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2 **Post-fire effect modeling for the permafrost zone in** 3 **Central Siberia on the basis of remote sensing data**

4 **Evgenii Ponomarev** ^{1,2,3*}, **Tatiana Ponomareva** ^{1,2}, **Oxana Masyagina** ¹, **Evgenii Shvetsov** ^{1,2}, **Oleg**
5 **Ponomarev** ², **Konstantin Krasnoshchekov** ³, **Alexander Dergunov** ³

6 ¹ V. N. Sukachev Institute of Forest SB RAS, Krasnoyarsk 660036, Russia; evg@ksc.krasn.ru

7 ² Siberian Federal University, Krasnoyarsk 660041, Russia; bashkova_t@mail.ru

8 ³ Federal Research Center "Krasnoyarsk Science Center, SB RAS", Krasnoyarsk 660036, Russia;
9 krasko@icm.krasn.ru

10 * Correspondence: evg@ksc.krasn.ru; Tel.: +7-391-249-4092

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13 **Abstract:** The increasing trend of larch forests burning in the permafrost zone (60–65° N, 95–105°E)
14 is observed in Siberia. Up to 10–15% of entire larch forests were damaged by wildfire during the
15 last two decades. Current research analysed the reflectance and thermal anomalies of the
16 post-pyrogenic sites under the conditions of permafrost. Studies are based on long-term Terra,
17 Aqua/MODIS (Moderate Resolution Imaging Spectroradiometer) survey for 2006–2018. We used IR
18 thermal range data of 10.780–11.280 microns (MOD11A1 product) and we evaluated NDVI from
19 MOD09GQ product as well. The averaged temperature and NDVI dynamics were investigated in
20 total for 50 post-fire plots under different stages of succession (1, 2, 5 and 10 years after burning) in
21 comparison with non-disturbed vegetation cover sites under the same conditions. We recorded
22 higher temperatures (20–47% higher than average background value) and lower NDVI values
23 (9–63% lower than non-disturbed vegetation cover) persisting for the first 10 years after the fire.
24 Under conditions of natural restoration thermal anomalies of the ground cover remained
25 significant for more than 15 years, which was reflected on long-term satellite data and confirmed
26 by ground-based measurements. To estimate impact of thermal anomalies on soil temperature and
27 thawed layer depth we used the Stefan's solution for the thermal conductivity equation. According
28 to results of numerical simulation, depth of the seasonal thawed layer could increase more than
29 20% in comparison with the average statistical norm under the conditions of excessive heating of
30 the underlying layers. This is a significant factor in the stability of Siberian permafrost ecosystems
31 requiring long-term monitoring.

32 **Keywords:** remote sensing, permafrost, larch forests, thermal anomaly, post-pyrogenic sites,
33 seasonal thawed layer
34

35 **1. Introduction**

36 Wildfire impact is the main factor, which affected strongly on the state of boreal ecosystems of
37 Siberia. The postfire changes in the vegetation cover in the larch forests of Central Siberia form
38 conditions for significant changes in thermal balance. These changes can affect the seasonal thawed
39 layer and its dynamics. Significant and long-term post-fire effects are well-documented in the
40 permafrost zone of Siberia [1–5]. A number of the problems associated with vegetation cover
41 disturbances have been discussed, such as degradation of seasonal thawed layer of permafrost as
42 well as variation of temperature and water regimes of soils [3,6–7]. Postfire changes in the thermal
43 balance can result in the disturbance of the "transitional layer", which protects the upper horizons of

