



1 Article

# 2 Atomic layer deposition of Li-Me-O thin films as 3 electrode materials for nanodevices power sources

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11 **Abstract:** Nowadays the development of nanoscale power sources with a long battery life is  
12 required for novel nanoelectronic devices, such as wireless sensors, biomedical implants, smart  
13 cards and others. Lithiated metal oxides (Li-Me-O) are widely used in Lithium-ion batteries (LIBs).  
14 Depending on the type of metal Li-Me-O can be applied as cathode, anode or electrolyte materials.  
15 Atomic layer deposition (ALD) due to its precision control over thickness, purity, and uniformity  
16 over large areas of applied coatings can be applied as appropriate method for synthesis of a  
17 different type of thin film LIBs materials. In present work the deposition of Li-Sn-O (anode) and  
18 Li-Al-O (electrolyte) by ALD is considered. The prepared films were investigated with use of X-ray  
19 photoelectron spectroscopy and time-of-flight secondary ion mass spectrometry.

20 **Keywords:** Atomic layer deposition, nanodevices, thin films power sources, lithiated metal oxides

## 22 1. Introduction

23 Development of new materials for high-performance power sources is one of the most actual  
24 tasks for modern material science. For some applications such as nanodevices, smart cards,  
25 microchips with integrated power supplies, some medical implants, etc thin films power sources are  
26 the only ones that can be applied. Li-ion batteries (LIBs) are widely used in high energy density  
27 applications such as portable electronics, electric vehicles, drones etc [1]. Thanks to their high energy  
28 density and cycle life LIBs electrochemical system is considered for fabrication of thin film power  
29 sources [2].

30 To implement thin film LIBs, it is necessary to develop and use a reliable and successful  
31 technology for their production. The most significant is the method of obtaining thin-film electrodes.  
32 Among the variety of methods, the atomic layer deposition (ALD) actively used in microelectronics  
33 [3] should be noted. ALD deserves specific attention due to its unique features, such as good  
34 conformity and absence of pinholes and defects, high precision of thickness and composition  
35 controllability and a capability to deposit high quality coating both on planar and on complex  
36 3-dimensional substrates with high aspect ratio [4,5]. The ALD technology is based on a sequence of  
37 chemical reactions of gaseous reagents with the substrate separated in time by inert gas purges,  
38 which makes the self-limited growth of films possible [4,5].

39 Tin oxide thin films as anode is regarded as one of the perspective materials for LIBs due to  
40 safety, cycle life and high specific discharge capacity (theoretically – 1491 mAh/g) [6,7]. The  
41 properties of tin oxide can be improved by its lithiation.

42 Lithium aluminum oxide possess relatively high li-ion conductivity and can be regarded as  
43 possible candidate for electrolyte of solid state power source.

44 In present publication we communicate the results of synthesis by ALD of lithium oxide, tin  
 45 oxide, lithium-tin oxides and lithium-aluminum oxide as composite films lithium-tin oxide with  
 46 deposited film of aluminum oxide.

## 47 2. Materials and Methods

48 Monocrystalline silicon (100) substrates (20x20 mm) and 316SS stainless steel plates, 16 mm in  
 49 diameter were used as a substrates. Before deposition silicon and stainless-steel substrates were  
 50 washed in an ultrasonic bath in acetone then in deionized water for 10 min. After cleaning procedure  
 51 the substrates were dried in inert gas atmosphere.

52 Atomic layer deposition was carried out with use of commercial "Picosun R-150" setup in the  
 53 laboratory "Functional Materials", SPbPU. Lithium hexadimethyldisilazide ( $\text{LiN}[(\text{CH}_3)_2\text{Si}]_2 -$   
 54  $\text{LiHMDS}$ ), Tetraethyltin ( $\text{Sn}(\text{C}_2\text{H}_5)_4 - \text{TET}$ ), trimethyl aluminium (TMA), water, ozone, remote oxygen  
 55 plasma (2500-3000 W, the frequencies were 1.9-3.2 MHz) were used to deposit films. The maintained  
 56 temperatures during ALD process for reagent vessels with TET – 65°C, LiHMDS – 130-170°C, TMA-25  
 57 °C. The excess of precursor was purged (pulse time 4-6 seconds) with nitrogen (99.9999%) before the  
 58 counter-reactant was introduced. The synthesis was conducted at low pressure – 8-12 hPa. Reactor  
 59 temperature varied in the range of 200-300°C. The denotation of samples and synthesis conditions are  
 60 presented in Table 1.

