

1 *Conference Proceedings Paper*

2 **Manufacturing of a helical, self-coiling dielectric** 3 **polymer actuator**

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14 **Abstract:** For the next generation of soft robotics novel materials are needed that overcome the
15 limitations of established active materials like shape memory alloys or dielectric elastomer
16 actuators. These new actuator types should offer fast actuation and good electromechanical
17 coupling. In this publication, the manufacturing process and the resulting prototype of a helical
18 dielectric polymer actuator are presented. The actuator material consists of several layers of
19 thermoplastic elastomer and thermoplastic polymer layers with conductive fillers that are thermally
20 bonded and stretched afterwards, which leads to self-coiling into a helical configuration. In the
21 targeted set-up the thermoplastic dielectric layer, that is compressed by Maxwell pressure, is
22 significantly thinner but much easier to handle than silicone films frequently used in dielectric
23 elastomer actuators. Several manufacturing strategies are discussed and experimentally evaluated.
24 This includes the use of different materials, their preliminary treatment, the implementation of
25 electrically conducting layers functioning as electrodes and the contacting of the conducting layers.
26 By identifying feasible settings and properties for these parameters, potential defects occurring
27 during manufacturing or high-voltage activation can be minimized. By pre-stretching and then
28 releasing a thin strip of the laminate structure, a helix is formed. The resulting prototype actuator
29 set-up is characterized under voltages of 2 to 5 kV and shows high-speed actuation at deformation
30 speeds of > 5 %/s. Due to the helical configuration, the observed contraction is orders of magnitude
31 higher than the theoretical value for the corresponding flat configuration, showing the potential of
32 the newly developed actuator material.

33 **Keywords:** actuator material; soft actuator; dielectric polymer actuator; artificial muscle
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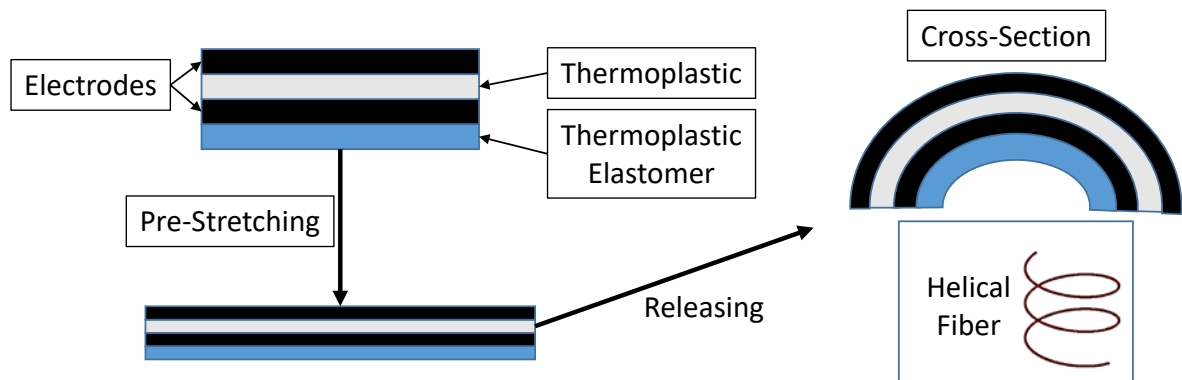
35 1. Introduction

36 Currently investigated active materials for soft robotics include shape memory alloys (SMA) or
37 polymers (SMP), dielectric elastomer actuators (DEA), fluidic actuators or combinations thereof [1–
38 5]. However, so far none of these has found broad success in soft robotics for real-world applications.
39 That is due to different disadvantages the actuator material classes have, which require a trade-off
40 between those and their inherent promising advantages. For example, the tremendous work density

41 and high forces of SMA actuators come together with low strain and a limited number of actuating
 42 cycles. Similar any thermally activated material, even novel, promising actuator materials like
 43 twisted coiled polymeric actuators, are severely limited in strain rate if not cooled actively [6]. This
 44 factor is even more important in case the actuators are supposed to be bundled similar to natural
 45 muscle fibers.