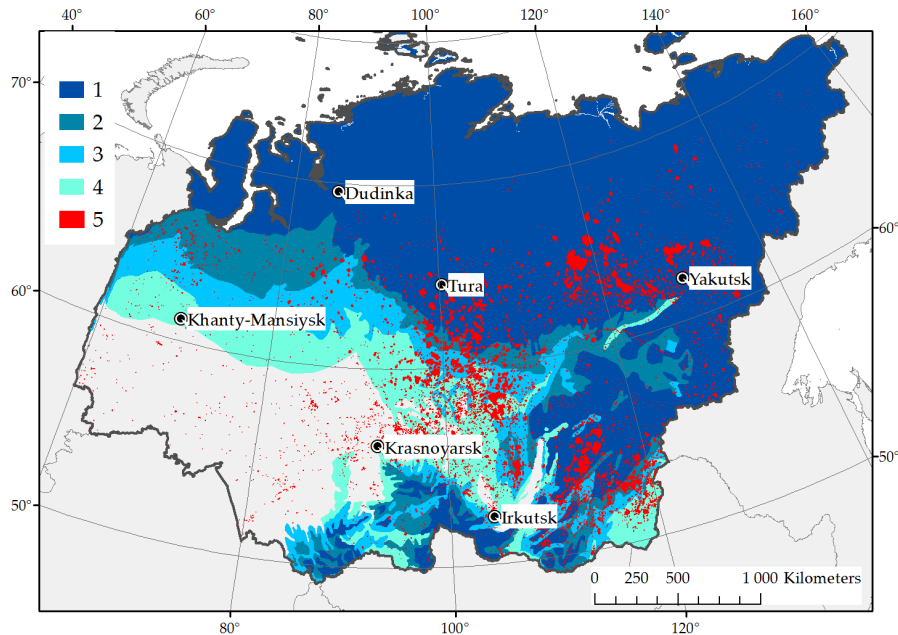
44 permafrost [8]. Given the vast nature of the geographical area to be managed, satellite techniques
45 are the primary means for wildfire monitoring in most part of the boreal forest zone of Russia.
46 Evaluation of the effects of fires on Siberian ecosystems also requires the usage of remote data.

47 The main aims of study are (i) to perform a quantitative analysis of thermal anomalies in
48 fire-damaged areas of the permafrost zone of Siberia and its dynamics according to the stages of
49 post-fire succession, (ii) to obtain estimates of the depth of the seasonally thawed layer under
50 conditions of excessive heat flux on the surface based on numerical modeling technique.

51 2. Experiments

52 2.1. Study area

53 The study area included the territory of Evenkia (central part of Siberia), covering the territory
54 from 62° to 66° N and from 96° to 107° E (Fig. 1). This region belongs to the Central Siberian
55 flat-mountainous taiga region of the boreal taiga zone. Siberian larch (*Larix sibirica*, *Larix gmelinii*) is
56 the dominant species in the forest stands. The study area belongs to the continuous permafrost zone
57 according to the Circum-Arctic permafrost and ground ice map by the National Snow and Ice Data
58 Center [9]. Large-scale wildfires are typical for the territory, which are detected by satellite
59 monitoring only and are not served by fire protection system.



60 **Figure 1.** Study area in Central Siberia. Types of permafrost: 1 – continuous (90 – 100% of territory); 2
61 – discontinuous (50 – 90%); 3 – sporadic (10 – 50%); 4 – isolated patches (0 – 10%); 5 – remote sensing
62 monitoring data on burned area in 2016 – 2018.

63 2.2. Materials and method

64 Retrospective multispectral materials of Terra and Aqua / MODIS for the period 2006–2018, as
65 well as information on wildfires in the format of geo-information polygonal layer [10] were used to
66 post-fire plots selecting. High resolution imagery (15–30 m) of Landsat/ETM/OLI (Enhanced
67 Thematic Mapper/ Operational Land Imager) and Sentinel-2 were used for wildfire geometry precise
68 estimating. Multispectral data from Terra/Aqua and the retrospective imagery allows evaluate
69 long-term changes using both the “vegetation” channels of the spectrum and the thermal range. The
70 characteristics of the postfire area were determined by analyzing of spectral features in the range of
71 $\lambda_1 = 0.620\text{--}0.670 \mu\text{m}$, $\lambda_2 = 0.841\text{--}0.876 \mu\text{m}$ (product MOD09GQ), and in thermal band of $\lambda_3 =$

72 10.780–11.280 μm (product MOD11A1)/(L2G и L3 https://lpdaac.usgs.gov/dataset_discovery/modis)
 73 [11,12].

