



- 1 Article
- 2 Microwave-assisted Synthesis of Imidazole
- 3 [4,5*f*][1,10]phenanthroline Derivatives as Apoptosis
- 4 Inducers in Chemotherapy by Stabilizing Bcl-2
- 5 G-quadruplex DNA
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- 16 Abstract: Microwave-assisted synthetic technology is widely employed in synthesis for of organic
- 17 molecules has long been facilitated in organic synthesis to build versatile molecules in medicine.
- 18 Moreover, this method has received widespread attention for the controlled temperature and
- stable condition during the reaction process. Herein, a series of imidazo [4,5*f*][1,10] phenanthroline
- derivatives RPIP(PIP = imidazo [4,5*f*][1,10] phenanthroline, R = NO₂, 1; CF₃, 2; Cl, 3; OH, 4) have
 been synthesized in yields of 82.3%–94.7% at 100°C under the irradiation of microwave. The
 inhibitory activity of these compounds against various tumor cells have been investigated by MTT
- 23 assay. The results indicated that this class of compounds exhibited excellent inhibitory effect 24 against different tumor cells, especially for 1 inhibited the growth of A549 cells with IC_{50} of 3.35
- against different tumor cells, especially for 1 inhibited the growth of A549 cells with IC₅₀ of 3.35 μ M. The further study showed that this compound entered into tumor cells and distributed at
- 26 mitochondria, and then lead to tumor cells dead through induction of apoptosis. Furthermore, the
- 27 DNA-binding properties were investigated by spectroscopy and CD titration suggested that 1
- 28 could bind to *bcl-2* G-quadruplex DNA, then to interfere the Bcl-2 related apoptosis pathway. In a
- 29 word, 1 may act as a potential apoptosis inducer mediated-mitochondria by binding to the *bcl-2*
- 30 G-quadruplex DNA in cancer chemotherapy.
- Keywords: Microwave-assisted synthesis; Imidazo [4,5*f*][1,10] phenanthroline derivatives;
 Apoptosis inducers, *Bcl-2* G-quadruplex DNA.
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- 34

35 1. Introduction

36 1,10-Phenanthroline derivatives with an extended π -conjugated unit of fused imidazole can 37 bind to duplex DNA and G-quadruplex DNA through π - π stacking, which exhibit great antitumor, 38 anti-inflammatory and antiviral activity [1]. Furthermore, some researchers reported that 39 phenanthroline-dione derivatives might increase the chemosensitivity of esophageal cancer patients 40 to develop as a potential anticancer candidate [2]. Due to the fluorescence sensory properties 41 towards acid, phenanthroline derivatives have also been widely used as the probes of DNA [3]. 42 Moreover, there is clear evidence that this type of compounds can act as antitumor agents agasint 43 various tumors. For example, Chunying Wei and co-workers have found that the di-substituted 44 phenanthroline derivatives can bind to human telomeric G-quadruplexes DNA and inhibit 45 significantly the telomerase activity resulted in tumor cells apoptosis [4, 5]. In our previous work, it 46 has been suggested that imidazo[4,5*f*][1,10]phenanthroimidazole derivatives showed excellent 47 inbitory effect against different tumor cells, which can bind to G-quadruplex DNA in groove 48 binding mode and block DNA replication, then induced breast cancer cells apoptosis [6, 7].

49 The bcl-2 G-quadruplex DNA is a key director to regulate the expression of Bcl-2, a crucial 50 member of the Bcl-2 family of proteins to accelerate the apoptosis, which may act as a potential 51 tumor chemotherapy target. Bcl-2 protein is overexpressed in various human tumor cells [8]. More 52 recently, a number of small molecules with ligands of highly binding ability to bcl-2 G-quadruplex 53 DNA have been reported that can inhibit the transcription of Bcl-2 gene and induce the tumor cells 54 apoptosis, such as pyridostatin analog [9], metal complexes [10], quindoline derivatives [11], etc. 55 Our group has shown phenanthroline derivatives can effectively inhibit the growth of tumor cells, 56 which attributed to the ability to induce the cancer cells apoptosis through binding and stabilizing 57 the G-qudruplex DNA. This work might imply this kind of compounds can also interact with the 58 bcl-2 G-quatruplex DNA. However, it's still not clear whether phenanthroimidazole derives can 59 inhibit the growth of tumor cells through stabilizing the G-quadruplex structure of bcl-2 promoter, 60 and it's very important to design novel candidates with high activity and selectivity to tumor cells.