46 In contrast, DEAs offer high-speed actuation and large maximum strains. However, the forces exerted
 47 by DEAs are very limited and the thin silicone membranes used in their production process are
 48 exceedingly difficult to handle and prone to tearing [7]. Some of these disadvantages are
 49 circumvented by HASEL actuators that amplify their force output by moving a dielectric fluid in a
 50 sealed bag of thermoplastic films [8]. Their uncovered electrodes can still lead to malfunctions and
 51 potential leakage of the dielectric fluid poses a major challenge.

52 In this work, a novel actuator material is introduced that consists of minimum four layers in fiber
 53 shape. Two electrode layers, one thermoplastic and one thermoplastic elastomer layer. The two
 54 electrodes lead to Maxwell pressure being applied to the thermoplastic layer when high voltage is
 55 applied. Usually, because of the high elastic modulus of the thermoplastic material the resulting
 56 deformation in thickness and subsequent in-plane deformation are minimal. However, by pre-
 57 stretching the fiber, plastically deforming the thermoplastic layer and thereafter releasing the stretch,
 58 the thermoplastic elastomer layer resets and the fiber forms a helix, as illustrated in Figure 1.
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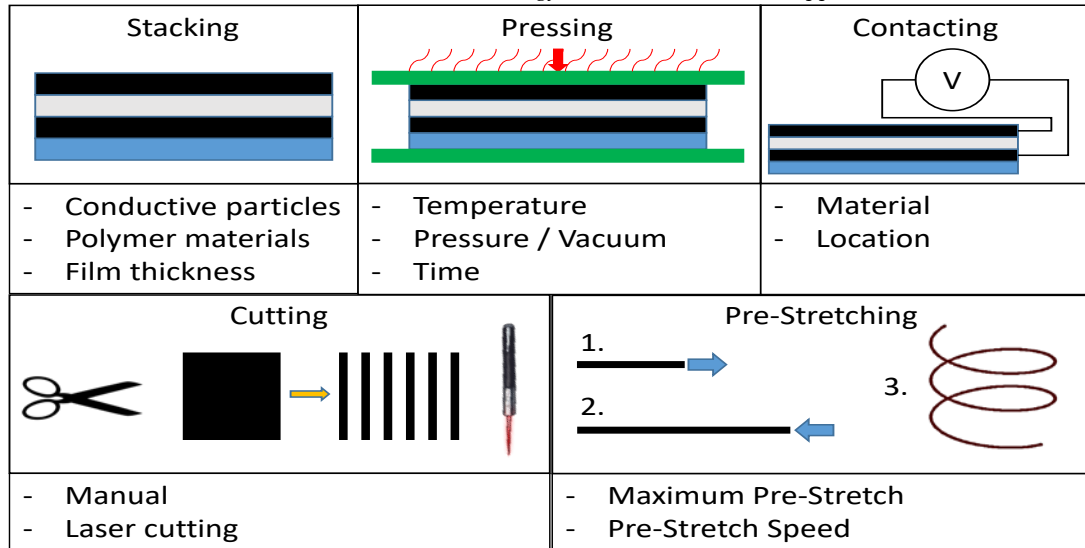


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 61 **Figure 1.** Schematic of the basic set up of the dielectric polymer actuator with its four layers and the
 62 procedure to a self-coiled helix

63 As recently shown by Kanik et al. a helix can drastically increase the strain potential of actuator
 64 materials [9]. They showed that even a very small temperature induced strain of 0.14 % leads to a
 65 global contraction of nearly 50 %. This principle is translated in this work to a dielectric polymer
 66 actuator. The advantages are that very thin but still robust thermoplastic films can be used but
 67 drastically higher actuation frequencies can be achieved. However, some major challenges in the
 68 manufacturing process appear and are discussed and experimentally evaluated in the following
 69 sections.