74 Temperature, albedo and Normalized Difference Vegetation Index (NDVI) dynamics were
 75 investigated in total for 50 post-fire plots under different stages of succession (1, 2, 5 and 10 years
 76 after burning) in comparison with non-disturbed vegetation cover sites under the same natural
 77 conditions. Across the entire set of initial data, a 10-days averaging was performed taking into
 78 account the recovery succession stages. Dates of fires and post-fire stages were controlled using the
 79 attribute information of the wildfire databank [10].

80 Variety of the thaw depth of the permafrost layer (Z) was estimated depending on the thermal
 81 anomaly at the surface and vegetation recovery stage. Numerical modeling was based on the Stefan
 82 solution of the heat conduction equation for the depth of the seasonal thawing layer [4,13,14]:

$$Z = \sqrt{\frac{2\lambda_f(T_s - T_f)\tau}{l \cdot u \cdot \rho}}, \quad (1)$$

83 where ρ is the density (kg/m^3), T is the temperature of the surface (T_s) and the temperature of
 84 permafrost layer (T_f), x is the depth of the layer (m), λ is the thermal conductivity coefficient ($\text{W}/(\text{m}$
 85 $^\circ\text{C})$) for the thawing layer (λ_1) and permafrost layer (λ_2), τ is the duration of heating, l is specific heat
 86 of fusion (J/kg), u is the volumetric water content of soil (%).

87 3. Results and discussion

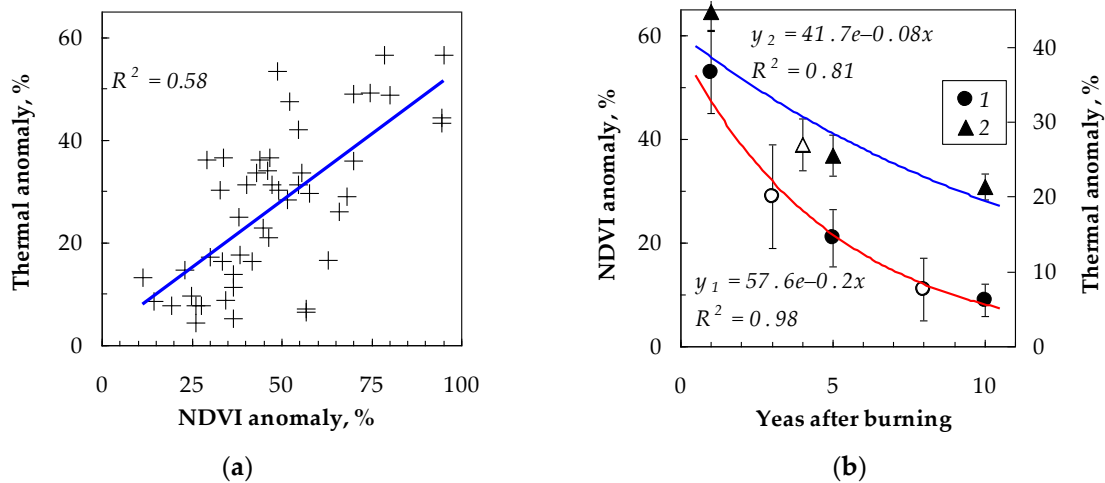
88 Over the study area, fires were recorded on a total area of 12.743 MHa during 22 years of
 89 available instrumental observations. It is more than $\gamma > 12.0\%$ of forested area, relative burned area
 90 (RBA) was $0.51 \pm 0.18\%$ per year. Average RBA for Siberia is 1.19% [15]. For comparison, RBA is
 91 0.56% for the forests of Western Canada [16]. Significant “accumulative” effect from fires provokes
 92 large-scale anomalies of vegetation cover and thermal balance (Table 1).