61 In this study, a series of imidazo [4,5f][1,10]phenanthroline derivatives have been synthesized 62 under microwave irradiation (Scheme 1). The anti-tumor activity of these compounds against the 63 human lung adenocarcinoma A549 cells, human hepatocarcinoma SMCC7721 cells, and human 64 colorectal carcinoma SW620 cells were evaluated by MTT assay. The results showed this type of 65 compounds can effectively inhibit the growth of tumor cells, especially 1 had the best inhibition 66 activity against A549 cells. The further study displayed 1 could enter into A549 cells and be 67 distributed at mitochondria, and inhibit the tumor cells at G1 phase then induced apoptosis. It was 68 found that 1 exhibited some certain degree binding affinity to *bcl-2* G-quadruplex DNA, which may 69 consider as a potential regulated role in the mechanism of this kind of compounds, resulting the 70 tumor cells apoptosis.



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Scheme 1. Microwave-assisted synthesis route for phenanthroimidazole derivatives.

73 2. Results and discussion

74 2.1 Microwave-assisted synthesis of the phenanthroimidazole derivatives

75 Microwave-assisted synthesis technology has been widely developed in the fields of chemical 76 synthesis, materials science, and biotechnology [12, 13]. The technique observably shorten reaction 77 time, while increasing yield and product purity over traditional synthesis methods, especially in the 78 synthesis of organic molecules which typically require long hours of heating at reflux in 79 high-boiling solvents to affect a reaction [14]. As shown in Figure 1, the reaction temperature 80 rapidly reached setting value less than 60 s, and kept great stabilization and homogeneous of 81 temperature and pressure in the whole heating process. As a result, the yields of the target 82 compounds increased obviously in far more less times than conventional heating methods. These 83 results suggested the application of microwave irradiation has significantly increased the yields for 84 most of the compounds to about 90%, which were much higher than those of conventional 85 synthesis methods [15].





87 88

Figure 1. Reaction profile of the target complexes in glacial acetic acid irradiated by microwave for 20 min at 100°C, (a) 1; (b) 2; (c) 3; (d) 4.

89

Table 1. The yields of the target complexes by microwave-assisted synthesis method.

Compd.	Microwave-Assisted			
	Temperature/ºC	Time/min	Yield%	
1	100	20	91.3%	
2	100	20	82.3%	
3	100	20	94.7%	
4	100	20	89.7%	

90

91 2.2 Biological activity

92 The synthetic phenanthroimidazole derivatives can effectively inhibit the human lung 93 adenocarcinoma A549 cells, human hepatocarcinoma SMCC7721 cells, and human colorectal 94 carcinoma SW620 cells growth and proliferation, which were confirmed by MTT assay. Cis-platin 95 was used as a positive control, and the inhibitory activities (IC₅₀) of these complexes were listed in 96 Table 2. It was observed that these compounds displayed great growth inhibition against various 97 tumor cells after 72 h treatment, especially the inhibitory activity (IC50) of 1 against A549 cells was 98 about 3.35 μ M, which was far more less to that of cis-platin (16.54 μ M). The data suggested that 99 phenanthroimidazole derivatives blocked the growth of tumors cells, and those derivatives with 100 electron-withdrawing meta-substituents, the complex with -NO₂ substitution exhibited higher 101 anti-tumor activity against A549 cells than that of the complex with -CF3 substituent, as to 102 electron-donating meta-substituents, the complex with -Cl substitution had higher inhibitory 103 activities of A549 tumors cells than that of the complex with -OH substituent.

