

# Individual Tree Species Classification using the Pointwise MLP-Based Point Cloud Deep Learning Method

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**BEIJING FORESTRY  
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Presented at the 3rd International Electronic Conference on Forests  
— Exploring New Discoveries and New Directions in Forests  
15–31 October 2022

# 1. Introduction

**Tree species** is a critical factor in the practice of forest resource field sample surveys.

Light detection and ranging (**LiDAR**) can obtain 3D structural information about forests and trees and is increasingly being used in forest resource surveys.

We used three **pointwise MLP-based deep learning methods** (PointNet, PointNet++, and PointMLP) to identify individual tree point clouds of seven different tree species to explore the effectiveness of point cloud deep learning in classifying individual tree point clouds.

Experiment results have been extremely exciting.  **Higher classification accuracy** can be attained.

## Keywords:

- Tree Species Classification
- Point Cloud
- Deep Learning
- Pointwise MLP



## 2. Materials and Methods

### 2.1 Individual Tree Point Cloud Data

GRO.data >

#### Single tree point clouds from terrestrial laser scanning

Version 2.0



Seidel, Dominik, 2020, "Single tree point clouds from terrestrial laser scanning", <https://doi.org/10.25625/FOHUJM>, GRO.data, V2

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Dataset Metrics ⓘ

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#### Description ⓘ

Single tree point clouds from terrestrial laser scanning obtained from laserscanning campaigns throughout Germany and an additional dataset from a campaign on the Christy Flats, near Eugene, Oregon, USA. Files are sorted by species (ash, beech, Douglas-Fir, Oak, Maple, Pine, RedOak, Spruce) and are in the format .pts, .xyz and .txt. (2020)

