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# Synergizing Crop Growth Models and Digital Phenotyping: A Cost-Effective IoT-Based Sensing Network Design

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Abstract: Plant-soil sensing devices coupled with Artificial Intelligence autonomously collect and process in situ plant phenotypic data. A challenge of this approach is the limited incorporation of phenotype data into decision support systems designed to harness agricultural practices and forecast 3 plant behavior within the intricate context of genotype, environment, and management interactions  $(G \times E \times M)$ . To enhance the role of digital phenotyping in supporting Precision Agriculture, this paper proposes a sensing network based on the Internet of Things. The developed system comprises three 6 modules: data collection, communication, and cloud server. Several processes co-occur in the server, namely data visualization to confirm the correct sensors and data stream functioning. In addition, a 8 crop growth model (CGM) is running on the server, which will be powered by the collected data. g The simulations generated by the model will support agricultural decisions, obtaining, in advance, 10 insights about plant behavior considering several  $G \times E \times M$  scenarios. To assess the performance 11 of the proposed network to provide reliable data to the model, a greenhouse was equipped with 12 several sensors that collect plant, environment, and soil data (e.g., leaves number, air temperature, soil 13 moisture). The proposed network can provide real-time causal support toward advanced agricultural 14 practices, evolving from a data-driven approach to an integrative framework where context ( $G \times E \times M$ ) 15 drives decision-making. 16

**Keywords:** Computer Vision; Decision Support System; Embedded Systems; Image Analysis; Precision Agriculture; Robotics

### 1. Introduction

Precision Agriculture (PA) based on continuous monitoring of plant growth is of 20 paramount importance. It involves taking into consideration the profound impact that 21 environmental conditions and agricultural management practices can exert on the perfor-22 mance of a specific genotype ( $G \times E \times M$ ). This understanding forms the foundations for 23 crafting robust decision support systems (DSS) aimed at optimizing input applications and 24 bolstering crop yields, profitability, and environment [1]. Digital phenotyping (DP) is a 25 cutting-edge application that combines advanced sensing devices (e.g., RGB/hyperspectral 26 cameras) and data analysis techniques (e.g., Artificial Intelligence (AI)) to diagnose plant 27 phenotypic traits (i.e., observable plant traits resulting from the performance of a genotype 28 in a specific environment), namely morphological [2], physiological [3], and phenologi-29 cal [4] related to growth, health, and development [5]. Most of the literature describes 30 high-throughput phenotyping facilities that analyze model plants in expansive laboratory 31

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**Copyright:** © 2023 by the authors. Submitted to *Biol. Life Sci. Forum* for possible open access publication under the terms and conditions of the Creative Commons Attribution (CC BY) license (https://creativecommons.org/licenses/by/4.0/). conditions (e.g., [6]), while low-cost field applications are limited [7]. Nevertheless, DP data can be analyzed to identify trends and relations between phenotype and  $G \times E \times M$  conditions, enabling more knowledgeable agronomic decisions.

Autonomous sensing systems such as robots and drones represent a great advance-35 ment in the realm of data collection for field phenotyping, offering remarkable improve-36 ments in terms of speed, repeatability, and accuracy [8]. However, beyond the technical 37 challenges like localization and path planning, there exist critical constraints related to data 38 management and analysis. Given the diverse array of phenotypic data sources and the 39 complexity of spatiotemporal scales involved, it becomes imperative to develop robust 40 data management techniques that not only preserve data relevance but also facilitate easy 41 access and analysis [6]. 42

Therefore, the establishment of resilient sensing networks is paramount to comprehensively characterize prevailing environmental conditions and seamlessly link them to the collected phenotypic data. In this context, it is essential to accompany phenotypic data with metadata, thereby promoting their reuse and ensuring interoperability in contexts distinct from their original acquisition [9,10].

Regarding data analysis, although DP uses advanced AI techniques that establish genotype-phenotype relationships within  $G \times E \times M$  interactions [11,12], it has constraints depicting the dynamics of these relationships. Some progress has been made in combining DP and process-based models, optimizing data analysis through multi-scale frameworks. Process-based models (a group of crop growth models (CGM)) simulate plant growth and predict crop yield through differential equations that consider the mechanistic understanding of how a plant grows [13]. In this way, fundamental processes and their interactions over time are represented (e.g., nutrient cycling, water fluxes). Thus, it is possible to assess the crop's behavior in future climate and management scenarios, improving decision-making [14,15].

A process-based model can extract relevant traits using knowledge in advance, simplifying the actual analysis systems (AI-based) [16–18]. Also, DP can be integrated into a process-based model to estimate unknown parameters, replacing its subroutines and describing complex processes (e.g., nitrogen dynamics [19]).