104 **Table 2.** The inhibitory effect IC₅₀ (μM) of the target complexes and *cis*-platin on human cancer cells

Compd.	IC ₅₀ (μM)			
	A549	SMMC-7721	SW620	
1	3.35	> 100	4.53	
2	11.23	22.34	10.32	
3	4.16	15.39	10.52	
4	8.36	11.28	7.52	
cis-platin	16.54	2.92	5.74	

105

106 2.3 Apoptosis induction

107 According to the above results of the MTT assay, 1 showed great inhibitory activity aginst A549 108 cells comparing with the other compounds. Apoptosis and cell cycle arrest, or a combined action of 109 both can result in growth inhibition or death of cells [16]. Thus, to further understand the 110 underlying mechanisms of 1, flow cytometric analysis was carried out. The A549 cells were exposed 111 to different concentrations of 1 (0, 5, 10, and 20 μ M) and showed a significant increase G1-phase 112 arrest for 24 h (Figure 2a), upon the increasing concentration of 1, the ratio of cell G1-phase arrest 113 increased markedly, even reached about 73.72% for the 20 µM target compound, which was 114 approximately a quarter higher than that of the control (60.19%). Owing to G1 phase arrest is more 115 related cell apoptosis [17, 18], Hoechst 33258 dye cell nucleus was used to observe cell apoptosis. It 116 was found that, with the increasing of 1, the cell nucleus shrink obviously and highlight markedly, 117 which is the marker of apoptosis (Figure 2b). The observations indicated that 1 may induce A549 118 cells G1-phase arrest then resulted in apoptosis.



119

120Figure 2. (a) G1-phase arrest of A549 cells induced by 1. A549 cells were treated with 1 (0, 5, 10, and121 $20 \ \mu$ M) for 24 h, almost 73% cycling cells were in the G1-phase and the sharp peak suggested that some122cells were experiencing G1-phase delay or arrest . (b) Induction of cells apoptosis of 1 by Hoechst 33258123dye. A549 cells were dyed with Hoechst 33258 at different concentrations of 1 (0, 5, 10, and 20 μ M) for 24124h.

125 2.4 Drug distribution and location

126 Cellular localization of **1** in A549 cells was further investigated, as shown in Figure 3. It was 127 observed that **1** can be uptook by A549 cells and glow weak green fluorescence, a possible reason is *Molecules* **2016**, *21*, x FOR PEER REVIEW

mainly due to the absence of hypochrome nitro-group. Here, the mitochondria were marked in red by Mito-tracker, and the nuclei of A549 cells were stained blue by Hoechst 33258. Upon the addition of **1**, an increasing green fluorescence was great merged with red fluorescence in the cell mitochondria, but little overlay in cell nuncleus. These results indicated this compound entered into cells majorly accumulated in the mitochondria of A549 cells, which may induce the cell apoptosis through mitochondria-mediated pathway.



134

135Figure 3. Cellular localization of 1 in A549 cells. Cells were treated with the 1 for 6 h at 37 °C: blue, Hoechst13633258; red, Mito-Tracker . [1] = 0, 10 and 20 μ M. The overlay data were analyzed using Image Pro Plus.

137 2.5 The interaction of Bcl-2 G-quadruplex DNA with phenanthroimidazole derivatives

138 2.5.1 Electronic titrations

139 UV absorption was carried out to monitor the interaction of 1 with *bcl*-2 G-quadruplex DNA. 140 As shown in Figure 4a, the electronic spectra of 1 in Tris-HCl buffer (pH = 7.4) solution exhibited 141 the characteristic IL (intraligand charge transfer) absorption in the range of 250-300 nm with the 142 maximum at about 273 nm. When the DNA was gradually added to the solution of the complex, a 143 hyperchromic effect and a bathochromic effect were observed. The hyperchromism value of 1 at the 144 IL absorption band was about 14.1% ($\Delta\lambda$ = 2.1 nm), which may be attributed to bases of the DNA 145 exposed, when the ligand binding to DNA, induced external contact or to partial uncoiling of the 146 DNA structure [19, 20]. Furthermore, the value of the intrinsic binding constant (Kb) for 1 was about 147 8.7×10^7 M⁻¹, calculated according to the decay of IL absorption. These data implied that 1 bound to 148 bcl-2 G-quadruplex DNA with high affinity in intercalating mode [21]. The interaction was further 149 confirmed by the following fluorescence quenching experiment.

150 2.5.2 Fluorescence quenching

151 Because of the low fluorescence of 1 in the Tris-HCl KCl buffer (PH = 7.4), fluorescence 152 quenching of ethidium bromide (EB) and DNA was carried out. As shown in Figure 4b, when 153 excited at 350 nm, the EB-DNA (bcl-2 G4 DNA) solution emited a strong fluorescence in the range of 154 500 to 700 nm with the maximum at about 597 nm [22]. Upon the 1 added into solution, it was 155 observed the fluorescence intensity of the solution decreased graduatly, which suggested that 1 can 156 competitive association with *bcl*-2 G-quadruplex DNA. At [Compd.] = 20.89 μ M, the relative 157 intensity (I/I_0) for solution was about 0.83. The results implied that 1 exhibited a certain interaction 158 with bcl-2 G-quadruplex DNA.



