

# Article Autonomous Mapping and Exploration of UAV Using Low Cost Sensors

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- Abstract: Mapping and exploration are important tasks of mobile robots for various applications such
- <sup>2</sup> as search and rescue, inspection, and surveillance. Unmanned Aerial Vehicles (UAVs) are more suited
- <sup>3</sup> for such tasks because they have a large field of view compared to ground robots. An autonomous
- 4 operation of UAV is desirable for exploration in unknown environments. In such environments,
- 5 the UAV must make a map of the environment and simultaneously localize itself in it which is
- 6 commonly known as the SLAM (Simultaneous Localization and Mapping) problem. This is also
- required to safely navigate between open spaces, and make informed decisions about the exploration
- targets. UAVs have physical constraints of limited payload, and are generally equipped with low-spec
- embedded computational devices and sensors. Therefore, it is often challenging to achieve robust
- <sup>10</sup> SLAM on UAVs which also affects exploration. In this paper, we present an autonomous exploration
- of UAV in completely unknown environments using low cost sensors such as LIDAR and RGBD
- camera. A sensor fusion method is proposed to build a dense 3D map of the environment. Multiple
   images from the scene are geometrically aligned as the UAV explores the environment, and then
- 13 images from the scene are geometrically aligned as the UAV explores the environment, and then 14 a frontier exploration technique is used to search for the next target in the mapped area to explore
- maximum possible area. The results show that the proposed algorithm can build precise maps even
- with low-cost sensors, and explore the environment efficiently.

17 Keywords: Autonomous mapping and exploration; UAVs; sensor fusion

## 18 1. Introduction

Exploration and mapping in unknown environments is a crucial task for intelligent robots to 19 achieve complete autonomous behaviour. Recent advances in unmanned aerial vehicles (UAV) have 20 allowed mapping and exploration in difficult to access areas that were previously not possible using 21 unmanned ground vehicles. UAVs have been deployed in areas that are deemed dangerous for human 22 operation, and provide important information about the environment in applications such as search 23 and rescue, site inspection, victim search in disaster situations and monitoring. UAV must be designed 24 to operate autonomously with no prior information about the environment. To navigate in such 25 environments, the UAV must be capable of doing SLAM or simultaneous localization and mapping 26 as it explores the area. This is important, as the information perceived is utilized to safely navigate 27 between free spaces and allows intelligent exploration of areas that were not previously mapped. 28 Many variants of SLAM techniques have been successfully implemented in the past that uses different 29 sensors and the data is fused to provide informed decisions about the environment[1–5]. Although, 30

this puts a lot of constraint on the design aspect of the UAV, due to the limited payload capacity, and 31 onboard computation, affecting the total flight time. Another problem is when the UAV is exploring in 32 GPS denied environments such as indoor environments, and has to completely rely on the onboard 33 sensors for localization and navigation. Mobile robot exploration in indoor environment has been 34 extensively researched in the past and there are many existing techniques available mostly for ground 35 robots including multi-robot systems[6–8]. Exploration using UAV on the other hand is challenging 36 due to 6 DOF motion control. Thus, there is a need of compact unmanned aerial system (UAS). 37 In this paper, we introduce an UAS with low cost RGBD sensor for the purpose of mapping and 38 exploration of unknown indoor environments. RGBD sensors are used as primary sensor for mapping, 39 since it can provide fairly accurate 3D information about the scene. Also, images from the camera can 40 be utilized to navigate the UAV from ground station control in cases when the autonomous operation 41 is not possible. The images from the camera are used for matching previously visited scenes and 42 enhance the consistency of the map been built. A frontier based exploration strategy is used to cover 43 maximum region of the map. We present the proposed system by simulating an actual UAV and ΔΔ

exploring in complex indoor environment using ROS and Gazebo.



(a) Asctech Hummingbird (b) Wing configuration (c) Simulation model Figure 1. Developed UAV simulation model

#### **46** 2. Simulation Design

The simulation model is based on the Asctec Hummingbird multirotor (Fig. 1a) and is equipped with an IMU for 9DOF position estimate, barometer for altitude control, a Microsoft Kinect that doubles as an RGBD camera and a 2D LIDAR. The wing configuration is as presented in Fig. 1b, and shows the forward motion by the arrow. From tests we found that this configuration provides better agility with the kinect sensor mounted on the top. The kinematics and dynamics of the UAV were adopted as described in [9].