70 2. Experiments

71 In the following section, the manufacturing process and its parameters as well as contacting
 72 strategies are discussed. In general, the manufacturing process consists of five steps: stacking,
 73 pressing, contacting, cutting and pre-stretching (see Figure 2).



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Figure 2. Manufacturing process of the dielectric polymer actuator with stacking, pressing, contacting, cutting and pre-stretching with the relevant parameters during the processes

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As conductive particles theoretically any conductive filler materials that can be used in compliant electrodes and in this work specifically carbon black (CB) and carbon nano tubes (CNT) are investigated. The conductive fillers are mixed with isopropyl alcohol and applied to the polymer films by squeegee, brush or pouring. As polymer films standard plastic wraps (Polyethylene (PE)) of 12 and 23 μm are used for the thermoplastic layer and a 100 μm thermoplastic polyurethane (TPU) film as the thermoplastic elastomer (bonobo repair, Germany). The layers are pressed and joined together in a laboratory hot press (P300 PV, Dr. Collin GmbH, Ebersberg, Germany). This layer stack can be joined in one pressing step or in multiple steps at different temperatures, pressures and with or without applied vacuum. Another challenge in the manufacturing is the contacting of the electrodes, especially the inner electrode, to be able to connect the high-voltage supply. Several materials like copper wires, aluminum foils, silver-plated yarn or conducting epoxy are evaluated for their suitability. The contact locations can either be both on the same side of the fiber or one on each side. The latter maybe disadvantageous in some application scenarios as a power line would need to be inserted in the structure parallel to the actuator.

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The final contacting will be realized after the cutting step. In order to cut the joined film stack to thin strips two basic procedures are used: manual cutting with scissors or scalpel or laser cutting. After that, the thin strips are pre-stretched by 20 to 50 % and after releasing the stretch, the strip coils up into a helix. Lastly, the helical dielectric polymer actuator is activated by applying a voltage to the electrodes.

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3. Results

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In the following subsections, the impact of different manufacturing strategies and parameters is shown. The order in which the results are presented generally follows the manufacturing process.

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3.1. Stacking

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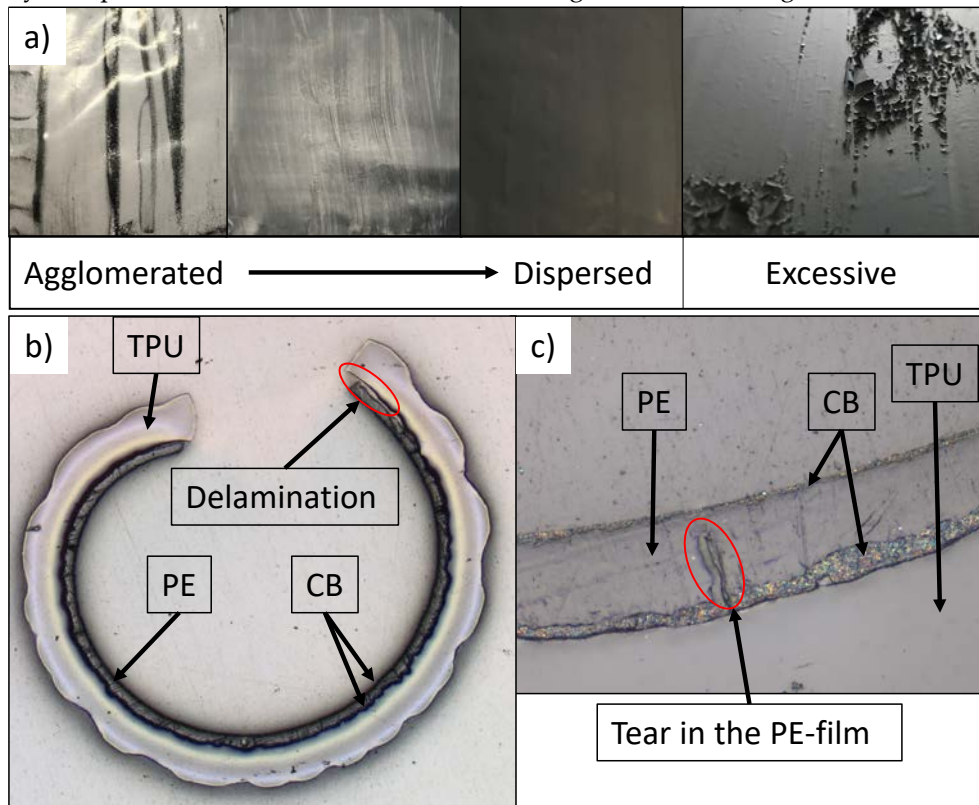
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The stacking process includes, on one hand, the selection of the films' material and thickness, and on the other hand, the implementation of the electrodes. In our experience, there is no difference regarding the adhesion when using the thicker or thinner PE films. However, pressing several of them and the TPU film together in one-step often results in poor adhesion between the layers as visible in Figure 3b. The best results are achieved when first pressing the electrode layer and a PE film together and then join those to the TPU film in a second step.