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**Table 1.** Description of deposition process.

Name	Com-n	A	$t_A,$ s	B	$t_B,$ s	C	$t_C,$ s	D	$t_D,$ s	T, °C	nC
Al(nC)	$\text{Al}_2\text{O}_3$	TMA	0.1	$\text{H}_2\text{O}$	0.1	–	–	–	–	200-300	50-150, 500
Al(O <sub>3</sub> )	$\text{Al}_2\text{O}_3$	TMA	0.1	$\text{O}_3$	4	–	–	–	–	300	50
H <sub>2</sub> O(nC)	Li-O	LiHMDS	0.1	$\text{H}_2\text{O}$	0.1	–	–	–	–	200-300	400-500
Li-Al-O	Li-Al-O	LiHMDS	0.1	$\text{H}_2\text{O}$	0.1	TMA	0.1	$\text{H}_2\text{O}$	0.1	250	500
Li-O	Li-O	LiHMDS	0.5	$\text{O}_3$	4	–	–	–	–	300	500
Li-Sn-O	Li-Sn-O	LiHMDS	0.1	$\text{O}_3$	4	TET	0.1	$\text{O}_2$	10	300	300
PO <sub>2</sub> (nC)	Li-O	LiHMDS	0.1	$\text{O}_2$	10	–	–	–	–	200-300	400-500

63 **Note:** Com-n – composition, A, B, C, D – reagents,  $t_A$ ,  $t_B$ ,  $t_C$ ,  $t_D$  – pulse time (seconds), T – reactor  
 64 chamber temperature, nC – number of cycles (A-D).

65

66 The notations of the samples used in the article can be decrypted by example –  
 67 H<sub>2</sub>O(500)+Al(100): 500 cycles of wafer treatment by LiHMDS, nitrogen purge, water vapors,  
 68 nitrogen purge followed 100 cycles of TMA, nitrogen purge, water vapor, nitrogen purge.

69 The growth rate was calculated on the base of number of cycles and thickness of the films which  
 70 was determined by spectral ellipsometry (SE). The ellipsometry setup "Ellips-1891 SAG" (Novosibirsk,  
 71 the Russian Federation) ensured the accuracy in determining the thickness of films equal to 0.3 nm in  
 72 the film thickness range of 1–100 nm. The measurements were carried out at 5-9 points of the support

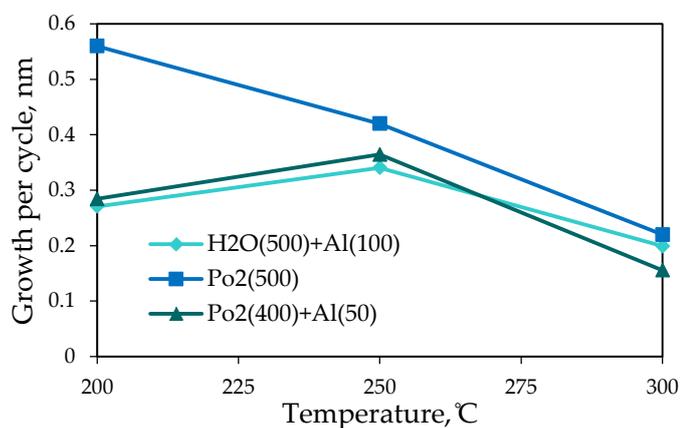
73 surface. X-ray photoelectron spectra (XPS) were registered with use of “Thermo Fisher Scientific  
 74 Escalab 250Xi” spectrometer. The samples were excited by Al K $\alpha$  (1486.7 eV) X-rays in vacuum of  
 75  $7 \times 10^{-8}$  Pa. The sample charging was automatically compensated. The binding energy scale was  
 76 referenced using the C 1s carbon line (284.8 eV). The depth profiles of elemental concentrations were  
 77 examined by time-of-flight – secondary ion mass spectroscopy (TOF.SIMS 5 instrument, ION-TOF  
 78 GmbH, Germany). Depth profiles of the elements were recorded in dynamic SIMS mode using the  
 79 primary ion gun (Bi<sup>+</sup> at 30 keV energy and 3.1 pA measured sample current, area 70x70  $\mu\text{m}$ ) for  
 80 analysis and Cs (0.5 keV, area 120x120  $\mu\text{m}$ ) for sputtering. Both positive and negative ion modes were  
 81 used. The total analysis (sputtering) time for each mode was 4 minutes.

