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### Misconceptions in Piezoelectric Energy Harvesting System Development

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👯 micromachines

### **Bio-History of Kenji Uchino** University professor = 46 years Tokyo Tech–10 yrs 🚮 Sophia Univ–8 yrs 👹 Penn State–30 yrs 🐻 Company executive = 21 years NF Electronics – 7 yrs Imme Micromechatronics – 7 yrs Government Officer = 7 years NASDA (JAXA) – 3 yrs 4 US ONR – 4 yrs "Discover/Inventor"

Pioneer of "Piezoelectric Actuators" Relaxor single crystals (PZN-PT, PMN-PT)

### • "Educator"

Engineering – Ferroelectric Devices, Micromechatronics, Application of FEM, **"Business Ethics"** Business – **Entrepreneurship for Engineers** Politico-Engineering – Global Crisis Technologies

• Buddhist = "Zen" Practitioner











## Misconceptions in Piezoelectric Energy Harvesting System Development

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- **2. Figures of Merit in Energy Harvesting** 
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## What's "Piezoelectric Effect"?

#### DIRECT PIEZOELECTRIC EFFECT CONVERSE PIEZOELECTRIC EFFECT





Igniter Microphone Pressure Sensor Energy Harvest Clock Speaker Actuator







### **Piezoelectric Damping Principle**

**Induced Electrical Energy** 

 $U_E = U_M \times k^2$ 

Suppose that 1/2 of  $U_E$  is consumed as Joule heat per vibration cycle, the vibration amplitude decreases at a rate

$$\sqrt{(1-(1/2)k^2)}$$

per vibration cycle:

Damping factor  $\tau$  vs. the electromechanical coupling k:

$$(1-\frac{1}{2}k^2)^{t/T_0} = \exp(-\frac{t}{\tau}) \qquad \tau = -T_0 ln(1-\frac{1}{2}k^2)$$



## **Piezo Shunting**

- Mechanical structure interacts with electrical circuit via piezo transducer
  - Capacitive shunting yields frequency-dependent stiffness
  - Resistive shunting yields frequency-dependent damping
  - Inductive shunting yields electrical resonant absorber
  - Switch-shunting could provide
     broadband damping, energy harvesting





designapplications

### K2 & ACX

### Piezoelectric damper hones ski performance

Module integrates piezoelectric material and electronics in ruggedized package



—In what's called the first dication of "smart structures" K2 Four ski from K2 Corp., WA, incorporates a piezoclecfrom Active Control eXperts dge, MA. The damper selecta to improve edge control for icker runs.

 kiing involves the reaction the snow. Uneven snow sur- rate, lessening the contact area of edge to ice and reduc- ing the ability of the skier to control turning forces.

 'snys Kenneth Lazaras, presi- of techniques have been tried, hamper is the first that's unob- unalfected by temperature."
 al dampers, the ACX device: I energy as heat by first con- y then passing it through a auring approximately 6.62 × it delivers better than 308.

t makes it "smart"? ce (the piezoelectric cract vibration), its



K2's model Four ski exhibits tighter tarning and better edge control without the disadvantages of visconlastic or tuned mass dampers.

only a few iterations, says Adam Bogue, director of marketing at ACX. One attempt delivered too much damping: ski testers called it unresponsive. Later



Integrated damping module measures approximates approximate mately  $6.62 \times 1.66 \times 0.07$  inches and includes piezoelectric wafers, energy-dissipating resistive states are also as to consist action indicator.