106 Moreover, the most consistent results and homogeneous electrodes are achieved when using a
 107 pasteous carbon black solution because CNTs or too much solvent lead to agglomerations of the
 108 conductive particles (Figure 3a). Applying too much conductive ink lead to the formation of a flaky,
 109 discontinuous surface. Regarding the electrodes, the best results are achieved when applying 0.15 g
 110 of carbon black mixed with 8.5 g of isopropyl alcohol with a squeegee.

111 With regard to the layer sequence, a multi-step process is found as most favorable. Because of
 112 the increase in Maxwell pressure when using a thinner dielectric layer the 12 μm PE film is better
 113 suited. However, it is also more prone to single larger particles of carbon black protruding through
 114 the film during the pressing process. That is why the 23 μm film is better suited to produce electrically
 115 insulated electrodes but a single layer of PE film is also likely to tear during the pre-stretching step
 116 (see Figure 3c). Therefore, the most stable samples are produced by first pressing together one CB
 117 electrode with one 12 μm PE film. After repeating that step, the two PE-CB compounds are separated
 118 by another thin PE-film and joined with the TPU film. The resulting thickness of the dielectric layer
 119 is 36 μm , which is not as good as single layers of PE-film would be to maximize Maxwell pressure,
 120 but this layer sequence minimizes the risk of breakthroughs or local tearing of the dielectric.



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 122 **Figure 3.** Different manufacturing defects occurring in the production of the helical dielectric polymer
 123 actuators: (a) unsuitable distribution of the conducting particles; (b) delamination after cutting and
 124 stretching; (c) tear in the PE film after overstretching

125 3.2. Pressing

126 To securely join together the carbon black particles with the PE film as well as the PE film with
 127 the TPU film the right temperature and pressure need to be applied during the pressing processes.
 128 As the melting temperature of PE is lower than the temperature where TPU starts to soften, the PE
 129 film is the layer that melts and adheres to the other materials. The relevant temperatures were found
 130 by conducting Differential Scanning Calorimetry. The best connection without the carbon black
 131 protruding through the PE layer is achieved at 130 °C at a pressure of 10 bar under vacuum. In order
 132 to join the PE carbon black compound to the TPU layer 135 °C and also 10 bar under vacuum is used.

133 The air evacuation in the press helps to avoid air inclusions in the stack up. After the intended
134 temperature is reached, the pressure is applied for two minutes.

