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The analysis of photochemical anti-syn isomerization process across the –N–N= bond in heterocyclic imines

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Abstract: Many biologically active molecules experience photoisomerization processes after light-induced excitation (e.g. rhodopsin). Important photochemical properties showed particularly compounds possessing aromatic systems in the presence of conjugated heteroatoms. Such systems often constitute a part of natural biomolecules and play a crucial role in essential biochemical and biological processes. Due to photochemical nature of these aromatic compounds, such as Schiff bases, they are often studied for pharmacological applications and used in biochemistry and medicine. For this reason, we focused our study on photochemical processes of guinazolinonebased Schiff bases. The isomerization from the energetically more favourable antiisomer to the syn-isomer by UV/vis excitation has been found namely in the systems possessing a double bond systems. Presented analysis deals with NMR spectroscopy and theoretical DFT analysis of photochemical processes of the Schiff base possessing a quinazolinone moiety with a series of model compounds to investigate the photochemical behaviour of the –N–N= linkage. The NMR experiments in solution showed that irradiation at 365 nm leads to photochemically-induced isomerization from the anti- to the higher-energy syn-form around the -N-N= linkage.

Keywords: NMR; Photoactive biomolecules; Photoisomerization; Schiff-bases



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Introduction

- some chemical substances are photoactive when subjected to UV radiation
- can form many reactive species
- play a crucial role in essential biochemical processes
- exhibit structural changes and biological activities upon radiation
- act as the first step in a number of light-induced biological processes
- photochemical nature makes them applicable in many fields



CHO



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Compounds

- Schiff-base possessing a quinazolinone moiety (S1)
- model compounds (M1-M4) possessing N– N=CH moiety
- significance of Schiff bases can be attributed to the presence of the N=C or N=N double bond
- the –N–N=CH– array of atoms has different photochemical properties compared to the N=N double bond



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Outline

- study of photochemical behaviour
 - generation of ROS via photosystem I/II mechanism
 - structural changes upon irradiation
- spectroscopic characterisation
 - EPR (X-band)
 - NMR (1D and 2D)
- DFT calculations
 - geometry optimisation
 - excited states study



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P = photosensitizer, S = substrate, 0 = ground state, 1 = singlet, 3 = triplet, * = activated state, ISC = intersystem crossing



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- DMSO solvent, RT, continuous irradiation at 365 nm
- spin-trapping technique, spin traps used



- detected oxygen centred adducts, namely •DMPO-O₂⁻ (a-c) and carbon centred adduct •ND-CH₃ (d)
- reactive species are formed via consecutive reactions in DMSO under atmospheric conditions

 $(CH_3)_2SO + OOH/O_2^{\bullet-} \longrightarrow CH_3 + CH_3S(O)OOH/CH_3S(O)OO^{\bullet-}$

$$CH_3 + {}^3O_2 \longrightarrow CH_3OO'$$

 $2 \text{ CH}_3 \text{OO}^{\bullet} \longrightarrow \text{ CH}_3 \text{O}^{\bullet} + {}^1\text{O}_2$



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- 600 MHz, RT, DMSO, before irradiation (a)
- new resonances originating from the *syn*-isomer after 10 min irradiation at 365 nm (b)



- isomerization varied in a manner correlated to the structure
- stabilisation of *syn*-isomer
 via H-bonds

High-resolution 600 MHz ¹H NMR spectrum of **S1** in DMSO at 25°C before irradiation (a) and after 10 minutes of UV irradiation (λ_{max} = 365 nm) (b). Smaller signals (marked with subscript s) belong to the *syn*-isomer, which formed upon irradiation; assignments without indices belong to the main form (*anti*-isomer).