Bully a year went by
 mize the Pour's design
 Nevertheless, the electric damper wenter

# From Passive Dampers to Adaptive Dampers with Energy Harvesting



## Piezoelectric Energy Harvesting - Research Trends -

After 2000s

- Machinery Vibration (Resonance Usage)
  - Machine health monitoring
- Human Motion
  - Small energy harvesting
- Electrical Engineers' Approach
  - DC/DC converter
- MEMS Engineers' Approach
  - Thin film, Nano fiber
- Military Application
  - Programable Air-Burst Munition

### **Smart Diagnostics** (KCF Technologies, PA)









## Lightening Switch - Face Electronics





**Energy Harvesting with Thunder** 

Micromechatronics Inc. (State College, PA)

## **Piezoelectric Carpet (Keio University)**



(https://www.youtube.com/watch?v=RCOBA3Yfm1k)

## **Piezoelectric MEMS for Energy Harvesting**



Fabrication process of piezoelectric MEMS energy harvesters of PZTor KNN-thin films on stainless steel cantilevers.

I. Kanno: J. Physics, Conf. Series 660 (2015) 012001

Conductive substrate

**ZnO** nanowires

Zigzag electrode

## Piezo Nano Generator with ZnO Nanowires

Ultasonic w level is just ergy Harvest mechanica (a)"Fn 1.0 (a) Direct and erator using aligned (**Y**u) 0.5 ZnO NW arrays with a zigzag top electrode. Mechanical vibration drives the generator, and the output current is continuous. (b) -0.5Zigzag electrode and its contact with the (a) NWs and the resulting current.

> 17 Z. L. Wang: IEEE Perv. Comp. Vol 7, p.49 (2008)

NG

5

10

15

Time (s)

20

## **Piezo Generators for Ammunitions**

### Munition (PABM) – Reventing Device after the World Trade on Selling in 2001. Kill without collapsing buildings.







**ATK & Micromechatronics** Inc. (State College, PA) 18

Impact force generates electricity.

### **Piezoelectric Energy Harvesting** - Uchino's Frustration-

- (1) Though the electromechanical coupling factor k is the smallest among various device configurations, the majority of researchers primarily use the 'unimorph' design. Why?
- (2) Though the typical noise vibration is in a much lower frequency range, the researchers measure the amplified resonance response and report these unrealistically harvested energy. Why?
- (3) Though the harvested energy is **lower than 1 mW**, which is lower than the required electric energy to operate a typical energy harvesting electric circuit with a DC/DC converter, the researchers report the result as an energy 'harvesting' system. Why?
- (4) Few papers have reported energy flow or exact efficiency from the input mechanical noise energy to the final electric energy in a rechargeable battery via the piezoelectric transducer step by step. Why?

The unanimous answer to my questions 'why' is "because the previous researchers did so !".

## **Development Misconception Case I (Design Problem)**

In order to damp vibration in an aluminum cantilever beam, a mechanical engineer uses a shunted soft-piezoelectric composite (Macro Fiber Composite) bonded on the beam. This is NOT an ideal design from the two viewpoints:

(1) Mechanical/acoustic impedance matching Z, and(2) Electromechanical coupling factor k

Vibration damping of an aluminum cantilever beam using a piezoelectric composite bonded on the beam.



## **Development Misconception Case II (Volume Problem)**

Piezoelectric MEMS is researched popularly with the PZT thin film thickness around 1  $\mu$ m for the actuators and piezo-energy harvesting applications. What are the three major problems to be solved on a popular design shown in Figure?



Piezoelectric MEMS structure with the PZT thin film thickness around 1  $\mu$ m for the actuators.

## **Development Misconception** Case III (Application Problem)

- Grand Central Station: 750,000 visitors per day, average of 31,250 visitors per hour
- Assumptions:
  - <u>Potential Energy</u> of a person of **75kg** stepping on a tile which gives in about **10mm** to convert into a bending/strain motion ~ 7.4J per step
  - $_{\odot}$  Tile is 50cm by 50cm
- Person does on average 500 steps in one hour in the station, one step per tile (all tiles are the same)
- This converts to 19.2 kWh raw potential energy!
- Typical efficiency of harvester, minus energy being stored in the tile spring to bend back the tile, about 2% (actually measured)
- Total harvested energy ~ 384 Wh, it would power just 4 bulbs of the ~4,000 bulbs at Grand Station but at what costs!

