135 3.3. *Contacting*

136 The contacting of the electrodes poses a major challenge as one electrode is embedded in the
137 stack and trying to contact it after pressing oftentimes leads to damaging of the layers or an
138 undesirable short-circuit between both electrodes. First attempts to insert thin aluminum foils during
139 the pressing process lead to insufficient adhesion between the layers with large, unjoined areas.
140 Similar but less pronounced problems are visible when using silver-coated polyamide yarns.
141 Consequently, the use of any additional material needs to be eliminated. Finally, the approach that
142 leads to the production of functioning samples is the use of open electrodes with the inner electrode
143 extending further than the top electrode. These areas are then contacted with conducting silver-filled
144 epoxy, which is used to glue wires of strips of copper foil to the them.

145 3.4. *Cutting*

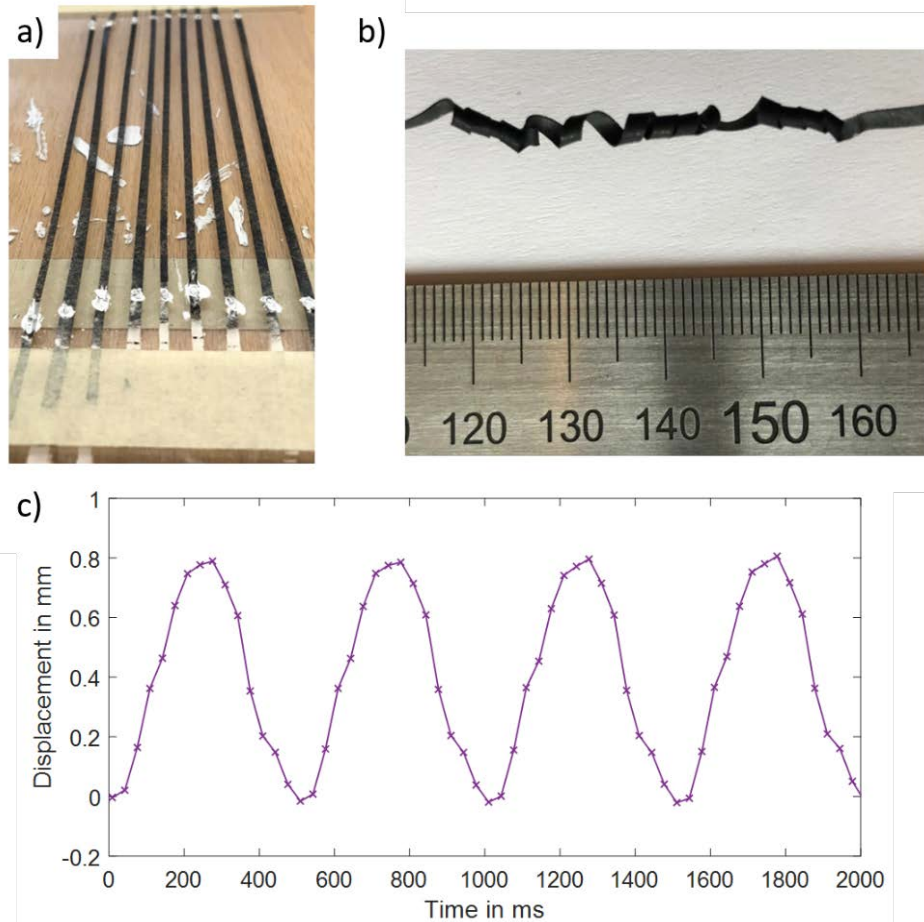
146 To cut the pressed stacks into thin strips two solutions were evaluated: manual cutting with a
147 scalpel and laser cutting. In a first attempt the samples were cut manually, which is feasible and lead
148 to the production of functioning specimen. However, many of the specimen are damaged during the
149 process and are prone to tear during pre-stretching or have short-circuits between both electrodes.
150 Better reproducible and with lower risk of errors is the cutting by laser. Therefore, the pressed stack
151 is taped to a sheet of paper because without it the stack bulges during cutting leading to uneven strip
152 widths. The stack is cut with the TPU film facing upwards and with the Lasermass Plott 60 (Lotus
153 Laser Systems, Great Britain) working at 70 % of the maximum power with a cutting speed of
154 350 mm/s.