Yet, few studies present joint approaches, barely integrating phenotype data in ad-62 vanced DSS [10]. To overcome this shortcoming, it is proposed a sensing network based on 63 the Internet of Things (IoT). The network comprises three modules: data collection, commu-64 nication, and data management/analysis. The aim is to test the feasibility of cost-effective 65 sensors to collect high-throughput phenotypic and environmental data, establish methods 66 that guarantee data relevance and interoperability, and integrate data into a CGM. Thus, a 67 continuous swap of data will be created between the physical entities and the simulated 68 ones. This digital twin [20] approach can provide a real-time, spatiotemporal causal support 69 toward advanced PA practices, evolving from a data-driven approach to an integrative 70 framework, where  $G \times E \times M$  conditions are the driver of advanced decision-making. 71

#### 2. Methods

Figure 1 describes the overall architecture of the proposed sensing network.

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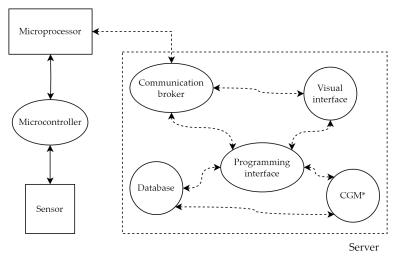
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CGM - Crop Growth Model

**Figure 1.** Overall architecture of the proposed sensing network. Bold arrows represent physical connections, dashed arrows represent wireless connections. CGM - Crop Growth Model.

To allow the network to be versatile, given the diversity of data sources, it is proposed that a microprocessor be used to ensure uniform data transfer, regardless of the sensor's intrinsic communication protocol. In order to ensure robust spatiotemporal communication, that can be transferred to an agricultural environment, the connection between sensors and the microcontroller and from this to the microprocessor must be physical (e.g., USB). The role of the microprocessor is to ensure the transfer of data to the server. In this case, the transfer must be wireless (e.g., Wi-Fi).

On the server, the information is routed to its proper destination via the communication broker. This is connected to the visual interface, allowing data visualization in real time. It is also connected to the programming interface, which allows the conditional execution of scripts, that results in actions such as sending data to the database or activating the CGM.

The programming interface must ensure that the data received is matched by the relevant metadata. It must also deploy the appropriate processing operations. In this case, numerical data can be distinguished from non-numerical. While the former can be sent directly to the intended destination, the latter must be processed in order to extract information from the raw data. For example, to extract phenotypic traits from images, classic techniques (e.g., color thresholding) or more complex ones (e.g., Deep Learning models) must be applied.

# 3. Results and Discussion

To test the proposed network a sensing network was installed in a greenhouse at INESC TEC headquarters in Porto, Portugal. Figure 2 depicts the installation.

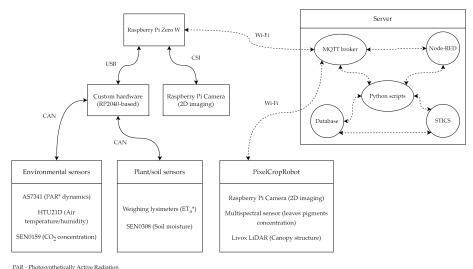
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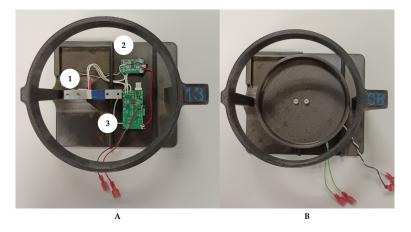
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ET<sub>a</sub> - Actual evapotranspiration

**Figure 2.** Sensing network framework installed in a phenotyping greenhouse. Bold arrows represent physical connections, dashed arrows represent wireless connections. PAR - Photosynthetically Active Radiation, ET*a* - Actual evapotranspiration.

Stationary sensors are in charge of collecting environmental parameters (e.g., air temperature), phenotypic traits (e.g., actual evapotranspiration) and soil parameters (e.g., moisture). The choice of devices was based on cost-effective commercial solutions compatible with the remaining network's components. Also, some devices were developed from scratch, namely a weighing lysimeter (Figure 3).



**Figure 3.** Custom weighing lysimeter. A - Components view: (1) 10 kg load cell, (2) HX711 amplifier, (3) Custom hardware. B - Fully assembled prototype.

All the sensors share a common feature: they are connected to custom hardware 100 based on the RP2040 microcontroller, which allows the signals to be processed from the 101 sensors' intrinsic protocol to the CAN protocol. This protocol was chosen because it 102 applies differential communication, which minimizes noise in the signal and allows for 103 a longer range between connections, a must in agricultural environments. The sensors' 104 microcontrollers, "slaves", are connected to another microcontroller, the "master". This, in 105 turn, is connected via USB to the Raspberry Pi Zero W, which sends data requests to the 106 "master" microcontroller that distributes them to the respective "slaves". The Raspberry 107 is also connected to a camera (Raspberry Pi Camera) for imaging operations. The data 108 received by the Raspberry is sent to the server via Wi-Fi, according to the MQTT (Message 109 Queuing Telemetry Tracking) publish-subscribe protocol. 110