9.0 8.8 f1 (ppm) 8.6

9.2

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9.6

11.6 11.4 11.2 11.0 10.8 10.6 10.4 10.2 10.0 9.8

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7.8 7.6

7.4

7.2 7.0

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Ring C



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Ring A

- temperature coefficients of the -N₃-N=C(H) protons and OH protons (Table 1)
- coefficients for the OH protons for 1 7 varied between -5.36 and -6.11 ppb/K
- OH groups are involved in intramolecular H-bonds with different strengths depending upon the structure and conformation
- the same behaviour also for the NH protons
- stability of *syn*-isomers due to the formation of intramolecular hydrogen bonds

	-		(20°C)	(25°C)	(35°C)	(45°C)	(55°C)	(65°C)	ppb/K
S1	anti-	–OH'	10.233	10.203	10.142	10.080	10.020	9.958	-6.11
		–OH''	11.434	11.409	11.353	11.299	11.246	11.193	-5.36
		N ₃ -N=CH	8.441	8.454	8.477	8.499	8.522	8.542	-2.24
		-NH	7.484	7.460	7.408	7.357	7.305	7.254	-5.11

Table 1. Temperature dependence of the chemical shifts of -N=C(H) and -OH protons in S1.

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- The relative energies between the *anti* and *syn*-forms for M1-M4 and S1 are listed in Table 2 and the selected geometry parameters are in Table 3 (next slide).
- the N₃-N₉ bond has partial double-bond character (around 1.37 Å, see Table 3, row 3)
- incorporation of the heterocyclic moiety (M2) to the structure of M1 caused the bond elongation of the bond (1.380 vs. 1.356 Å).
- A significant effect is also seen after addition of the aromatic ring C to the carbon atom C₁₀; there is a visible zigzag double-bond formation in the C₄-N₃-N₉-C₁₀ linkage, where the N₃-N₉ bond was shortened and the N₉-C₁₀ bond was prolonged (Table 3, rows 3 and 4)





DFT-optimised geometries of compound S1: anti-isomer (left) , syn-isomer (right)

Table 2. DFT-computed energy differences (*kJ/mol*) between the *anti* and *syn*-forms for all compounds using ω B97XD functional, 6-311++G(2d,2p) basis set and SMD solvent model (DMSO)

Compound	M1	M2	М3	M4	S1
$\Delta E_{syn} - \Delta E_{anti}$	7.88	6.09	4.99	2.39	7.56

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Table 3. Selected optimised bond lengths (Å), bond angles (degrees) and torsion angles (degrees) for **M1–M4** and **S1** obtained by DFT calculations at the ω B97XD/6-311++G(2d,2p) using SMD solvent model (DMSO)

		M1		M2		M3		M4		S1	
		anti-	syn-	anti-	syn-	anti-	syn-	anti-	syn-	anti-	syn-
	C _{1"} -C _{6"}	-	-	-	-	1.410	1.409	-	-	1.410	1.410
	C ₁₀ -C _{1"}	-	-	-	-	1.458	1.453	-	-	1.457	1.453
Bond	N ₃ –N ₉	1.365	1.371	1.365	1.375	1.357	1.387	1.374	1.402	1.360	1.386
length	C ₁₀ -N ₉	1.267	1.272	1.270	1.272	1.278	1.280	1.265	1.268	1.278	1.279
	C ₄ –N ₃	1.356	1.356	1.380	1.368	1.385	1.385	1.358	1.349	1.388	1.384
	C ₂ –N ₃	-	-	1.463	1.471	1.459	1.462	1.449	1.447	1.458	1.459
	N ₃ -N ₉ -C ₁₀	120.7	116.5	120.1	123.1	122.7	117.5	118.6	113.4	122.5	117.9
	N ₉ -C ₁₀ -C _{1"}	-	-	-	-	119.4	120.7	-	-	119.4	120.3
Bond	$C_4 - N_3 - C_2$	_	-	123.8	122.3	121.3	118.2	121.2	122.5	122.5	120.8
angle	$C_{8a} - N_1 - C_2$	_	-	110.4	109.8	116.7	115.2	_	-	116.8	116.3
	N ₁ -C ₂ -N ₃	_	-	110.4	110.2	109.0	108.0	-	-	108.2	107.4
	C ₄ -N ₃ -N ₉	121.6	130.8	115.8	126.5	114.9	119.8	117.1	120.8	115.0	120.6
	$C_{1'} - C_2 - N_3$	-	-	-	-	-	-	-	-	112.4	113.4
	C ₄ -N ₃ -N ₉ - C ₁₀	-180	0	-170.9	14.8	-165.7	58.9	-180	-67.1	-167.3	57.4
	C ₂ -N ₃ -N ₉ - C ₁₀	-	_	3.4	-177.7	-1.5	-156.8	0	131.1	1.3	-151.9
Torsion	N ₃ -N ₉ - C ₁₀ -C _{1"}	-	_	_	_	-179.5	-178.0	-	_	179.9	-178.1
angle	N ₉ - C ₁₀ -C _{1"} -C ₆	-	-	-	-	-2.2	1.0	_	-	-2.3	2.0
	N ₃ -C ₂ -C _{1'} - C _{2'}	-	_	_	_	_	-	_	_	-9.3	-11.7
	$N_1 - C_2 - N_3 - C_4$	_	_	-21.8	-31.1	-41.8	-53.6	_	_	-38.7	-48.1

