

# Article Role of deep learning in Optical Imaging

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Abstract: Imaging based problem-solving approaches have shown an illustrative way of handling problems for various scientific applications. With an increased demand for automation, artificial intelligence techniques have shown an exponential growth in the recent years. In this context, deep learning-based "learned" solutions are widely opted for many applications thus slowly becoming an inevitable alternative tool. It is known that in contrast to the conventional "physics-based" approach, deep learning models are known to be a "data-driven" approach where the outcomes are based on data analysis and interpretation. Thus, the deep learning approaches have been applied for several (optical and computational) imaging based scientific problems such as denoising, phase retrieval, hologram reconstruction and histopathology, to name a few. In this work, we present two deep learning networks for 3D image denoising and off-focus voxels removal.

Keywords: Optical 3D Imaging; unsupervised denoising; off-focus removal; Integral Imaging.

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## 1. Introduction

Integral Imaging (II) is one of the passive three-dimensional (3D) imaging techniques invented by Gabriel Lippmann in 1908 [1] and has received a wide attention as the applications of II spans over several research problems in optical engineering research areas [2–4]. For instance, biomedicine, security, autonomous vehicles, and remote sensing, to name a few [5].

Efficacy of the advanced machine learning (ML) and deep learning (DL) algorithms 18 were shown to be producing the superior results in computer vision based applications. 19 Thereafter, such approaches have also extended to solve several problems in various other 20 scientific research areas. In particular, DL framework is proven to be an important tool to 21 make an automatic decision as it solves numerous image-based problems without much 22 human intervention. Convolution Neural Network (CNN) is a widely used DL algorithm 23 for several problems such as image classification [6], and autonomous driving [7], etc. 24 Furthermore, a CNN framework for 3D face recognition and classification in the photons 25 starved environment is also demonstrated [2,8].

## 2. Integral Imaging

Integral Imaging (II) captures a 3D scene in the form of two-dimensional (2D) elemental 28 images (EIs) in addition to the directional information (i.e., angle of propagation). To 29 note, 3D scene reconstruction can be achieved in two ways: (i) optical methods and (ii) 30 computational methods [9]. In computational integral imaging (CII), a geometric ray back-31 propagation method is employed which magnifies and superimposes the EIs onto each 32 other to reconstruct the 3D sectional images [10]. Consequently, the objects or 3D points 33 which are located at the corresponding depth position in an imaging plane is properly 34 overlapped and looks in focus, while the other points from different depth location does 35 not overlap properly hence appears off-focused or defocused. The defocused points in the 36 3D sectional image does not convey any valuable information and are therefore redundant. 37

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**Copyright:** © 2023 by the authors. Submitted to *Journal Not Specified* for possible open access publication under the terms and conditions of the Creative Commons Attribution (CC BY) license (https://creativecommons.org/licenses/by/4.0/). Recently, we have demonstrated a way to manually identify and remove the off-focused points from a 3D sectional image [11]. Furthermore, under some special imaging scenarios (e.g. biomedical imaging and night vision) low-light level or photons-starved illumination conditions may be encountered. In such cases, since the image capturing happens at a completely darker condition the recorded image looks degraded due to the presence of noises [8,10]. Nevertheless, this system shown to be providing a better 3D reconstruction in terms of PSNR even with fewer photons, e.g., 100 photons [10].

#### 2.1. Denoising

For image denoising, various methods have been proposed in the literature such as 46 prediction filtering, transform-based methods, rank reduction methods, and dictionary 47 learning methods, to name a few. In addition to these, DL algorithms have also been 48 applied for image-denoising problem [12]. In this regard, there are two ways that are 49 commonly followed to train the DL network: (i) Supervised and (ii) Unsupervised. First, 50 we discuss supervised learning where an under-complete autoencoder is used to denoise 51 the noisy 3D integral (sectional) images with a patch-based approach. In this process, the 52 noisy input 3D sectional image is divided into multiple patches, which are then used to 53 train the neural network in supervised manner (we use clean data as labels). We note, by using the patch-based approach, the time required to prepare the labeled training data is 55 greatly reduced. Then after denoising, the acquired denoised patches can be combined via 56 an unpatching process. Figure 1 depicts the supervised denoising technique used on our 57 dataset [13]. To train the network, 20 epochs are employed with a learning rate of 0.001. 58



Figure 1. Denoised results for supervised learning.