The greenhouse is also equipped with robotics-assisted sensors. PixelCropRobot, a 111 mobile cartesian robot designed for phenotyping operations [21,22], was implemented 112 for autonomous phenotypic data collection. In addition to 2D RGB imaging operations, 113 the robot is equipped with a custom multispectral sensor and a LiDAR that allows the 114 measurement of leaf pigments - related to the physiological response to abiotic stresses -115 and the canopy characterization, respectively. The robot is equipped with a Raspberry Pi 4 116 and, as mentioned above, the data is sent to the server via Wi-Fi, according to the MQTT 117 protocol. 118

This means that in both cases, the Raspberry Pi acts as a client and sends the mes-119 sages to the MQTT Broker, who filters the messages by topic and distributes them to the 120 corresponding subscribers, which are defined in the scripts of the programming interface 121 or in the functions of the visual interface. By default, all the data received by the broker 122 is subscribed to a Python script that combines the relevant metadata, according to the 123 metadata guidelines of the DEMETER-AIM ontology, and then forwards it to the database. 124

The visual interface was developed using Node-RED (Figure 4). To ease real-time data 125 visualization (e.g., air temperature), some functions of the visual interface act as subscribers, 126 directly receiving the corresponding messages from the broker. Furthermore, through this 127 interface, it is possible to retrieve historical data (stored in the database) and trigger the 128 CGM. 129

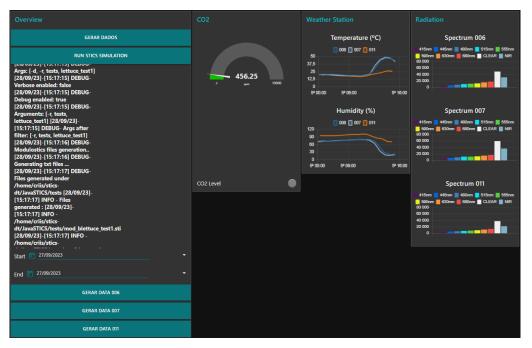


Figure 4. Node-RED user interface. From left to right: Overview - tracking of the STICS simulations, CO2 - CO2 concentration, Weather Station - air temperature and humidity, Radiation - PAR levels

The dynamic process-based model STICS (Simulateur mulTIdiscplinaire pour les Cultures 130 Standard) [23] was the chosen CGM. STICS is a daily time-step model with input variables 131 relating to soil, climate, and the cropping system. The model simulates the growth of 132 a defined genotype for which a physical medium and a crop management schedule are 133 defined. This model presents some features that fit with the sensing network designed, namely its generality, robustness, and modularity, enabling its application to a wide range of crops, climate conditions (even several ones), and the design of new modules or functions, complementing the model.

To ensure that the proposed network provides reliable data to run STICS, continuous 138 data collection was monitored during a lettuce growing season (42 days), according to the 139 frequencies shown in Table 1. 140

Sensor	Quantity (n)	Daily requests (n)	Average size
Stationary			
RPi Camera	1	24	10 MB
AS7341	2	24	400 B
HTU21D	2	24	170 B
SEN0159	1	24	120 B
Lysimeter	12	24	160 B
SEN0308	12	24	170 B
	PixelC	ropRobot	
RPi Camera	1	5	10 MB
Multispectral sensor	1	5	400 B
LiDAR	1	5	370 B

**Table 1.** Characterization of the data collected by the sensing network during the lettuce growing season.

Given the daily time-step of STICS, it is likely that the dataflow shown in the Table 141 1 is enough to run the simulations. However, losses were detected during data transfer 142 to the server. These did not exceed 5% and were mainly due to interruptions in the Wi-143 Fi connection. Although these are significant losses, since the aim is to keep the model 144 online continuously, they can be easily addressed. In particular, by reinforcing the Wi-145 Fi connection or by creating a local database that stores the data in the event of Wi-Fi 146 interruptions. In line with Droutsas et al. [24], which proposes the integration of machine 147 learning models into a process-based model, the described network aims to enhance actual 148 data analysis systems and reduce modeling fine-tuning processes. Although further tests 149 are needed, the proposed sensing network has the potential to overcome the phenotyping 150 pitfalls identified by Saint-Cast et al. [10], namely the lack of common semantics and 151 thorough data exchange platforms. 152

## 4. Conclusions

This article presents an IoT-based sensing network for digital phenotyping. Associated 154 with this network, a DSS was developed, based on a CGM with the purpose of optimizing 155 agricultural practices. However, further testing is needed to validate the network fully 156 working under real-field conditions. In the future, it is intended to enhance the capabilities 157 of this approach. The model simulations will support decision rules, processed by an 158 actuator that will carry out a specific operation. Thus, a continuous swap of data will be 159 created between the physical entities and the simulated ones. This digital twin approach 160 will provide real-time, spatiotemporal causal support toward advanced Precision Agricul-161 ture practices, evolving from a data-driven approach to an integrative framework, where 162  $G \times E \times M$  conditions are the driver of advanced decision-making. 163

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