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- For M1, both the molecule and its energy profile with respect to D(C–N–N–C) are symmetric
- energy profiles of S1 and M1, M2 and M3 are compared
- The C₄N₂ ring present in M2 introduces a major part of the asymmetry steric repulsion between the Schiff's base CH₂ group and the ring CH₂ group







Ground state energy curves (*kJ/mol*) against C₄–N₃–N₉–C₁₀ dihedral angle for **S1** and model systems. Top: energy profiles for **S1** and **M1**, **M2**, and **M3** (zeroed to lowest energy point on curve). Calculated at the ω B97XD/6-311++G(2d,2p) level. Bottom: **S1** and **M3** (zeroed to *syn* minimum).

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 $\begin{array}{c} O \\ a \\ a \\ a \\ a \\ H \end{array} \begin{array}{c} 9 \\ N \\ N \\ H \\ B \end{array} \begin{array}{c} 0 \\ N \\ N \\ H \\ H \end{array} \begin{array}{c} 9 \\ H \\ N \\ H \\ M 2 \end{array} \begin{array}{c} H \\ H \\ M 2 \end{array}$

- small shoulder around 0° in S1, M2, and M3 is visible as a peak in the case of M4, less pronounced in case of M2
- steric repulsion between the C=O group and the electron pairs (two lone pairs, one N–C bond) on sp³-hybridised N⁻
- This suggests that the peak (or shoulder) at 0° arises from resonance structures with negative charge at the Schiff's base nitrogen
- nearby electron-donating OH group shows such effects most strongly







Top: ground-state energy curves (in *kJ/mol*) for **S1**, **M3**, **M4**, and $M3_{pcm}$ against D(C-N-N-C). Bottom: resonance structure with negative charge on Schiff's-base nitrogen.

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- potential energy curves for ground and excited states against the dihedral angle D(C-N-N-C); studied for all model compounds and S1
- first excited state has its highest energy at 180° and its lowest energy at 0°
- The first state arises from an n-π* excitation
- the dominant excitation is HOMO → LUMO



Top: Energy curves for the ground state (GS) and first three excited states (ES1-ES3) of **M1**. Relative energies (kJ/mol) of the states have been heavily adjusted for clarity.

Bottom: Ground state (GS) and first two excited states (ES1, ES2) energy curves for **M2**. Relative energies (kJ/mol) of the curves have been adjusted for clarity.

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- M3 and S1 the situation is a little different
- the first two have similar energy profiles to the ground state; third is inverted
- the conical intersection between 2nd and 3rd excited state
- deexcitation mechanism is similar for both systems







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90

135

180

Conclusions

- EPR measurements evidenced the generation of ROS using spin-trapping technique
 - the presence of oxygen- and carbon-centred adducts
- NMR spectra proved the photoisomerization process around the N–N bond within the –N– N=CH– array
 - two isomers, *syn*-isomer formed, 25% of conversion
 - presence of hydrogen bonds
- DFT calculations
 - the *anti*-form to be lower in energy than the *syn*-form, in accordance with experiment
 - excited state energy curves; photoisomerization involves $n-\pi^*$ excitations or proceeds purely via $\pi-\pi^*$ states; the mechanism is determined by the π -system size
 - **M3** and **S1**, the 3rd singlet-singlet excitation $(\pi \pi^*)$ results in an excited state with an appropriate energy profile for isomerisation; lower excitations are unlikely to be involved



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