155 3.5. *Stretching*

156 The resulting strips are then pre-stretched in a 3D printed stretching device. Kanik et al. showed
157 that stretching speed has an influence on coil formation is well. To eliminate this influence, the pre-
158 stretch is applied quasistatically. Too much pre-stretch results in tearing of the PE film, too little, i.e.
159 under 10 % is not sufficient to initiate the formation of coils. The optimal pre-stretch amount was
160 determined experimentally by trial-and-error to be 35 %.

161 3.6. *Prototype actuator*

162 The unstretched strips and one specimen of the self-coiled prototype actuator is depicted in
163 Figure 4. A piece of paper six times the weight of the actuator is attached and high voltage applied to
164 the electrodes to test the actuation potential of the manufactured self-coiled actuator. The motion is
165 tracked using a Lumix Camera while applying the voltage at a frequency of 2 Hz.



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Figure 4. (a) Thin strips of the pressed stack after cutting and contacting, (b) self-coiled actuator after pre-stretching and releasing, (c) contraction of the dielectric polymer actuator at an excitation voltage of 5 kV and 2 Hz.

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The actuator contracts 0.8 mm at 5 kV, which corresponds to 2 % for a starting length of 40 mm. With regard to the deformation rate, the actuator offers high-speed actuation with 8 %/s as the strain rate is mostly limited by the inertia of the mechanical structure and not the buildup of the electric potential.

174 4. Discussion

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The manufactured actuators offer high-speed actuation similar to other dielectric actuator types. However, the maximum contraction is limited at 2 %, which is closer to that of thermally activated materials like shape memory alloys but in contrast to thermally activated materials it is fast without the need for active cooling. Still, the deformation is orders of magnitude higher than if the PE film would be used as a dielectric actuator itself. Additionally, it offers a powerless halting state and is more robust than the fragile silicone or acrylic membranes used in DEAs and the used materials are relatively cheap.

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The developed manufacturing approach still requires optimization in many areas because it consists of several steps that on one side make production costly and on the other hand increase the risk of errors drastically. Moreover, it does not allow the production of endless fiber, which are required to further process the fibers in textile processes or use them on a larger scale. Therefore, technologies that allow a one-step and continuous production of the novel actuator material are needed. Potential solutions include thermal drawing or continuous laminating of the materials. These processes could also allow to further decrease the cross-section especially the height of the dielectric layer to improve the maximum contraction.

190 5. Conclusions

191 In this work, a successful manufacturing process for a novel type of actuator material is
192 presented. The self-coiled dielectric polymer actuator consists of four layers and is activated by
193 applying a high voltage. As this actuator material is robust, fast, fiber-shaped and can potentially
194 offer maximum contractions similar to natural muscle, it is worth further exploring. A proof-of-
195 concept is achieved with the actuator material contracting under an activation voltage as expected.
196 However, both the manufacturing process as well as geometry, polymer and conducting particle
197 material can be further optimized. Perspectively the developed actuator material can serve as an
198 artificial muscle in soft robotic applications.

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202 **Author Contributions:** For research articles with several authors, a short paragraph specifying their individual
203 contributions must be provided. J.M developed the actuator concept, designed the experiments, analysed the
204 data and wrote the paper. M.K. performed and evaluated the experiments. A.N., C.C. and G.G. provided
205 scientific guidance, proof-reading and laboratory equipment.

206 **Conflicts of Interest:** The authors declare no conflict of interest.

207 Abbreviations

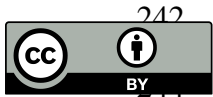
208 The following abbreviations are used in this manuscript:

209	CB: carbon black
210	CNT: carbon nano tubes
211	DEA: dielectric elastomer actuator
212	PE: polyethylene
213	SMA: shape memory alloy
214	SMP: shape memory polymer
215	TPU: thermoplastic polyurethane elastomer

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