## 82 3. Results and Discussion

### 83 3.1 Growth per cycle

84 The Li-O films were deposited with two pairs of precursors LiHDMS / H<sub>2</sub>O vapors and  
 85 LiHDMS / O<sub>2</sub> plasma. Despite of method of synthesis the deposited films have been changing their  
 86 color in time during their contact with air atmosphere. The change of color may be caused by  
 87 augmentation of films thickness and their composition after reaction with water vapors presented in  
 88 air. In order to hinder this adverse reaction the samples were placed in argon (H<sub>2</sub>O content less than  
 89 10 ppm) filled Glove box (VGB-6, MTI). The samples were withdrawn immediately before carrying  
 90 out of measurements. To prevent hydration reaction, it was proposed to deposit alumina thin layer  
 91 by ALD process.

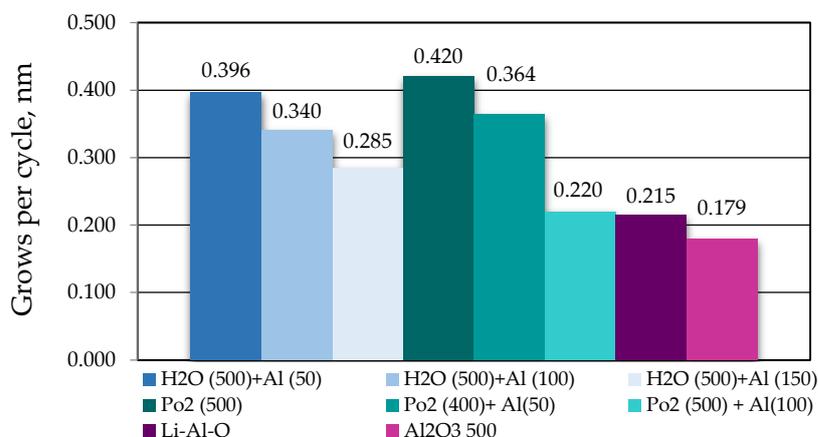
92 The effect of temperature on the growth rate of Li-O films and Li-O modified by alumina are  
 93 shown on Figure 1. For the films Li-O deposited with use of oxygen plasma the growth rate  
 94 diminishes with increase of temperature. The same influence of temperature we observed earlier for  
 95 SnO<sub>2</sub> films [8]. The growth rates of H<sub>2</sub>O(500)+Al(100) and Po<sub>2</sub>(400)+Al(50) films are similar. For both  
 96 samples the maximum growth rate is observed at 250°C and may be caused by secondary processes  
 97 [9, 10] lead to nanocrystal formation and/or formation lithium aluminate. The minimal values of  
 98 growth rates (0.16-0.22 nm per cycle) are observed for the synthesis at 300°C and they are consistent  
 99 with growth rates observed for ALD process [4,5,8].  
 100



101  
 102 **Figure 1.** The influence of temperature on average growth rate of Li-O films and Li-O films modified  
 103 by alumina