93 **Table 1.** The averaged characteristics of post-pyrogenic sites in the mid-summer (maxima of thermal
 94 anomaly)

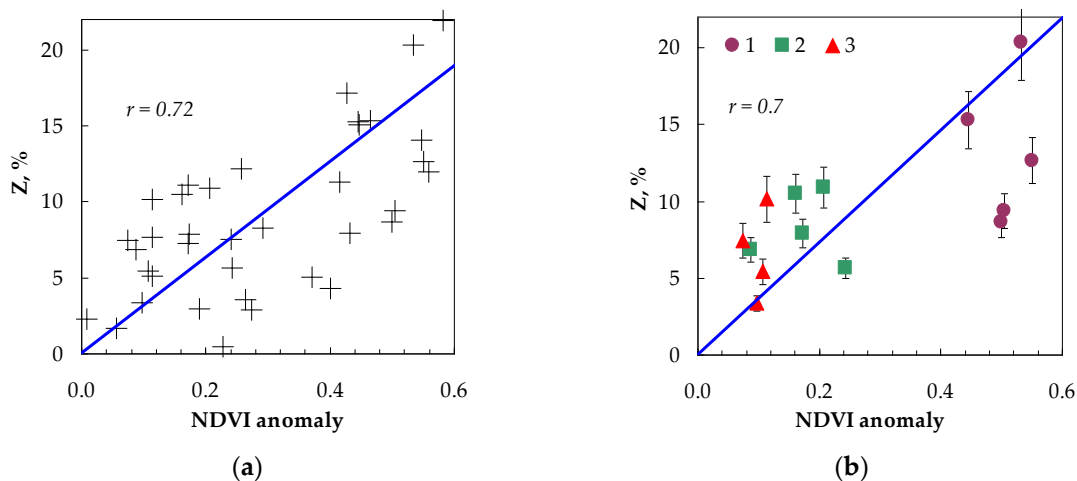
Time after burning, years	Anomalies of NDVI, %	Range of temperature maxima, $^\circ\text{C}$	Anomalies of temperature, %
1	53.5 ± 10.7	6.5–7.2	40–50
5	21.0 ± 7.8	3.8–4.9	27–32
10	9.0 ± 5.0	3.4–4.6	15–20

95
 96 On the postfire sites aged 1 year, the value of NDVI was typically of $50 \pm 8\%$ comparing to the
 97 non disturbed plots. The deviation of NDVI ($21 \pm 7\%$) abnormality was 2 times lower of the control
 98 values on the postfire sites aged 5 years. Fire sites with an age of 10 years do not differ significantly
 99 from the control in terms of NDVI, which is caused by success restoration of on-ground vegetation
 100 cover. The mean deviation from control values did not exceed 9% with a significant dispersion of $\sigma =$
 101 5% . Anomalies in vegetation cover are lost during the next 5–7 years after fire. However, the process
 102 of tree stands restoring is much longer up to 50 years [5,7,17].

103 For the same plots vegetation anomalies correlates with data on mid-summer thermal
 104 anomalies as well (Fig. 2, a). The maxima of temperature abnormality are staying significant more
 105 than 10–15 years after a wildfire (Table 1, Fig. 2). Absolute temperature maxima were fixed in range
 106 of $7.0 \pm 1.5^\circ\text{C}$ during mid-summer for plots of burnt larch forest of Central Siberia. This is 20–40%
 107 higher than the temperature of undisturbed sites. The rate of loss of thermal anomalies was 2.5 times
 108 lower than the rate of vegetation restoration according to NDVI. The coefficients of exponential
 109 approximation are -0.08 and -0.2 , respectively (Fig 2, b).



110 **Figure 2.** Experimental data on NDVI and temperature for post-fire plots relative to non-disturbed
 111 territories: (a) Thermal anomalies vs NDVI anomaly; (b) Long-term dynamics of NDVI index
 112 anomalies averaged for June – August (1) and averaged thermal anomalies (2).



113 **Figure 3.** Numerical modeling result on abnormal thaw depth after wildfire impact on vegetation
 114 cover: (a) Increment of the thawing layer depth vs NDVI anomaly. Correlation of $r=0.72$; (b) Thawing
 115 layer depth anomaly during vegetation recovery: 1 – one year after burning, 2 – 5 years after burning,
 116 3 – 10 years after burning.

