



2 Techniques to expand the exit pupil of Maxwellian display: A review

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8 **Abstract:** The near-eye display (NED) devices are required to provide visual instructions in the
 9 fields of education, navigation, military operations, construction, healthcare, etc. The issues with
 10 conventional NEDs are the form factor and vergence-accommodation conflict (VAC). The Maxwell-
 11 lian display alleviates the VAC in NEDs by providing always-focused virtual images to the viewer
 12 regardless of the depth of focus of the human eye. The main limitation of the Maxwellian display
 13 has a limited exit pupil size. Due to misalignment of the device or eyeball rotation, the user may
 14 miss the eye box, and the image will become lost. To mitigate this limitation, exit pupil expansion
 15 can be obtained either statically or dynamically. This paper reviews the various techniques em-
 16 ployed to expand the exit pupil. The review includes the principle, advantages, and drawbacks of
 17 various techniques for expanding the exit pupil of the Maxwellian display. The structure of the pa-
 18 per starts with an introduction and the principle of the Maxwellian display, followed by a discussion
 19 of the main limitations that arise with various techniques, along with potential solutions.

20 **Keywords:** Maxwellian display; exit pupil; near-eye display, AR/VR display

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22 1. Introduction

23 The development of augmented reality (AR) technology has gained a lot of attention
 24 in recent years or decades. Augmented reality, which combines digital images with a
 25 physical world in three dimensions (3D), has recently grown in popularity in the scientific
 26 field [1]. The near-eye display is the most popular AR device due to its best immersive
 27 effect and portable design. NED devices are employed in the fields such as architecture,
 28 construction, education, navigation, military operations, and gaming [1]. Since existing
 29 NEDs focus virtual images on a fixed focus plane that is the accommodation distance. The
 30 distance from the focus plane to the NEDs does not match the vergence distance, known
 31 as Vergence-accommodation conflict (VAC), which results in discomfort and visual fa-
 32 tigue [2]. The VAC issue is resolved by the Maxwellian display by offering all in-focus
 33 images to the user independent of the optical power of the human eye [3]. The Maxwellian
 34 display is based on the Maxwellian view, in which projection of images is done directly
 35 onto the retina by focusing the light rays on the eye pupil instead of providing the proper
 36 depth cues. In Fig. 1(a), the light beams from the display are converged by the eyepiece
 37 lens at the eye pupil plane and then projected onto the retina. But the eye box size is lim-
 38 ited to the size of the eye pupil [2,3]. This tiny eye box is uncomfortable for wearing expe-
 39 rience because a slight misalignment of the device or eyeball rotation makes the image
 40 disappear entirely. However, the limited eye box of Maxwellian displays remains a major
 41 challenge in the development of AR. Several methods have been proposed to enlarge the
 42 eye box.

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1 This review includes various techniques to expand the exit pupil in active and pas-
2 sive ways. The review includes the principle, advantages, and drawbacks of various ap-
3 proaches for expanding the exit pupil of the Maxwellian display reported in the literature
4 and is divided into three sections, static displays, tunable displays, and dynamic displays.

