS-MLV-P2 sensors with a sensitive layer of  $SnO_2 : Pd$ . As a reference, four more sensors of the same type were placed inside the room to measure the surrounding air composition. All sensors were operated with a temperature modulation of 1 s on 450 °C, 5 s on 200 °C, 1 s on 450 °C and 5 s on 300 °C. For each measurement, 6 cl of four different types of juices (apple, currant, orange, and multivitamin) were poured into a 6 cm high glass that was subsequently covered with a plexiglass cover into which the MOX sticks have been drilled. Apart from the juice headspace, pure air was measured by exposing the sensor to the ambient air. Each sample was continuously measured for 10 min (phase) during which the pre-defined temperature cycle was repeated. The data collection protocol was designed in a way that each sample was measured subsequently to each other sample, with 5 types of juice and air. This led to a collection protocol of 20 phases. These measurements were carried out in a time frame of 8 months.

## 4. Method

Few-shot classification (FSC) is a way to enable rapid classification, i.e., the classifier learns to identify a new class when trained with a few inputs or shots. In this work, we use FSC to enhance the capabilities of a baseline model to detect a novel class that is not significantly different from the base classes. Using the transfer-learning-based approach, the classifier is initially trained on the base classes (meta-training stage) and a part of the model is fine-tuned on the novel class data (fine-tuning/meta-testing). The training dataset in the fine-tuning stage is called the Support dataset, whereas the test dataset is known as the Query set [9]. The meta-training stage involves the standard training procedure. In the fine-tuning phase, a small part of the network is retrained as the support dataset consists of samples in the order of 10.

In this work, four few-shot classification experiments are conducted where each experiment considered one of the 5 juices as novel. Thus, the data for meta-training  $X_h$ consists of three juice classes and air as the base classes and the data for fine-tuning  $X_n$ contains the novel juice class in addition. Each dataset is further split into balanced training and test datasets. The few-shot classification model comprises a convolutional neural network and is divided into two parts. In the meta-training stage, the feature extractor  $f_{\theta}$ , a convolutional neural network parametrized by the network parameters  $\theta$ , and the classifier  $C(\cdot|W_h)$  parametrized by the weight matrix  $W_h$  are trained by minimizing the binary cross-entropy classification loss on the train set of  $X_b$ . The trained model is validated on the held-out part of  $X_h$ . The feature extractor consists of a Gaussian noise layer and two convolutional layers, all using the ReLu activation function as well as a dense layer. The classifier  $C(\cdot|W_b)$  consists of a fully connected layer with five output nodes in both meta-training and fine-tuning stages. During meta-training, the excess output node is forced to output zero. In the fine-tuning stage, the parameters  $\theta$  of the feature extractor  $f_{\theta}$  are frozen and the classifier is fine-tuned to obtain the weights  $W_n$ . The support set of  $X_n$  with novel juice class is used to fine-tune the classifier using binary cross-entropy loss minimization.

In each experiment's fine-tuning stage, four different ways to fine-tune the classifier, namely 1-shot, 5-shot, 50-shot and 75-shot, varying in the number of shots, are tested. A special case of zero-shot is tested where there is no fine-tuning, yet the query set is classified by the model trained on base classes. An increase in classification performance from that of the zero-shot regime is likely to depict the information gain from novel classes. Since iteratively trained algorithms undergo catastrophic forgetting post-fine-tuning, the validation dataset from the meta-training stage is used to test the extent of forgetting-Catastrophic forgetting test (CFT). The lower the change in performance before and after fine-tuning, the more robust is the model.

#### 5. Baseline Few-Shot Classification Results

During the meta-training stage, the feature extractor and classifier are optimized using Adam optimizer, trained for 200 epochs with a batch size of 20 with an initial learning rate of 0.001, which was increased to 0.01 during fine-tuning. Results are presented in Table 1. The average validation accuracy of the model over all the experiments during meta-training is 82.83%. Upon fine-tuning this pretrained model using 5-shot regime, the average accuracy obtained on the query set is 44.46%, whereas, using 75-shot is 82.47%. Difference in 5-shot and 75-shot performances reveals that a pretrained model is having difficulties learning and generalizing to new classes from a small amount of data. The pretrained model does not undergo catastrophic forgetting as the CFT validation accuracy is close to meta-training validation accuracy. Plausible reasons are the shallow network architecture and the low number of fine-tuning iterations.

Table 1. Average validation, test and catastrophic forgetting test accuracies.

Validation	#shots	Test	CFT
0.82825	0-shot	0.4618	-
	5-shot	0.4446	0.8143
	25-shot	0.6934	0.8118
	50-shot	0.7742	0.8109
	75-shot	0.8247	0.7907

## 5.1. Sample Screening

The performance of the k-shot learned model relies on the selected k input samples, that should be representative of their class. To verify this, sample screening was carried out: Each sample in the novel juice class was used to fine-tune a pretrained model using 1-shot regime. The fine-tuned model is validated on a balanced dataset comprising of rest of the novel juice class and air samples. This 1-shot validation is conducted in the same order of data collection. Figure 1 depicts the variation in the validation accuracy over samples from consequent 10-minute phases. The validation accuracy is significantly lower for samples at the start of the phases indicating contamination from the previous phase. This is likely due to the residual effect of the previously measured class on the sensors.



**Figure 1.** Test accuracies obtained when the classifier is finetuned on every sample of Orange juice. Samples from the beginning of the phase often resulted in reduced performance.