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Figure 4. The study of the interaction between **1** with *bcl*-2 G-quadruplex DNA by spectroscopic methods. **(a)** The electronic spectra of **1** in absence and in presence of *bcl*-2 G-quadruplex DNA. [**1**] = 60 μ M, [DNA] = 100 μ M. (**b**) Emission spectra of EB and *bcl*-2 G-quadruplex DNA in the incubation buffer in the absence and presence of **1**, [EB] = 16 μ M, [DNA] = 2 μ M. (**c**) CD titration spectra of *bcl*-2 G-quadruplex DNA at different concentrations of **1**, ([**1**] = 0, 2.66, 5.305, 7.937 μ M). (**d**) The change of CD signal at 263 nm of *bcl*-2 G-quadruplex DNA with the addition of **1** in the Tris-HCl buffer.

166 2.5.3 Circular dichroism spectra

167 CD spectra is one of the most common and convenient methods for detecting DNA 168 conformational changes. CD titration experiment was further carried out to confirm the binding of 1 169 with bcl-2 G-quadruplex DNA, and the results were shown in Figure 4c. The CD spectra of bcl-2 170 G-quadruplex DNA displayed a positive CD signal between 250 and 300 nm with the maximum at 171 264 nm, which was the characteristic CD signal of G4 DNA, in addition to a weak negative CD 172 signal in the range of 200-250 nm with the maximum at 245 nm [23]. Upon the addition of 1, the 173 positive signal of bcl-2 G-quadruplex DNA in the CD spectra were decreased, the strength of the 174 positive CD signal (263 nm) and the negative CD signal (245 nm) decreased by 5.6% and 15.2%, 175 respectively. These data indicated that the ligind can stabilize and bind to the bcl-2 G-quadruplex 176 DNA.

177 3. Conclusions

178 In summary, a series of phenanthroimidazole derivatives have been synthesized by using 179 microwave-assisted synthesis technology, with high yields of approximately 90%, which can rapid 180 rising to the required temperature, and kept almost no change during the whole process. The results 181 of MTT assay showed these complexes can block the growth of tumor cells, especially 1, exhibited 182 excellent antitumor activity against A549 cells through inducing apoptosis of the cells. The further 183 study displayed 1 can distribute at mitochondria, and inhibit tumor cells at G1 phase then induce 184 apoptosis. Futhermore, the investigation by spectroscopy and CD titration demonstrated that this 185 compound can bind to the bcl-2 G-quadruplex DNA. Take together, this type of 186 phenanthroimidazole derivatives can act as potential antitumor agents targeting to the bcl-2 187 G-quadruplex DNA in cancer chemotherapy.

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- 189

190 4.Experomental Section

191 4.1 Materials

192 All reagents were purchased from commercial suppliers and used without further purification. 193 Solvents were dried and purified by conventional methods prior to use. Distilled water was used in 194 195 purchased from Sangon Biotech and formed a G-quadruplex conformation as literature by 196 renaturation for 24 h at 4°C, after denaturation for 5 min at 90°C [24]. All aqueous solutions were 197 prepared with doubly distilled water. The Tris-HCl buffer consisting of Tris and KCl, and the pH 198 value was adjusted to 7.4 by HCl solution, which was applied to UV titration and Fluorescence 199 emission titrations.

200 4.2 Instruments

The phenanthroimidazole derivatives were synthesized by using Anton Paar Monowave 300 microwave reactor. ESI-MS spectra were obtained in methanol on Agilent 1100 ESI-MS system operating at room temperature. The ¹H NMR and ¹³C NMR spectra were recorded in a dimethyl-*d*⁶ sulfoxide (DMSO-*d*⁶) solution on a Bruker Avance III 500 spectrometer operating at room temperature. UV-vis absorption spectra were recorded on a Shimadzu UV-2550 spectrophotometer. The steady-state emission spectra were recorded on a RF-5301 fluorescence spectrophotometer.