#### Subject ⓘ

Earth and Environmental Sciences

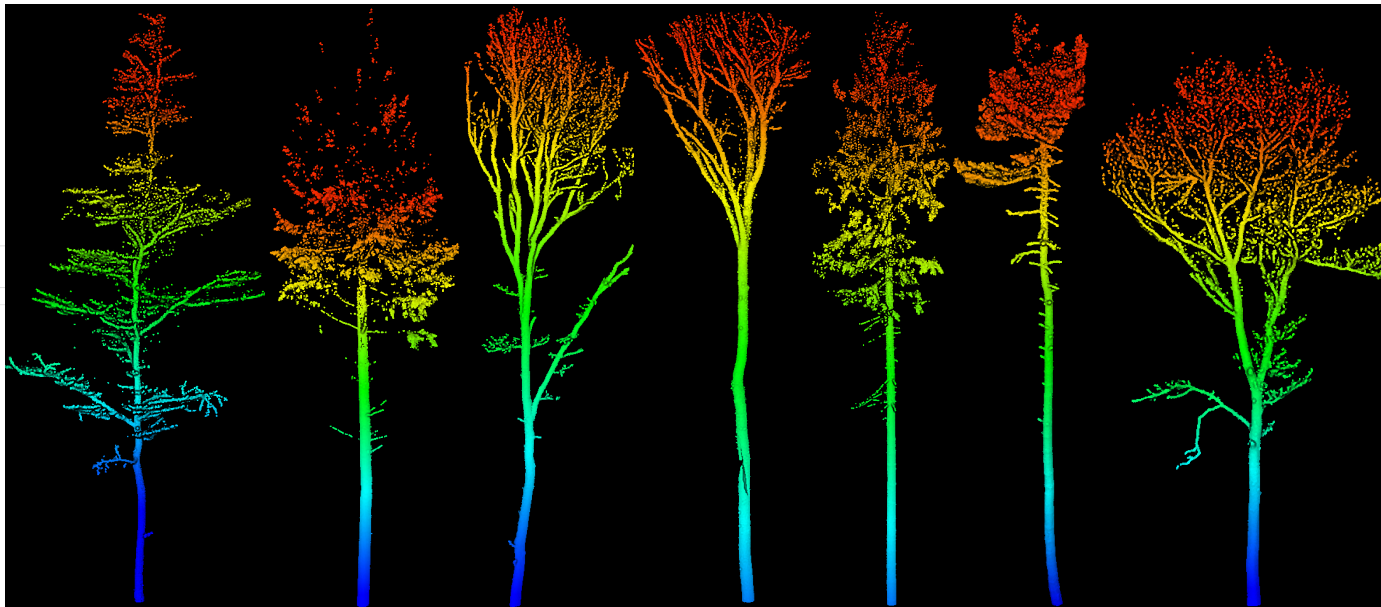
#### Keyword ⓘ

LiDAR

#### License/Data Use Agreement



Files Metadata Terms



Buche Douglasie Eiche Esche Fichte Kiefer Roteiche

Table 1. Information on tree species and number of samples.

Species	Number	Species	Number
Buche <sup>1</sup>	104	Eiche	31
Douglasie <sup>1</sup>	116	Esche	27
Fichte <sup>1</sup>	127	Kiefer	21
Roteiche <sup>1</sup>	100		

<sup>1</sup> To balance the sample data, we conducted a comparison experiment using **four** datasets with similar numbers of samples from Buche, Douglasie, Fichte, and Roteiche.

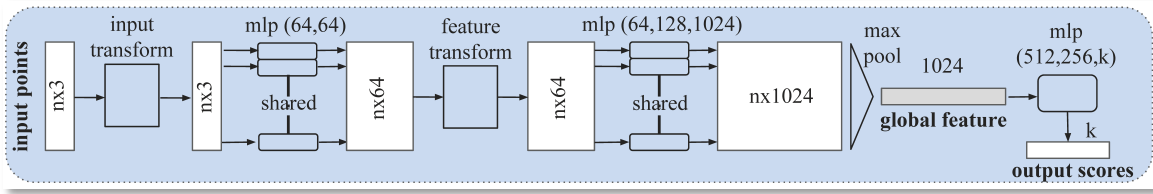
### 2.2 Data Preprocessing

1. Manual selection.
2. Deleted 30% density at the bottom.
3. Downsampling to 1024 and 2048.
4. Data Organization.

## 2.3 Point Cloud Deep Learning Models

Three pointwise MLP-Based deep learning methods.

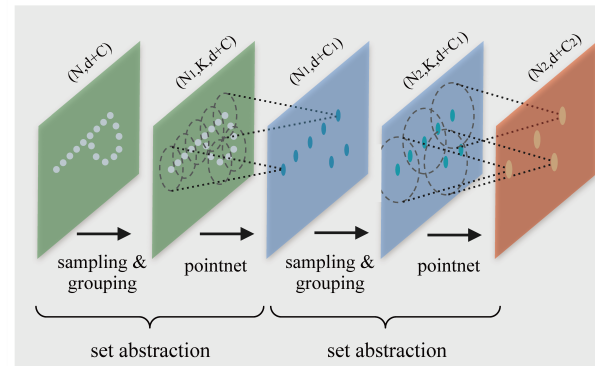
### PointNet



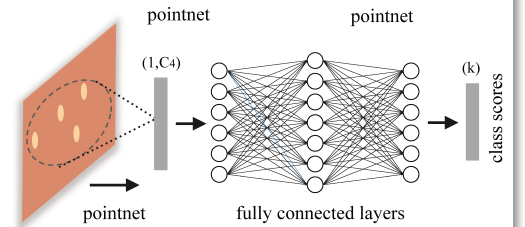
(Qi et al. 2017a)