#### 5.2. Error Analysis

The misclassifications in each experiment are studied based on the number of shots used to fine-tune. Moreover, the percentage of influence of the juice measured in the previous phase on the misclassifications is also calculated. A misclassification qualified for an influence when the predicted juice class coincided with the juice phase prior to the current sample's phase. The metrics are split into air and the juice class in  $X_n$ . For all k-shot experiments (except the 25-shot test for multivitamin), more than 50% of air misclassifications are related to the previous juice class (refer Table 2). As the shots increase, the misclassifications for juice decrease and the fine-tuned model becomes robust to previous juice phases's influence as well. This analysis indicates that the contamination effect is reflected in the modelling results.

Table	2. N	Aiscl	lassifi	catior	1 (M)	out	of 3	5220	per	class	and	prev	ious j	phases'	infl	uences	(I)	) on	them	۱.
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	Class	<b>#M</b>	#I	% M	% I
5-shot	Air	357	243	11.09	68.07
	Juice	3220	460	100.0	14.29
25-shot	Air	474	336	14.72	70.89
	Juice	1501	233	46.61	15.52
50-shot	Air	443	267	13.76	60.27
	Juice	1011	86	31.40	8.51
75-shot	Air	340	269	10.56	79.12
	Juice	789	22	24.50	2.79

## 6. Data Analysis: Class Separability and Contamination

Section 5 indicated that the first measurements of each phase are not representative of the measured class. To investigate the data quality and separability of the five different classes (air as well as orange, apple, multivitamin, and currant juice) the data was transformed using t- Distributed Stochastic Neighbor Embedding (t-SNE), a technique for dimensionality reduction that is particularly well suited for the visualization of highdimensional datasets [10]. Figure 2 shows the data projected into the 2-dimensional t-SNE plane using a perplexity of 30. It can be seen that all juices form (sometimes overlapping) clusters that each are divided into sub clusters, indicating the different phases of measurement (data not shown). Each of the sub clusters is of an oblong form, ending in air measurements. Air overall forms a widespread cluster containing measurements labeled as juice spread throughout it. These patterns can be explained by contamination: After measuring a juice, the air surrounding the glass as well as the sensor still contains volatile components emitted by the juice distorting the air measurement. Thus, whenever the measurement of an air phase starts, the data point is still projected into the area of the 2D plot of the corresponding juice (the "tips" of the elongated clusters ). As the juice aromas disappear the voltage signal changes to that of pure air and the corresponding data points are projected into the air cluster. The same phenomenon can be observed when juice is measured after air: the first samples, where the juice VOCs are still strongly diluted by air, are projected into the air cluster. Once the juice aroma concentration is high enough, the data gets projected into the space corresponding to the juice. Moreover, as the concentration of the VOCs increases the samples stretch along the elongated sub cluster. This is illustrated in Figure 2 on the right, which shows a color-coded plot of orange juice measurements: the first sample taken is dark blue, the last one bright yellow.

These contamination patterns can also be observed directly in the voltage data. Figure 3 shows all measurements taken during a phase of orange juice following a phase of air measurements (left), the other way around (middle) and reference measurements taken outside of the measuring glass (right), the colorbar indicating the sample number.



Figure 2. All (left) and just orange juice (right) measurements projected into t-SNE plane.



**Figure 3.** Measurements collected during a phase of orange juice measurements following a phase of air measurement (**left**) air measurements following a phase of orange juice measurement (**middle**) and reference measurements of the room air collected at the same time (**right**).

# 7. Data-Centric Improvement Strategies and Their Results

Sample screening and error analysis indicated contamination in the data and therefore data-centric strategies to improve results were employed. Considering the previously used

few-shot classification strategy as the first (E0), three other strategies, involving careful selection of samples for fine-tuning or pretraining are tested:

- E1: *Dropping initial and final measurements:* The first and last 10 samples of each phase are excluded as they result in reduced one-shot validation accuracy (Section 5.1) and could be prone to phase transition errors, respectively. From the remaining samples the shots for fine-tuning were randomly selected, resulting in a significantly improved accuracy (Figure 1).
- E2: *Dropping first half phase:* The samples from the first half of each phase (samples 0–19) are removed as in majority of the phases, the measurement cycles stabilzed after the twentieth measurement (Figure 1). The shots for fine-tuning are randomly chosen from the remaining data. Contrary to what was expected, the resulting test accuracies for different number of shots either decreased or remained the same, except for 50-shot test where it increased by 2%.
- E3: *Dropping first half phase and retraining:* The model is retrained with the base classes after removing each first half phase, assuming the possible contamination affects the model. Shots for fine-tuning are selected from second half of each phase. With the exception of 5-shots, all tests resulted in reduced accuracy. This is likely due to overfitting in the model and hence, loss of generalizability.

Table 3 shows, that strategy E1 improved all k-shot tests performance, whereas the rest improved for specific shots. Misclassification analysis showed that E1 yields the least air misclassification and E3 the least juice misclassification. E1 also demostrates lesser influence of the previously measured juice on the classification. Retaining a few underperforming samples allows the model to be robust to contamination.

	E0	E1	E2	E3
5-shot	0.4445	0.4699	0.4710	0.6369
25-shot	0.6933	0.7878	0.7907	0.7725
50-shot	0.7742	0.8528	0.8705	0.7962
75-shot	0.8246	0.8860	0.8601	0.8424

Table 3. Test accuracies averaged over shots for four strategies.

## 8. Conclusions

This work demonstrates the impact of data quality on prediction performance, especially for few-shot classification methods and that a data-centric approach can improve results. Three strategies are adopted to overcome the hindrance due to non-representativeness of the samples. Results showed overall classification improvement in strategy E1. Moreover, each of the strategies performs best for a specific number of shots. Error analysis revealed that for all strategies more than 50% of air misclassifications resulted from contamination, but E1 was affected the least.

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