117 Numeric simulation and field measurements [5,7,18] fix the average statistical norm of seasonal
 118 thawing of active soil layer at 0.6–2.0 m under the conditions similar to the region of interest. As
 119 shown by the results of numerical simulation, the excess heat flux on the surface causes an increase
 120 in depth thawing (Z) on average by 10–20% (Fig. 3) relative to the average statistical norm. Thawing
 121 of the soil profile is possible for an additional 0.5 m in depth under the conditions of stable
 122 anticyclone that is usually observed over central part of Siberia during summer for up to 20–30 days
 123 [19] and an excessive insolation of the surface. Despite the fact that the maximum of the thermal
 124 anomaly is fixed in the middle of summer (Table 1), the maximum of thawing depth should be
 125 expected in August. The delay in temperature dynamics with depth of soil profile should be taking
 126 into account [20].

127 The results of satellite monitoring [4] and a number of ground-based experiments [7] allow us
 128 to state the long-term (15–25 years) effects of thermal anomalies on the post-fire sites of the
 129 permafrost zone. On post-fire plots the NDVI values are restored 3 to 5 years after the fire. But
 130 surface temperature anomalies still can be considered as statistically significant during 10–15 years

131 after burning. The low rate of vanishing of the thermal anomaly makes it possible to consider this
132 factor of long-term influence on the state of the soil and the permafrost as one of the most significant.
133 A similar effect was observed, in particular, in Alaska [6]. The obtained results are also consistent
134 with the data for Russian forests [3,21]. This should be taken into account when assessing the state of
135 the forests, tree mortality or monitoring of regeneration processes in the forests of the permafrost
136 zone using satellite methods [22]. Temperature fields restored using the satellite data can be
137 considered as the basis for monitoring the condition of forests of the permafrost zone of Siberia.

138 Considering the predicted climate changes, toughening of fire regimes and increased fire
139 activity in the forests of the permafrost zone [15,19,23,24], the integral effect of post-fire thermal
140 anomalies will strengthen and is likely to grow increasingly.

141 4. Conclusions

142 Changes in thermal regime of postfire areas in Central Siberian are accompanied by an
143 abnormal increase in average temperatures of the soil surface by $\Delta T = 7.2 \pm 1.3^\circ\text{C}$. This is 20–40%
144 higher than the temperature of undisturbed sites. The NDVI values are restored 3 to 5 years after the
145 fire. The rate is depending on the regeneration of the vegetation cover. The partial regeneration of
146 vegetation covers does not compensate the changes, which lead to long-term temperature
147 abnormalities. The thermal balance of postfire sites with disturbed vegetation cover remain affected
148 for more than 15 years.

149 It was found that the abnormal temperatures on a significant area of the permafrost zone can
150 result in a seasonal increase in the thawing depth of the soil by 10–20% when compared with the
151 mean normal value.

152 Vast postfire disturbances (currently up to 25% of total forested area per the last two decades) of
153 the vegetation cover in the northern regions of Siberia have a significant effect on the temperature
154 regime of the “ground cover”– “soil”–“permafrost layer” boundary layer. A more detailed study of
155 post-fire effects is important for predicting the response of boreal ecosystems to the fire impact,
156 which currently tends to increase.

157 The low rate of the thermal anomaly lost, at least in the first 10 years after the fire, makes it
158 possible to consider this factor of long-term influence on the state of the seasonal thawed soil layer as
159 one of the most significant. This technique and remote sensing data could be used for determining
160 the stability of permafrost ecosystems.

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164 experiments; E.P., O.M., T.P. analyzed the data; E.S., O.P., K.K., A.D. contributed reagents/materials/analysis
165 tools; E.P. wrote the paper.

166 **Conflicts of Interest:** The authors declare no conflict of interest.

167 Abbreviations

168 The following abbreviations are used in this manuscript:

169 MODIS: Moderate Resolution Imaging Spectroradiometer

170 NDVI: Normalized Difference Vegetation Index

171 RBA: Relative Burned Area

172 ETM: Enhanced Thematic Mapper

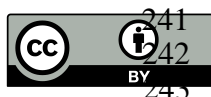
173 OLI: Operational Land Imager

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