### PointNet++

Hierarchical point set feature learning



Classification

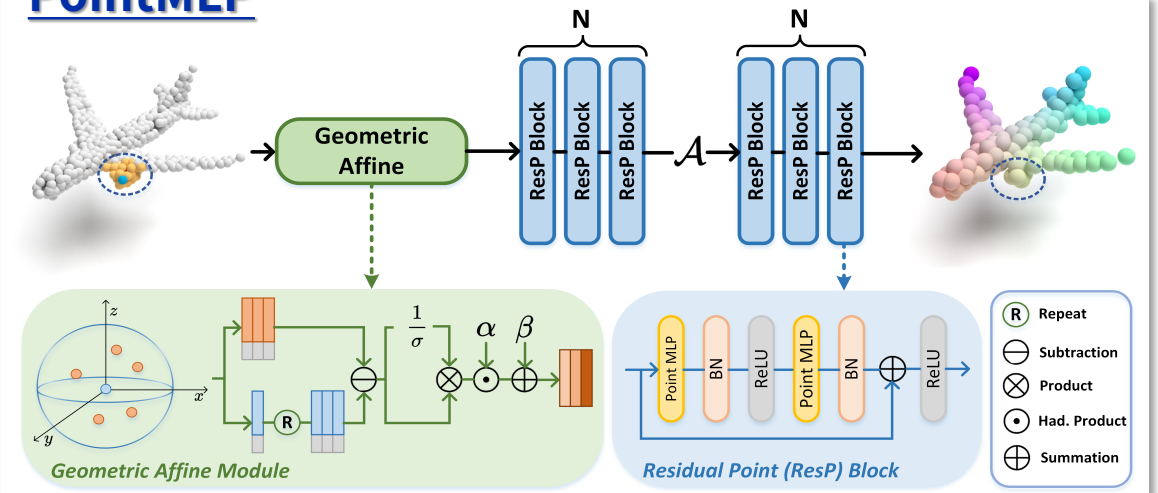


(Qi et al. 2017b)

Table 2. Summary of hyperparameters for deep learning models.

Model	PointNet	PointNet++	PointMLP
Batch Size	12	12	12
Number of Points	1024/2048	1024/2048	1024/2048
Categories	4/7	4/7	4/7
Epochs	200	200	300
Optimizer	Adam	Adam	SGD
Learning Rate	0.001	0.001	0.1
Weight Decay	0.0001	0.0001	0.0002
Momentum	—	—	0.9

### PointMLP



(Ma et al. 2022)



### 3. Results & Discussion

Table 3. Accuracy of tree species classification results for three deep learning models.

Model	Number of Categories	Points	Train		Test	
			BAcc	<i>kappa</i>	BAcc	<i>kappa</i>
PointNet	4	1024	0.4865	0.3246	<b>0.5205</b>	0.3663
		2048	<b>0.5135</b>	0.3598	0.4954	0.3412
	7	1024	0.2672	0.2473	0.2923	0.2879
		2048	0.2638	0.2309	0.2776	0.2598
PointNet++	4	1024	0.7663	0.6910	0.8787	0.8298
		2048	<b>0.8109</b>	0.7430	<b>0.9483</b>	0.9297
	7	1024	0.6630	0.6686	0.7263	0.7927
		2048	0.7791	0.7762	0.8849	0.9205
PointMLP	4	1024	0.9176	0.8885	0.9074	0.8694
		2048	<b>0.9782</b>	0.9700	<b>0.9474</b>	0.9296
	7	1024	0.7654	0.8444	0.7061	0.7839
		2048	0.7852	0.8073	0.8460	0.8803

**four tree species achieved high accuracy.**

a larger number of samples & balanced sample data .

**2048 sampling points achieved higher classification accuracy.**

(consistent with the findings of Liu et al.)

This indicates that 1024 points are not a good representation of the accurate 3D structural information of individual trees.