5 **2. Techniques**

6 *2.1. Static viewpoints*

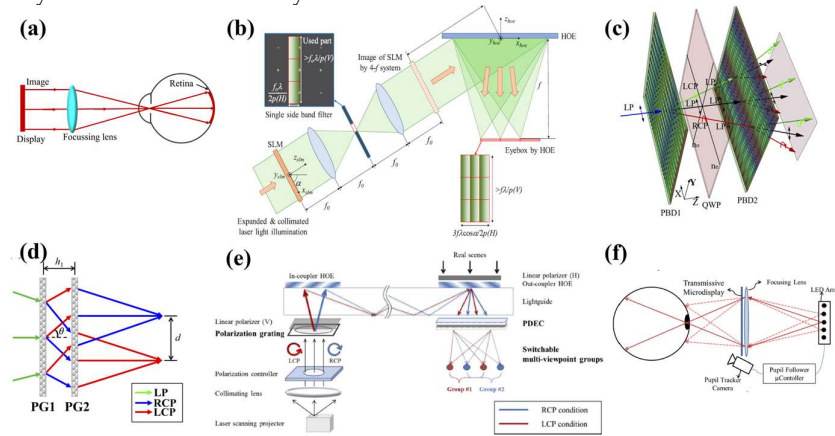
7 Exit pupil expansion of the Maxwellian displays can be done by generating multiple
8 viewpoints. Multiple viewpoints can be generated by the multiplexing technique. As an
9 enlarged eye box is crucial for comfortable viewing in NEDs, angular multiplexing is used
10 in Maxwellian displays. Multiple concave mirrors are recorded in a holographic optical
11 element (HOE) as an out-coupler of a waveguide [4]. The HOE focuses the displayed im-
12 ages into multiple spots in the eye pupil plane, enhancing the exit pupil in the configura-
13 tion. But in this work, the exit pupil expansion is only done horizontally. The exit pupil in
14 a vertical direction can be extended by using the vertical high diffraction orders of the
15 spatial light modulator (SLM) by enlarging the aperture in the Fourier plane of the 4-f
16 system, as shown in Fig. 1(b) [5]. In the study reported by Shrestha et al., an array of beam
17 splitters is used to expand the exit pupil which results in an increase in weight or form
18 factor due to bulky optics [6]. In the previous research, setups are bulky and have a high
19 cost. A computational imaging-based holographic Maxwellian near-eye display ad-
20 dressed these shortcomings [7]. In this paper, encoding a complex wavefront into ampli-
21 tude-only signals produces an all-in-focus virtual image. The hologram is multiplexed
22 with several off-axis plane waves, which duplicate the pupils into an array, to enlarge the
23 exit pupil. As a result, this approach has a small form factor and only needs one active
24 electrical component, which supports wearable applications. The above-mentioned meth-
25 ods are difficult to use in a full-color NED due to the narrow bandwidth of diffractive
26 optical elements [8]. The Maxwellian NED in full color with an expanded exit pupil in
27 two-dimensional (2D) space is used to get over tiny eye box restriction [2]. With the use
28 of a quarter-wave plate (QWP) and two Pancharatnam-Berry deflectors (PBDs), a broad-
29 band 2D beam deflector is used to multiplex the one viewpoint into a 3×3 array of view-
30 points as shown in Fig. 1(c). There is a demerit of aforementioned methods that each view-
31 point image is overlapped, or the area becomes blank as changing the position from one
32 viewpoint to another viewpoint. In order to prevent the images from overlapping on the
33 retina, this effect is removed by creating multiple and independent viewpoints [9]. In this
34 method, a high-speed MEMS mirror can be used as an aperture stop for a narrow exit
35 pupil, which provides many views based on the time-multiplexing approach and gives
36 continuous image over a wide eye box without an eye tracker. To acquire a different area
37 of perspective scene to each viewpoint, multiple HOEs are designed accordingly and spa-
38 tially located. These viewpoint images do not overlap on the retina.

39 *2.2. Tunable viewpoints*

40 Most Maxwellian NEDs with eye box replication give fixed intervals between the
41 viewpoints, which must be tunable to the variation in eye pupil size among the users. The
42 focal spot steering is accomplished by synthesizing the CGH with various plane carrier
43 waves [10]. In this method, the transverse position offset in the plane of the eye's pupil
44 depends on the spatial frequency of the plane wave. By changing the frequency of carrier
45 wave in the CGH synthesis, the multiple focal spots can be steered. There is another tech-
46 nique to extend the eye box in Maxwellian see-through NED by using polarized gratings
47 (PGs) and multiplexed HOE [11]. The transmission PGs selectively diffract light beams
48 with different polarization states and have high diffraction efficiency in ± 1 orders. Two
49 viewpoints are generated by multiplexed HOE and are further duplicated to four view-
50 points at different locations by using these two PGs, as shown in Fig. 1(d). By mechanically
51 moving the PG, these viewpoints can be tuned accordingly. However, tuning of image