- 207 4.3 Synthesis of imidazole[4,5*f*][1,10] phenantholine derivatives
- 208 Phenanthroimidazole derivatives were synthesized according to the literature procedure with209 some modification [8].
- 210 4.3.1 Synthesis of compound 1.

211 A mixture of 1,10-phenanthroline-5,6-dione (315.06 mg, 1.50 mmol), 3-nitrobenzaldehyde 212 (339.81 mg, 2.25 mmol), ammonium acetate (4 g, 51.9 mmol) and glacial acetic acid (20 mL) was 213 heated at 100 °C for 20 min under microwave irradiation. Then, 20 ml of water was added and the 214 pH value was adjusted to 7.0 at room temperature. The solution was filtered and dried 215 in vacuum to obtain a yellow precipitate, which was collected and washed with water and small 216 amounts of ethanol. The crude product dissolved in ethanol was purified by filtration on silicagel 217 column (60–100 mesh). ESI-MS (in MeOH, m/z): 342.1 [M+H]⁺. ¹H NMR (500 MHz, DMSO) δ 9.03 – 218 9.02 (m, 1H), 9.00 (dd, J = 4.3, 1.7 Hz, 2H), 8.84 (dd, J = 8.1, 1.7 Hz, 2H), 8.64 (d, J = 8.0 Hz, 1H), 8.27 219 (dd, J = 8.1, 1.5 Hz, 1H), 7.83 (t, J = 8.0 Hz, 1H), 7.78 (dd, J = 8.1, 4.3 Hz, 2H). ¹³C NMR (126 MHz, 220 DMSO) δ 150.38 (s), 145.70 (s), 134.14 (s), 132.63 (s), 131.70 (s), 125.58 (s), 125.29 (s), 122.30 (s).

4.3.2 Synthesis of compound 2.

2222 was prepared using the method described above, but with 3-trifluoromethylbenzaldehyde223(391.5 mg, 2.25 mmol). ESI-MS (in MeOH, m/z): 365.5 [M+H]*. ¹H NMR (500 MHz, DMSO) δ 9.00224(dd, J = 4.3, 1.7 Hz, 1H), 8.84 (dd, J = 8.1, 1.6 Hz, 1H), 8.55 (s, 1H), 8.53 (d, J = 7.3 Hz, 1H), 7.85 – 7.81225(m, 1H), 7.78 (dd, J = 8.1, 4.3 Hz, 1H). ¹³C NMR (126 MHz, DMSO) δ 150.88 (s), 149.99 (s), 145.75 (s),226133.03 (s), 132.29 (s), 131.98 (s), 131.64 (s), 125.30 (s).

4.3.3 Synthesis of compound **3**.

3 was prepared using the method described above, but with 3-chlorobenzaldehyde (315 mg, 229
2.25 mmol). ESI-MS (in MeOH, m/z): 331.3 [M+H]⁺. ¹H NMR (500 MHz, MeOD:CDCl₃ = 1:1) & 8.95 – 8.89 (m, 2H), 8.79 (s, 1H), 7.99 (t, *J* = 9.4 Hz, 2H), 7.62 (s, 2H), 7.57 (s, 1H), 7.29 (t, *J* = 8.7 Hz, 2H). ¹³C

231 NMR (126 MHz, MeOD:CDCl₃ = 1:1) δ 149.06 (s), 144.91 (s), 141.69 (s), 131.63 - 131.46 (m), 131.08 (s),
 232 127.96 (s), 124.76 (s).

4.3.4 Synthesis of compound 4.

2344 was prepared using the method described above, but with 3-Hydroxybenzaldehyde (274.59235mg, 2.25 mmol). ESI-MS (in MeOH, m/z): 313.1 [M+H]⁺. ¹H NMR (500 MHz, DMSO) δ 9.02 (dd, J =2364.3, 1.7 Hz, 2H), 8.91 (dd, J = 8.1, 1.7 Hz, 2H), 7.81 (dd, J = 8.1, 4.3 Hz, 2H), 7.73 (dd, J = 6.0, 3.8 Hz,2371H), 7.72 - 7.69 (m, 1H), 7.39 (t, J = 7.9 Hz, 1H), 6.91 (ddd, J = 8.1, 2.4, 0.8 Hz, 1H). ¹³C NMR (126238MHz, DMSO) δ 152.95 (s), 149.75 (s), 145.55 (s), 133.43 (s), 132.07 (s), 131.68 (s), 125.30 (s), 119.07 (s),239118.72 (s), 115.16 (s).