## Misconceptions in Piezoelectric Energy Harvesting System Development

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#### FOM **Figures of Merit in Piezoelectrics** a) Piezoelectric Strain Constant d Electric Field $\rightarrow$ Strain (For Actuation): **X** = **d E** Stress $\rightarrow$ Polarization: **P** = **d X** b) Piezoelectric Voltage Constant g Stress $\rightarrow$ Electric Field (For Sensing): **E** = **g X** Related with d by $g = d/\epsilon_0 \epsilon_r$ ( $\epsilon_r$ : permittivity) c) Electromechanical Coupling **Electromechanical Coupling Factor k Energy Transmission Coefficient** $\lambda$ Efficiency η $k^2 = (Mech.Stored Energy/Electr.Input Energy) = d^2/\epsilon_0 \epsilon_r s$ (s: elastic compliance)

- $\lambda = (Mech. Output Energy / Electr. Input Energy) = (1/4~1/2) k^2$
- η = (Mech. Output Energy / Electr. Consumed Energy) ≈ 98%

#### d) Acoustic Impedance Z Mechanical $\rightarrow$ Mechanical (Acoustic Energy Transfer) $Z^2 = \rho c$ ( $\rho$ : density, c: elastic stiffness)

#### FOM

## **Figures of Merit in Energy Harvesting**

**1. Constant Stress Input** P = dX

Electric Energy = 
$$\left(\frac{1}{2\varepsilon_0\varepsilon}\right)P^2$$
  
=  $\left(\frac{1}{2}\right)d \cdot gX^2$ 

Input mechanical energy is not constant → Elastic compliance changes with electric load.

2. Constant Mechanical Energy Input

Electric Energy  $U_E = k^2 U_M$ 

$$k^2 = \left(\frac{d^2}{s^E \varepsilon_0 \varepsilon}\right) = \frac{d \cdot g/s^E}{d \cdot g/s^E}$$

#### FOM



## Supposition 'Infinite mechanical energy pool' ! Optimization of Load on the Piezo-Device

- $D = \varepsilon_0 \varepsilon^X E + dX$  $x = dE + s^E X$
- Open circuit:

**D=0, E<sub>rev</sub>= -(d/\epsilon\epsilon\_0^X)X**  $x=(1-k^2)s^EX$ 

- Short circuit:
   E=0, D= dX x=s<sup>E</sup>X
- Z connection:

$$|P| = \frac{1}{2} Z i_{out}^2 = \frac{1}{2} Z \frac{(\omega dX_0)^2}{(1 + (\omega CZ)^2)}; When$$

$$Z = 1/\omega C, |P| = \frac{1}{4} \frac{\omega d^2 X_0^2}{C}$$

Load should be impedance-matched with the actuator capacitance.



#### FOM

### **Energy Flow of the Piezoelectric Generator**







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### **Mechanical Impedance Matching**



Work  $W = F x \Delta L$ 

**F** = 0

"Pushing a curtain, and pushing a wall" (Japanese proverb)

 $\Delta L = 0$ 





### High stiffness and rigid

High coupling factor





### □ For a small force mechanical source •High flexibility and soft High coupling factor

Interdigitated electrode pattern on polyimide film (top and bottom)

Permits in-plane poling and actuation of piezoceramic (daa versus day advantage)



#### Structural epoxy

Inhibits crack propagation in ceramic. Bond's actuator components together.

#### Sheet of aligned rectangular piezoceramic fibers

Improved damage tolerance and flexibility relative to monolithic ceramic.

#### Smart Material



### **Experimental Setup**



### **Endcap Materials/Thickness**

Elastic constant, Thickness, Cavity of the metal endcap.
Mechanical impedance increases with thickness. (The Softer is the better)



Pre-stress (66 N) and Applied Force (55 & 70N) at 100Hz
Max power : 53mW at 400 kΩ with a 0.4mm steel endcap at 70N
0.3 mm Steel does not endure for 70 N.