#### 53 2.1. Software

All simulations were performed on the Gazebo software. Gazebo comes with the physics engine 54 that can imitate actual motions of different configuration of UAV and makes it possible to test out 55 the UAS in different scenarios both indoor and outdoors[10]. It is also convenient for quickly testing 56 algorithms, adding new sensors and fast prototyping design changes. For programming and control, 57 we used ROS or robot operating system. ROS is a middleware for robotics providing software 58 framework for robot software development[11,12]. It provides broad collection of libraries that provide 59 functions to robot with focus on manipulation, perception and mobility. It also provides various set of 60 tools for debugging, testing and visualizing sensor data and tools for networking for multi-robot and 61 distributed systems. Another reason for using ROS is due to its excellent integration with the Gazebo 62 simulator. 63

## 64 2.2. Control and Estimation

An Extended Kalman Filter was used to fuse all the sensor data coming from the UAV into a single navigation information to control the velocity, orientation and position of the UAV along with <sup>67</sup> sensor error bias. A set of PID controllers were implemented to control the attitude, yaw rate, and

velocity of the vehicle along with heading. The output values that contains the thrust and torques

are then translated into motor voltages that gives response that is similar to actual aerial vehicle. The

open source ArduPilot was used as a flight controller that translates these messages into necessary
 motor voltages and is used to simulate and fly the vehicle [13]. The parameters for each element can

<sup>71</sup> motor voltages and is used to simulate and fly the vehicle [13]. The parameters for each element can <sup>72</sup> be fine tuned to get desirable response such as hovering at a place or complex maneuvers. Other

techniques for path planning can be implemented in the control loop to obtain smoother response

<sup>74</sup> from the UAV[14]. Such software in the loop approach provides greater flexibility in testing algorithms

<sup>75</sup> before actual implementation on real platform and avoids the risk of damage or injury.

## 76 3. Methods

<sup>77</sup> This section describes the mapping and exploration methods used for the experiments.

## 78 3.1. SLAM

To operate in unknown environment with or without GPS signals, the UAV needs to implement 79 SLAM to ascertain its position in the environment and gather sensor data that is used to build the map of the environment. For mapping we used the GMapping or grid mapping to create a 2D occupancy 81 grid map from the LIDAR data and pose data from the UAV. The 2D grid map was also utilized for the 82 frontier exploration which is explained later. The GMapping method uses a Rao-Blackwellized particle 83 filter that re-samples each particle in an iterative manner, dropping the bad particles while ensuring 84 that good particles remain. The mathematical details about the method can be found in [1]. A laser 85 scan matching algorithm is employed that estimate the pose of the vehicle from consecutive laser scans. 86 This ensures that sampling points are selected in an area around the current pose thereby reducing the 87 number of particles required by the particle filter algorithm and making it computationally effective. 88 As the UAV explores the environment, the grid map is updated continuously. The 3D pointcloud 89 generated from the kinect sensor is also stored to reconstruct a dense 3D map of the environment. 90



**Figure 2.** Frontier exploration on the UAV. The yellow circles are the frontiers detected on the grid map.

## 91 3.2. Exploration

For autonomous exploration, we used the frontier exploration method. A frontier on the map is 92 the boundary between explored and unexplored regions. The algorithm works on a simple principle 93 where upon visiting such frontiers constantly increases new information about the area and pushes the boundary as more areas are explored [15]. The exploration algorithm aims at detecting, labeling 95 and listing all the edges (cells) that are explored and unexplored as frontier regions. By calculating the 96 minimum size threshold, it generates a list of suitable frontiers for the vehicle to navigate to from its 97 current pose while ignoring smaller frontiers. The selection for the next best frontier to visit is based 98 on different criteria, such as, distance to the frontier from the current pose, and the size of the frontier. As the UAV continuously explores the region the grip map is updated using the SLAM method and is 100 utilized for autonomous navigation. Figure 2 shows the UAV exploration using frontier algorithm. The 101

yellow circles are the detected frontiers. The red trajectory is UAVs current trajectory, and the green
trajectory shows the plan to selected next frontier. Figure 5 shows the gazebo model of the indoor
scene. It has several rooms with similar looking features along with corridors and doors. The UAV
was able to explore all the areas successfully using the frontier exploration. Figure. 3b, shows the final
grid map obtained by the exploration method.