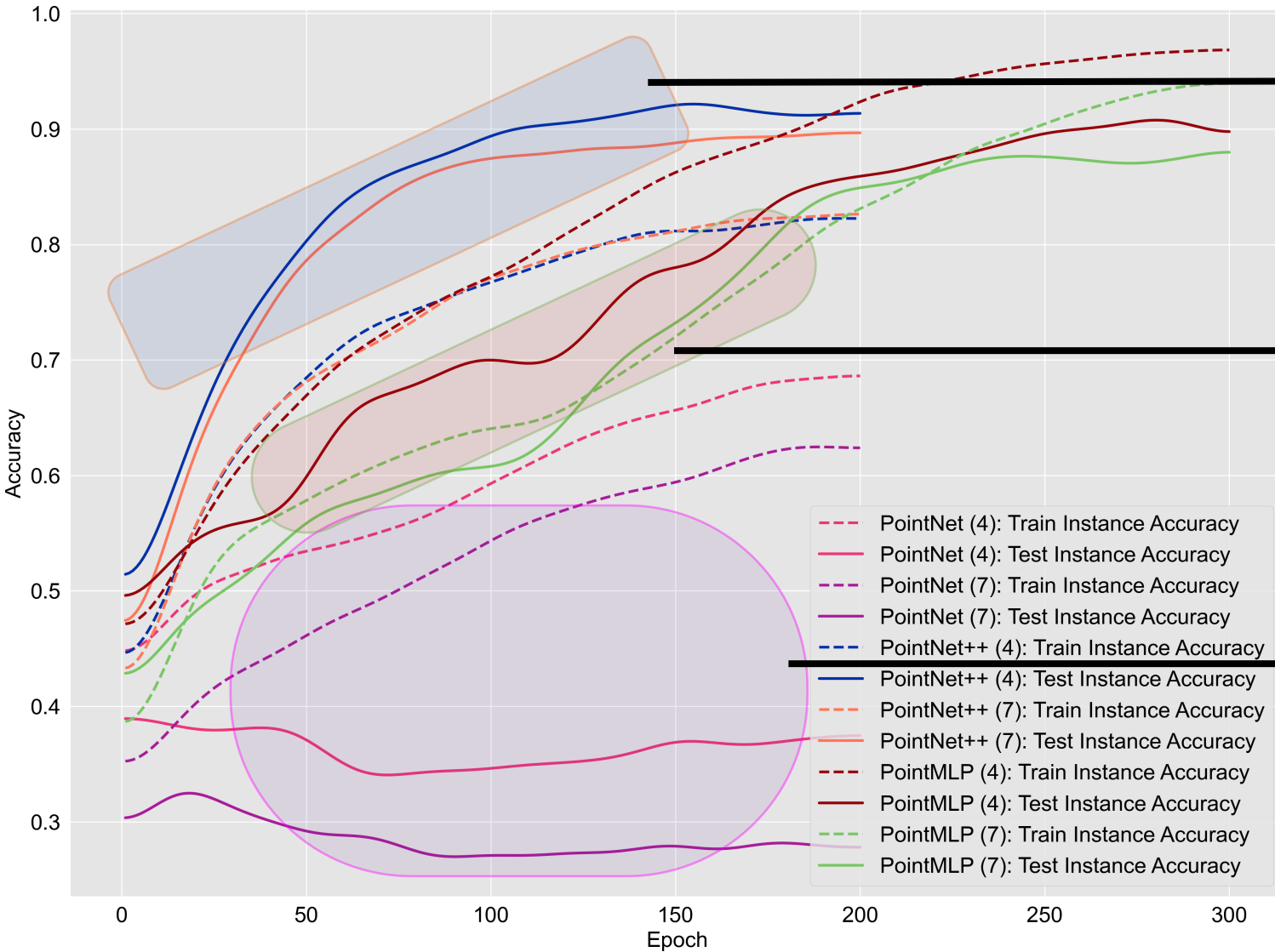
**PointNet classification acc is low, similar to Seidel et al.**

PointNet cannot capture local features of 3D objects, which limits its ability to classify and recognize similar objects.

**PointNet++ & PointMLP high classification accuracy.**

introduce local feature extraction module, which can extract the fine-grained local features of 3D objects well.