104 The growth rates for films of different composition deposited at 250 °C are presented on the  
 105 Figure 2. The growth rates calculated for Li-Al-O and Al<sub>2</sub>O<sub>3</sub> are consistent with values determined for  
 106 films deposited by ALD process [4]. The estimated average growth rate for Li-O films modified by  
 107 alumina is higher than theoretical values and it diminished with increase of number of TMA/H<sub>2</sub>O  
 108 treatment cycles. It is possible that in our case the deposited film of alumina doesn't fully protect the  
 109 Li-O film. Assuming that the alumina growth rate is constant one can conclude that the use of  
 110 LiHMDS/H<sub>2</sub>O provide higher growth rate than LiHMDS/O<sub>3</sub> precursors. Probably 250°C is not  
 111 enough temperature for full desorption of water molecules from Li-O film surface and part of the

112 film deposits according to chemical vapor deposition process [11]. Thus, in order to avoid  
 113 uncontrollable growth of films Li-O water free process should be used.  
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115

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**Figure 2.** Growth per cycle of thin films with different chemical composition deposited at 250°C

117 The influence of synthesis conditions on the growth rate of SnO<sub>2</sub> was investigated in our  
 118 previous paper [4]. The approximate value of growth rate of films obtained at 250-300°C varied from  
 119 0.06 to 0.09 nm per cycle. The thickness of obtained Li-Sn-O films (300 °C, 300 cycles) varied in the  
 120 range 27.4-30.4nm, thus the growth rate varied in the range 0.09-0.1 nm per cycle.  
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### 3.2 Depth distribution of elements

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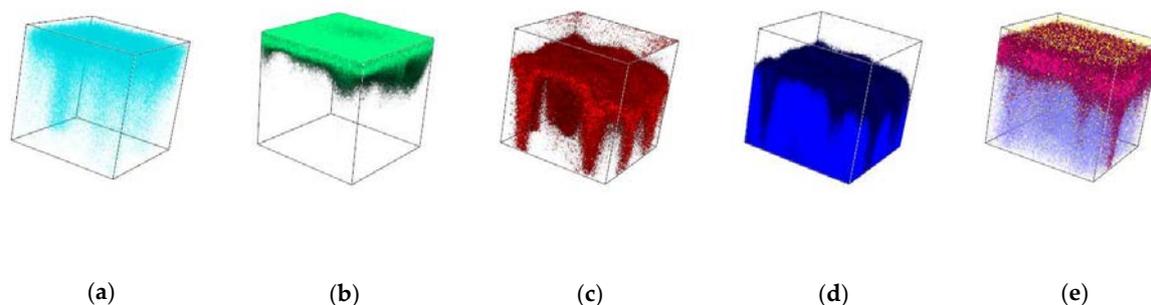
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Depth distribution of elements was studied with use of TOF SIMS. The analysis of Li-O films  
 modified by alumina (Po<sub>2</sub>(500)+Al(100)) was carried out in positive (Figure 3 (a) and 3 (b)) and  
 negative (Figure 3 (c), 3 (d), 3 (e)) modes. In positive mode at the beginning of analysis (external  
 surface) the lithium ions were fixed. With increase of sputtering time the concentration of lithium  
 diminished and after 2 minutes it was close to the value of error. On contrary the concentration  
 aluminum ions increased with the sputtering time. In the negative mode at initial time of sputtering  
 the LiOH<sup>-</sup> were detected that were followed by AlO<sup>-</sup>, OH<sup>-</sup> and O<sup>-</sup> were detected. Despite of attempt to  
 cover and protect Li-O film it is still presented on the external surface of the sample. Alumina  
 seemed to diffuse through Li-O film during synthesis or vice versa lithium diffuse to the external  
 surface. Thus, the deposited alumina film does not protect Li-O film and estimated increased growth  
 per cycle is due to its hydration.