240 4.4 Cell Lines, Cell Culture and MTT Assay

Human cancer cell lines, human lung adenocarcinoma A549 cells, human hepatocarcinoma SMMC7721 cells, and human colorectal carcinoma SW620 cells were purchased from American Type Culture Collection (ATCC, Manassas, VA, USA). All cell lines were maintained in Dulbecco's Modified Eagle Medium (DMEM) media supplemented with fetal bovine serum (10%), penicillin (100 units/mL), and streptomycin (50 units/mL) at 37 °C in a CO₂ incubator (95% relative humidity, 5% CO₂).

247 Cell viability was confirmed by measuring the ability of cells to transform 248 3-(4,5-dimethylthia-zol-2-yl)-2,5-diphenyltetrazolium bromide (MTT) to a purple formazan dye [25]. 249 Cells were seeded in 96-well tissue culture plates (3×10^3 cells per well) for 24 h. The cells were then 250 incubated with the tested compounds at different concentrations for 72h. After incubation, 20 µL 251 per well of MTT solution (5 mg/mL in phosphate buffere[d saline, PBS) was added, followed by 252 incubation for a further 5 h. The medium was aspirated and replaced with 150 μ L/well of DMSO to 253 dissolve the formazan salt formed. The colour intensity, which reflects the cell growth condition, 254 was measured at 570 nm using a microplate spectrophotometer (SpectroAmaxTM250, BioTek 255 Instruments, Inc., Winooski, VT, USA)

256 4.5 Flow Cytometric Analysis

257 The apoptosis rate was analysed by flow cytometry. Treated or 258 untreated cells were trypsinised, washed with PBS, and then fixed in 75% ethanol overnight at -20 °C. 259 Next, the fixed cells were washed with PBS and stained with propidium iodide (PI) for 4 h in the 260 dark. Finally, the above-described cells were analyzed with an Epics XL-MCL flow cytometer 261 (Beckman Coulter, Miami, FL, USA).

262 4.6Cellular localization

Cells were cultured in DMEM medium supplemented with 10% fetal bovine serum (FBS) at 37 °C under 5% CO₂. Cells in complete growth medium at 2×10⁶ cells per mL were incubated for 6h at 37°C, unless otherwise stated. Cells were washed with PBS and then treated with 1 in DMSO/PBS (pH 7.2, 1 : 99, v/v) for 2 h at 37 °C under 5% CO₂. Then, cells were stained with Mito-tracker and Hoechst 33258 for another 20 min, and finally, luminescence imaging was carried out by confocal microscopy.

269 4.7 Electronic absorption measurements

Electronic absorption spectra were recorded on a Shimadzu UV-2550 spectrophotometer using 1 cm path length quartz cuvettes (3 mL). The absorption titration of the target complexe in Tris-HCl buffer was performed by using a fixed complex concentration to which increments of the DNA

273 stock solution were added. The concentration of the complex solution was 20 μ M and *bcl*-2 G4 DNA

276 4.8 Fluorescence quenching measurements

Fluorescence spectroscopy measurements were performed on a RF-5301 fluorescence spectrophotometer using a 1 cm path length quartz cell. Fluorescence quenching of the EB + bcl-2 G4 DNA system can be used for a compound having an affinity to DNA in spite of its binding mode, and only measures the ability of the compound to affect the EB fluorescence intensities in the EB + bcl-2 G4 DNA system. The titration processes were repeated until there was no apparent change in the spectra for at least three titrations, indicating the achievement of the binding saturation [26].

284 4.9 CD spectra measurements

285 CD spectra were recorded on a Jasco J810 circular dichroism (CD) spectrophotometer with a 286 thermoelectrically controlled cell holder. The cell path length was 1 cm. CD spectra were recorded 287 in the range of 230-600 nm in 0.5 nm increments with an averaging time of 0.5 s [27].

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 Cheng-Xi Wang, Qiong-Wu and Zhi-Ping Zeng contribute to the cells experiments. Fen-Yong Sun and Wen-Jie
 Mei contributed to designed the experiments and read the manuscript.

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