Figure. 1(c) shows the denoised 3D sectional image. We analysed the performance 59 of the proposed method quantitatively in terms of peak signal-to-noise ratio (PSNR). For 60 instance, the PSNR value given in Fig. 1(c) is an estimation between Fig. 1(a) and Fig. 61 1(c). It is evident from the Fig. 1(c) that the proposed denoising method has a better 62 performance, in terms of PSNR. Second, we also tested the unsupervised learning for 3D 63 image denoising. For this study, we opted a U-Net architecture [8]. This is an end-to-end 64 fully unsupervised denoising approach where the noisy photons counted 3D sectional 65 image are fed as an input to the network. The major components in the U-Net are encoder 66 and decoder blocks with skip connection layers [14–16]. In addition to this, skip blocks (SB) are added to the skip connection strategy in U-Net architecture to avoid vanishing 68 gradients problem. In the training process, 3D input image is given in the form of patches 69 to the network. The patched input image is converted to 1D vector and fed as an input to 70 the network. After removing the noises, we unpatch the 1D vector and convert back to the 71 size of input data. In our experiments, to test the performance of the proposed method, we 72 used two 3D objects: one is a tri-colored ball known as Object 1 in Fig. 2 (a) and second is a 73 toy bird referred as Object 2 in Fig. 2(a). Fig. 2(a) depicts the two 3D objects used in our 74 experiments and Fig. 2(b) and 2(c) show the clean sectional images i.e., reconstructed 3D 75 depth images via computational approach as described in [9] without using the photon 76 counting technique. To note, we used 20% of the PCSI patches for validation and 60% of 77 patches are allotted for training purpose. In this work, 15 epochs were used with a learning 78 rate of 0.001 to train the network. The PSNR values are given in Fig. 2. 79

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**Figure 2.** Denoised results: a1, b1, c1 represents noisy Photon counted 3D sectional image, TV denoised image and result of our proposed denoising method when object 1 is in focus, respectively and a2, b2, c2 represents the noisy Photon counted 3D sectional image, TV denoised image and result of our proposed denoising method when object 2 is in focus, respectively.



Figure 3. Reconstructed 3D CII sectional images at various depth locations.

#### 2.2. Off-focus removal

Several studies have been conducted to demonstrate the feasibility of combining 81 photons detection imaging or photons counting imaging (PCI) techqniue with the con-82 ventional 3D integral imaging systems, known as photon counted integral imaging (PCII) 83 [2,9,10,17,18]. In such systems, it is known that the reconstructed depth images contain 84 both the focused and off-focused (or out-of-focus) voxels, simultaneously. Off-focused 85 pixels often look blurred and therefore do not convey acceptable information about the 86 scene. Several approaches have been proposed to efficiently remove the off-focused points 87 from the reconstructed 3D images[4,18]. We note that the existing approaches are subjective 88 as they involve manual calculation of algorithm parameters such as variance, threshold, 89 etc, which is time-consuming. 90

Here, we propose a new ensembled Dense Neural Network (DNN) model, that comprises six different DNN models each trained with its own set of training dataset, for removing the off-focused points from the 3D sectional images. It is known that data pre-processing enhances the accuracy of the network, therefore, we used Otsu-thresholding algorithm [19] to remove the unwanted (and obvious) background from the 3D sectional

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Figure 4. Reconstructed focused-only CII sectional images by using the proposed DL network.

images. In this work, we employed an ADAM optimizer to update the weights and bias [13], and a standard Mean Squared Error (MSE) was used as the cost function in our training process. To note, the proposed ensembled deep neural network is trained (supervised way) using the conventional 3D sectional images from various depth locations and the corresponding focused images (labels). We tested on the 3D scene which contains two toy cars and one toy helicopter [13]. We used Intel® Xeon® Silver 4216 CPU @2.10 GHz (2 processors) with 256 GB RAM, 64-bit operating system to simulate the all the results.

#### Conclusion

In summary, we demonstrated that it is possible to use deep learning network to solve some of the inherent problems of 3D optical imaging systems. For instance, we have taken two important problems that are exists in 3D integral imaging systems i.e., denoising and off-focus removal using two different dataset. For our study, it is evident that the DL can be used to solve the problems are complex enough to carry out manually. It is therefore expected to further expand our analysis on various other imaging modalities such as Holography and Microscopy etc.

#### Data availability

Data for this paper are not publicly available but shall be provided upon reasonable request to the corresponding author.

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Competing interests	126
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