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### Phase II: Mech. to Elec. Energy Transduction



### **Piezoelectric Energy Harvesting** - Uchino's Frustration-

(2) Though the typical noise vibration is in a much lower frequency range, the researchers measure the amplified resonance response (> 1 kHz) and report these unrealistically harvested energy. Why?



### Forget this approach "because the previous researchers did so !".

### Phase II: Mech. to Elec. Energy Transduction

### **Piezoelectric Selection**

	Hard (APC 841)	<b>Soft (APC 850)</b>	high g (D210)
٤ <sub>r</sub>	1350	1750	681
<b>k</b> <sub>p</sub> (%)	0.60	0.63	0.58
$d_{31} (10^{-12} \text{ C/N})$	109	175	120
g <sub>31</sub> (10 <sup>-3</sup> Vm/N)	10.5	12.4	20
Q <sub>m</sub>	1400	80	89.7
<b>T</b> <sub>c</sub> (°C)	320	360	340
$\boldsymbol{g_{31}}\cdot \boldsymbol{d_{31}}$	<b>0.99E-12</b>	<b>1.97E-12</b>	2.4E-12

$$P = \frac{1}{2}CV^2 \cdot f$$
$$= \frac{1}{2} \cdot \boldsymbol{g_{33}} \cdot \boldsymbol{d_{33}} \cdot F^2 \cdot \frac{t}{A} \cdot f$$

### Phase II : Mech. to Elec. Energy Transduction

### **Ceramic-Metal Composite Transducer "Cymbal"**



 Larger displacement
 Larger generative electric field
 Higher piezoelectric coefficient, d<sub>33</sub> (roughly 40 times higher)

CavityDepth

### **Energy Flow (Transmission & Conversion)**



### **Piezoelectric Energy Harvesting** - Uchino's Frustration-

(1) Though the electromechanical coupling factor k is the smallest among various device

configurations, the majority of researchers primarily use the 'unimorph' design. Why?



- Mechanical impedance matching
   → Use stiff actuator
- Electromechanical coupling factor
   → Use k<sub>33</sub> actuator



### **Piezoelectric Energy Harvesting** - Uchino's Frustration-

(3) Though the harvested energy is lower than 1 mW, which is lower than the required electric energy to operate a typical energy harvesting electric circuit with a DC/DC converter, the researchers report the result as an energy 'harvesting' system. Why?



Electromechanical Coupling Factor

### **Piezoelectric Energy Harvesting** - Uchino's Frustration-

### **Required Energy for Practical Applications**

- Charging electricity into a battery: **30 100 mW**
- Soaking blood from a human vessel: 10 20 mW
- DC-DC converter spends **2 3** mW
- Sending electronic signal: 1 3 mW
- Minimum 1 mW is required for the energy harvesting devices.
- Less than 1 mW is called "sensor devices".

## **Power Density in Piezoelectrics**









## Piezoelectric Devices



### Energy Transduce Power Density in Piezoelectrics



- Maximum Vibration Velocity 0.6 m/s (rms)
- Power density 30 W/cm<sup>3</sup> in PZT
- Minimum PZT volume 0.1 mm<sup>3</sup> for 1 mW
- 30  $\mu$ m thick films with 3 imes 3 mm<sup>2</sup> device area
- MEMS devices with less than 1 µm thin PZT films are useless for energy harvesting

## **MEMS Energy Harvesting**







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### **Phase III : Electrical Energy Transfer**



#### (1) Mechanical Impedance Matching (2) Mechanical-Electrical Energy Conversion (3) Electrical Impedance Matching

Various converter topologies can step down the voltage: • Forward converter, Buck Converter, Buck-Boost Converter, Flyback Converter etc. 50

### Switching Regulator: Step-down buck chopper

Average:  $v_0 = dE = \frac{T_{on}}{T_{on} + T_{off}}E$ , d: Duty Factor (2%) If the loss is zero, Input power = Output power



## **DC-DC Buck Converter with 12V Battery**

•Shifting of matching impedance, **300k** $\Omega$  to 5k $\Omega$ .