### 3. Results & Discussion



**PointNet++** - Test acc > Train acc, finally obtaining a high classification accuracy.

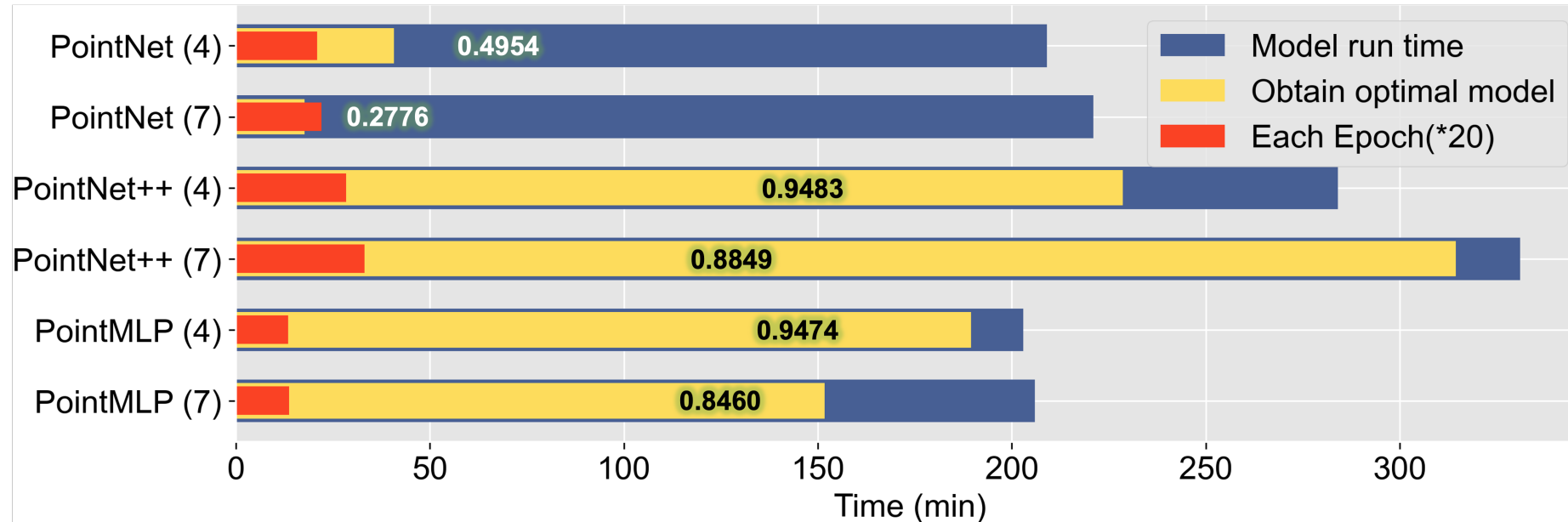
**PointMLP** - The test accuracy of the increases with the training accuracy; finally achieves a classification accuracy similar to that of the **PointNet++**.

**PointNet** - classification accuracy of the test dataset does not increase with the increasing training accuracy of the model.

**Figure 1.** Instance accuracy during training of deep learning models. (The curves were Gaussian smoothed, and the smoothing parameter was set to 10.)

### 3. Results & Discussion

**Figure 2.** The time used to train the point cloud deep learning model. (The numbers labeled in the figure indicate the highest classification accuracy obtained by the corresponding experiment on the test set.)

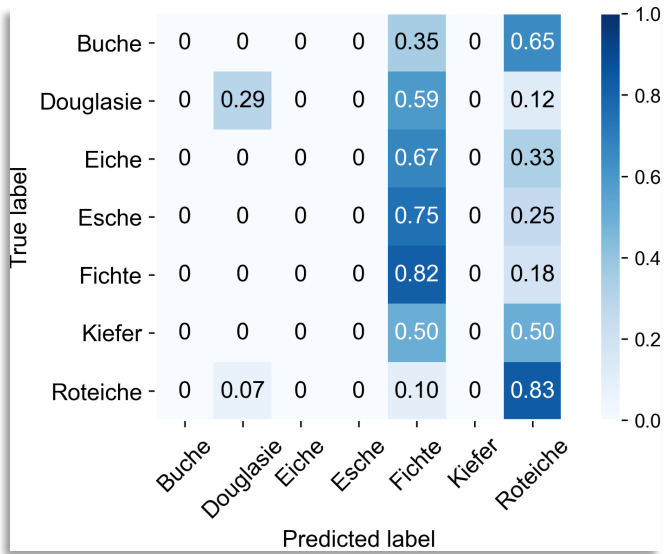


- **PointNet++** model is more **time-consuming**;
- a **longer time** to train to obtain the optimal model parameters.