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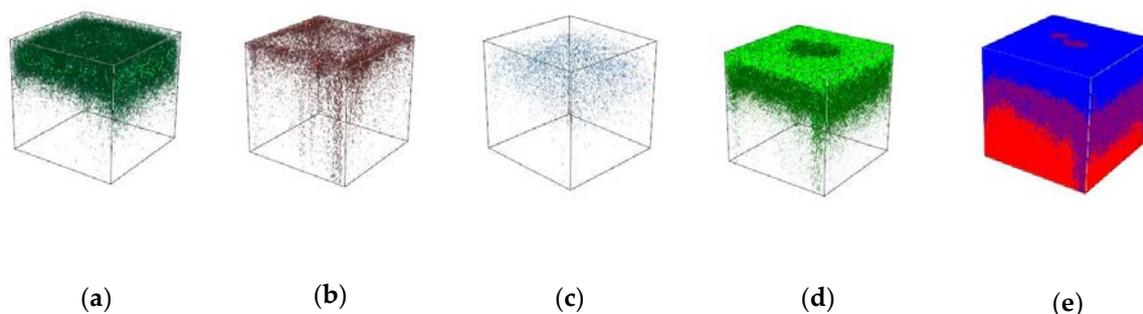
**Figure 3.** Elemental composition of Li-O+Al(O<sub>3</sub>): (a) Al<sup>+</sup> ions; (b) Li<sup>+</sup> ions; (c) OH<sup>-</sup> ions; (d) O<sup>-</sup> ions; (e) magenta – AlO<sup>-</sup>, yellow – LiOH<sup>-</sup> ions, and purple – wafer (Fe, 316SS).

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According to TOF SIMS analysis (negative mode) of Li-Sn-O film the anions containing Li, Sn  
 and O are presented in the film. Lithium (Figure 4 (a), 4 (b)) is distributed uniformly across the depth

139 the film. LiOH<sup>-</sup> are mainly present at the external surface of investigated film. Tin anions were found  
 140 in inner layers of the film, but their quantity was much smaller than anions containing lithium.  
 141 Probably Li precursor interacts more actively with the surface groups than Sn precursor and this  
 142 result in smaller quantity of Sn in the film. Thus in order to increase quantity of Sn in the film more  
 143 long pulses or greater number of pulses are required.  
 144



145 **Figure 4.** Elemental composition of Li-Sn-O thin film: (a) dark green Li; (b) LiOH; (c) Sn, (d) green –  
 146 OH; (e) blue – O, red – wafer (Si, silicon (100)).

### 147 3.3 Chemical state of surface atoms

148 XPS analysis of deposited films showed the presence of peaks with binding energy  
 149 corresponding to O 1s, C 1s Li 1s and Al 2p for Li-Al-O and O 1s, C 1s Li 1s and Sn 3d for Li-Sn-O  
 150 films. The maximums with binding energy corresponding for Si 2p and N 1s were not found,  
 151 therefore these elements belonging to the lithium precursor are not presented in the external layers  
 152 of the deposited films. Apparently the unsymmetrical form of O 1s peak and additional maximum in  
 153 the area of low binding energy (523 eV) may be caused by the presence of hydroxyl groups or by  
 154 organic impurities containing oxygen. The registered additional maximum in the region of 280 eV (C  
 155 1s) may also signify about the presence of organic impurities.

## 156 4. Conclusions

157 The thin films of different compositions (Li-O+Al-O, Al-O, Li-Al-O, and Li-Sn-O) were  
 158 deposited by ALD method. The minimal growth rate per cycle which is close to reported values  
 159 intrinsic for ALD was observed at 300°C. The modification of Li-O films by alumina (less than 100  
 160 cycles of treatment) may only hinder but cannot prevent the hydration of surface. When water is  
 161 applied as a second precursor for Li-O films deposition with use of LiHMDS the growth rate is higher  
 162 than in case of use of oxygen plasma. The elemental analysis of Li-O films modified by alumina  
 163 showed that lithium is presented on the external surface whereas alumina is found in deep layers of  
 164 the film. The observed phenomena maybe caused by diffusion of lithium or aluminum. The quantity  
 165 of tin in Li-Sn-O films is smaller than the quantity of lithium. Probably more long pulses are required  
 166 to obtain Li-Sn-O film with equimolar quantity of Li and Sn.

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 170 performed the experiments; I.M., M.M., Y.K. and D.N. analyzed the data; A.P. contributed reagents, materials  
 171 and analysis tools, M.M. and I.M. wrote the paper. M.M. and Y.K. reviewed the article.

172 **Conflicts of Interest:** The authors declare no conflict of interest.  
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