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viewpoints and image shifts is still limited, which hinders its practicability. To overcome this limitation, an adjustable and continuous replication of eye box of a holographic Maxwellian near-eye display system is proposed in which different frequencies are employed to the hologram using spatial multiplexing to guide the beams in the required directions [12]. To create a pupil array, generate the sub-holograms corresponding to the viewpoints, then multiplex them all to make the composite hologram. The interval of the focus spots is dynamically adjusted, making it feasible to adapt to any eye pupil size and prevent the image overlapping or blind-region issues. To minimize operating speed and the bulky form factor, as well as to eliminate the problem with double or blank images, Yoo et al. designed a light guide based on switchable viewpoints in the Maxwellian display [13]. In this method, using the polarization grating, multiplexed HOEs, and polarization-dependent eyepiece lens, the expansion of the eye box is done without an additional mechanical movement of elements, as represented in Fig. 1(e). With the polarization-multiplexing approach, the polarizer rotator independently activates two different groups of viewpoints and is synchronized with the eye tracker.



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Figure 1. (a) Schematic diagram of conventional Maxwellian display [3]; (b) Eye box expansion using multiplexed HOE [5]; (c) Eye box expansion using polarization dependent HOEs [2]; (d) Tunable viewpoints using polarization dependent HOEs [11]; (e) Switchable eye box using polarization multiplexing [13]; (f) Viewing point steering with backlight modulation using LED array [18]

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2.3. Dynamic viewpoints

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Most Maxwellian display setups in the previous section do not include an eye-tracking device that is needed for more realistic applications. By incorporating pupil-tracking, exit-pupil steering has been proposed as a light field projection-type display [14]. However, exit-pupil shifting for a holographic display has not yet been developed. Takaki et al. proposed a technique based on wave optics [15]. This technique can electrically modify the light's convergence point in response to an eye movement without needing mechanical components and gives a steerable eye box. An eye pupil-tracking Maxwellian system using a steering mirror and a pupil shifting HOE is proposed, which provides a dynamic eye box that can be accomplished by using the pupil shifting HOE combiner to laterally shift a view point in order to follow the movement of eye pupil [16]. However, the eye box is shifted accordingly by changing the incidence angle to the HOE in accordance with the detected eye location. Although the eye box is capable of 2D shifting, the possible incidence angle range is constrained by the aberrations and angular selectivity, which also restricts the eye box shifting range. In the study reported by Kim et al., the dynamic eye box is generated by laterally translating the HOE [17]. Both of the aforementioned techniques that makes use of the optical element's mechanical movement, which results in an increase in weight or form factor. The backlight modulation technique is reported in the literature to generate a dynamic eye box in full-color Maxwellian displays [18]. An array

of light-emitting diodes (LEDs) and a pupil tracker are synchronized to generate a steerable eye box based on the pinhole imaging principle represented in Fig. 1(f). This approach can switch fixed focal spots with low motion-to-photon latency. The aforementioned pupil steering techniques rely on modifying the incident light angle. However, the lens coupler's diffraction-limited performance is only achievable at one incidence angle, these techniques result in significant aberration. A new pupil steering approach employs a switchable polarization converter and a cholesteric liquid crystal holographic lens (CLCHL) [19]. The polarization converter controls the light's polarization and chooses the appropriate holographic lens to operate, as opposed to depending on changing the incidence angle to change the focal point.

3. Conclusion

This paper reviewed the state-of-the-art Maxwellian display design, focusing on two comfort features, including form factor and large eye box. We have introduced the conventional Maxwellian display & its principle and then discussed the multiplexing techniques to expand the exit pupil. The techniques for enlarging the exit pupil of Maxwellian displays, such as spatial & angular multiplexing of HOEs, polarization multiplexing, backlight modulation, and materials, are reviewed. Our paper discusses the relative merits & demerits of the methods along with potential solutions in terms of achieving the goals of AR displays.

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