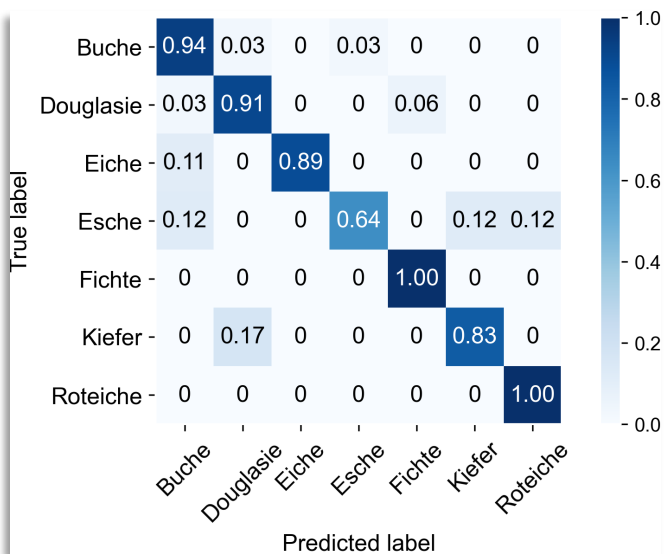
- **PointMLP** – acc  $\approx$  PointNet++, a **brief period**.
- a simpler and deeper network architecture
- a **simple feed-forward residual MLP network**.

- **PointNet** model **saturates** beginning;
- classification accuracy is very **low**.

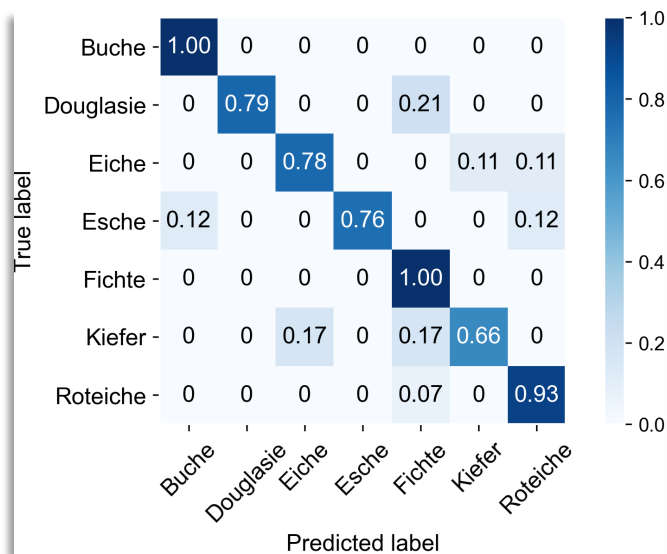
# 4. Conclusions



PointNet (2048)  
Consistent with Seidel et al.



PointNet++ (2048)



PointMLP (2048)

The tree species classification obtained by the **PointNet** model in our study was exceptionally **low**. ①

The classification accuracy is higher when the number of sampling points of an individual tree is **2048**. ②

🔥 **Point cloud deep learning models** of the MLP type with **local feature extraction** are proven to be accurate for tree species classification of individual tree point clouds. ③

Choose a **substantial number of samples** and keep the distribution of the **number of samples consistent**. ④

★ **PointMLP**, as the current SOTA MLP-based point cloud deep learning method, has good potential for tree species classification applications. ⑤

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## Acknowledgements

We are very grateful to Dominik Seidel for making the dataset publicly available.



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*Thank you for your attention.*



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