- The Buck Converter increased the charging by 10 times.
- The power loss in the Gate Drive Circuit of Buck Converter is only ~5mW.
- Buck DC-DC Converter harvests at the optimal duty cycle D<sub>optimal</sub> (2% → 50<sup>2</sup> impedance change) for a given mechanical excitation.



### **Output from Multilayered PZT Cymbals**

Single layer (1mm and 0.5mm) and Multilayer (100 µm 10-layer)
 Output current of multilayer is 10 times higher at lower impedance



## **LED Lighting**

#### **□** 5.3V, 10mA, 53mW, 500Ω







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**Future** 

### **Piezoelectric Energy Harvesting** - Uchino's Frustration-

(4) Few papers have reported energy flow or exact efficiency from the input mechanical noise energy to the final electric energy in a rechargeable battery via the piezoelectric transducer step by step. Why?



You should learn how to measure the energy flow.

#### **Future**

## **Energy Flow (Transmission & Conversion)**

 Table 4
 Energy flow/conversion analysis in the cymbal energy harvesting process.



## Summary

- Keys for piezoelectric energy harvesting: (1) Mechanical impedance matching, (2) Electromechanical transduction, and (3) Electrical impedance matching.
- The Cymbal is employed for the energy harvesting from a high-power mechanical vibration, while the MFC or PVDF is suitable for a small flexible energy vibration.
- A Buck-Converter is effectively used for the DC/DC converter for realizing the electrical impedance matching.
- Key to dramatic enhancement in the efficiency is to use a high k mode, such as k<sub>33</sub>, k<sub>t</sub>, or k<sub>15</sub>, rather than flextensional modes.

#### **Future**

### **Piezoelectric Energy Harvesting** - MEMS High k Design Proposals -







(a) Unimorph array with wide-frequency-range coverage

(b) Unimorph long-beam design with low resonance frequency

(c) ML & a hinge lever for in-plane vibration







(d) ML & wings for out-of-plane vibration

(e) ML & long-wing design with low resonance frequency

(f) ML & hinge lever for out-of-plane vibration





- Vibration Noise → Elastic material → Piezoelectric effect
- Magnetic Noise → Magnetostrictive material → Magnetoelectric effect
- Photo Illumination
  - Pure light  $\rightarrow$  Elastic material  $\rightarrow$ 
    - Photovoltaic effect
  - Photothermal heat → Elastic material →
     Pyroelectric effect

## **Magneto-electric Sensor**

**Future** 

Penn State Univ & Seoul National Univ





Junyi Zhai: Ph. D. Thesis, Virginia Tech (2009)

Hac=20e; Vib. a = 50 mg; f = 20 Hz. -0.05 0.00 Time (sec)

0.05

0.10

-5.0

-0.10

### **Piezoelectric Energy Harvesting** - Personal Perspectives-

- (1) A hybrid energy harvesting device which operates under either magnetic and/or mechanical noises was introduced, by coupling magnetostrictive and piezoelectric materials.
- (2) Two development directions:
  - (a) Remote signal transmission (such as structure health monitoring) in [mW] power level, and
  - (b) Energy accumulation in rechargeable batteries in [W] power level for home appliance and automobile applications.
- (3) MEMS/NEMS and 'nano harvesting' devices:
  - (a) Present energy level pW ~ nW is NOT useful.

 $\rightarrow$  Thick film (> 30 µm), k<sub>33</sub> mode design

(b) A genius idea is required on how to combine thousands of these nano-devices in parallel and synchronously in phase.





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## Thank you!

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