Figure 3. (a) Gazebo environment and, (b) result of 2D grid mapping using UAV exploration

## 107 3.3. Navigation

Once all the areas are explored, autonomous navigation can be done using the obtained grid map. 108 The navigation planner uses the global and local planner to autonomously navigate from one pose to 109 another in the grid map. The global planner plans the path from the current pose to the goal pose using 110 A-star algorithm, while the local planner generates the linear and angular velocities along the global 111 path while avoiding static or dynamic obstacles based on the cost map parameters. The local planner 112 uses the dynamic window approach (DWA planner) to sample the velocities[16]. The exploration node 113 only provides the goal pose  $(x, y, z, \theta)$ , and these are converted into NED(North-East-Down) frame. 114 The poses are then translated into motor velocity commands to send to the flight controller which uses 115 PID to navigate to the goal pose.



Figure 4. Autonomous navigation using Adaptive Monte Carlo Localization.

116 Prior to setting the goal and autonomous navigation, it is important to set the initial position of the UAV in the map. This is achieved by setting the initial pose of the UAV in the grid map. A scan 118 matcher node then corresponds the laser scans with respect to the map, and corrects the position of 119 the UAV[17]. Once the UAV has localized itself in the map, goal pose can be given for autonomous 120 tasks. The localization is done using the Adaptive Monte Carlo Localization (AMCL) stack available 121 on ROS. AMCL is a probabilistic technique to localize a moving robot system in the given map. It uses 122 the Monte Carlo localization approach wherein particle filters are used to track the pose of the robot 123 against a known map [1,18]. The localization is done by matching the laser scan data at a given pose 124 of the robot with the map. If at any given time, the autonomous navigation fails, a fail-safe system is 125 implemented that commands the UAV to land. An emergency signal is send to the control station and 126

manual flight operation of the drone can be implemented using the live camera feed from the RGBDcamera to retrieve the vehicle.

#### 129 3.4. 3D construction

The data gathered from the RGBD camera was used to generate dense 3D map of the indoor 130 environment. This is achieved by spatial alignment, where a series of images from same scene at 131 different times are geometrically aligned with different sensors or different view-frames[19]. A loop closure detection method was employed that uses fast image matching technique by extracting robust 133 features from the image (eg. SIFT or SURF features). By matching previous local features to current 134 images that belong to a similar scene we can ascertain if the robot has returned to a previously mapped 135 region and close the loop. Figure 5a shows an example of feature matching using SIFT (Scale Invariant 136 Feature Transform) features in subsequent images recorded by RGBD camera[20]. For 3D construction, ICP or iterative Closest Point method (3D variant)is used to match and stitch the 3D data obtained from 138 the RGBD camera. The generated pointcloud are transferred to OctoMap package in ROS that converts 139 the pointcloud into 3D occupancy grid map. OctoMap uses octree data structure to recursively divide 140 the pointcloud into octree cell that are further classified into occupied or unoccupied cells[21]. An 141 example of generated 3D occupancy grid of the indoor map is shown in Fig. 5b. 142



Figure 5. (a) Loop closure detection using SIFT features and (b) 3D reconstruction using OctoMap.

#### 143 4. Conclusions

In this paper, we tested algorithms for autonomous mapping and exploration of a UAV in 144 unknown indoor environments. A simulation model of the drone was developed in gazebo simulator 145 and an indoor scene was constructed to test the proposed algorithms. Our aim of the research was to 146 test whether mapping and exploration can be performed only using low-cost RGBD sensors as the only 147 visual inertial sensor. A frontier exploration strategy was implemented to explore the indoor scene 148 using LIDAR data generated from the RGBD sensor. By generating navigation goals using the frontiers, 149 the UAV was able to explore the complete map. Furthermore, we implemented SLAM on the UAV to 150 get accurate 2D grid map of the scene that was used for autonomous navigation. A 3D reconstruction 151 method using OctoMap is presented that allows to create highly dense 3D maps of the environment 152 that can be further used for 3D navigation. From the results we confirm that autonomous operation 153 using only RGBD camera is possible for the UAV system. For future work we plan to implement 154 multi-drone system in simulation to reduce the time taken for mapping using the frontier exploration. 155 Also, we plan to test the proposed framework on real platform (UAS) for autonomous mapping and 156 exploration. 157

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 the research; Y. K. made valuable suggestions to analyze the data and improve the manuscript. T. E. provided
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161 Conflicts of Interest: "The authors declare no conflict of interest."

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