

Supercritical Fluid Extraction as a Potential Extraction Technique for the Food Industry [†]

A. Perez-Vazquez ¹, P. Barciela ¹, M. Carpena ¹, P. Donn ¹, S. Seyyedi-Mansour ¹, Hui Cao ¹, M. Fraga-Corral ^{1,2}, Paz Otero ^{1,2}, J. Simal-Gandara ¹, M. A. Prieto ^{1,2,*} and L. Cassani ^{1,2,3,*}

¹ Nutrition and Bromatology Group, Department of Analytical Chemistry and Food Science, Faculty of Science, Universidade de Vigo, E32004 Ourense, Spain; email1@email.com (A.P.-V.); email1@email.com (P.B.); email1@email.com (M.C.); email1@email.com (P.D.); email1@email.com (S.S.-M.); email1@email.com (H.C.); email1@email.com (M.F.-C.); email1@email.com (P.O.); email1@email.com (J.S.-G.)

² Centro de Investigação de Montanha (CIMO), Instituto Politécnico de Bragança, Campus de Santa Apolonia, 5300-253 Bragança, Portugal

³ Instituto de Investigaciones en Ciencia y Tecnología de Materiales, Consejo Nacional de Investigaciones Científicas y Técnicas (INTEMA-CONICET), Colón 10850, Mar del Plata 7600, Argentina

* Correspondence: mprieto@uvigo.es (M.A.P.); luciavictoria.cassani@uvigo.es (L.C.)

[†] Presented at the 2nd International Electronic Conference on Processes: Process Engineering—Current State and Future Trends (ECP 2023), 17–31 May 2023; Available online: <https://ecp2023.sciforum.net/>.

Abstract: Supercritical fluid extraction (SFE) is a non-conventional extraction technique that can be used in the food industry because it can recover both polar and non-polar compounds. This technique is carried out above the critical point of the extraction solvent, allowing for the control and manipulation of different properties such as diffusivity, viscosity, and density. This is possible due to the fluid's changes in pressure and temperature that cause variations in selectivity and power. This eco-friendly extraction technique has several advantages, including high selectivity due to changes in pressure and temperature, as well as changes in the solvent's polarity by adding co-solvents. SFE has already been used in the food industry due to the benefits of this technique and its suitability for both polar and non-polar compound extraction. The goal of this work is to compile the most recent data on SFE applications in the food industry, thereby providing insight into SFE feasibility in a large-scale process.

Keywords: green extraction technique; supercritical fluid; critical point; bioactive compounds; food application

Citation: Perez-Vazquez, A.; Barciela, P.; Carpena, M.; Donn, P.; Seyyedi-Mansour, S.; Cao, H.; Fraga-Corral, M.; Otero, P.; Simal-Gandara, J.; Prieto, M.A.; et al. Supercritical Fluid Extraction as a Potential Extraction Technique for the Food Industry. *Eng. Proc.* **2023**, *37*, x. <https://doi.org/10.3390/xxxxx> Published: 17 May 2023



Copyright: © 2023 by the authors. Submitted for possible open access publication under the terms and conditions of the Creative Commons Attribution (CC BY) license (<https://creativecommons.org/licenses/by/4.0/>).

1. Introducing Supercritical Fluid Extraction (SFE)

Supercritical fluid extraction (SFE) is a novel technique developed in both laboratories and industries for the past 60 years [1]. This extraction technique occurs in three steps: first, a dissolving/resolving process of the analytes takes place; then, there is a sweeping of the analytes; and finally, the analytes are trapped [2]. The schematic representation of SFE is represented in **Error! Reference source not found.A**. The SFE extraction optimization plays a key role since the different parameters (e.g., temperature, pressure, time and solvent of extraction, sample size, type, and percentage of cosolvents...) directly affect the extraction yield [3]. For the optimization, two strategies can be performed: phase equilibrium strategies and experimental design statistical optimization, which is the most used. Moreover, it is mandatory to consider both phase equilibrium and mass transfer as limit stages of the process [3].

To extract the target analytes, a solvent above its critical point is used, performing as a supercritical fluid (SCF), as shown in **Error! Reference source not found.A**. A SCF is any substance above the temperature and pressure critical points, having both gas and liquid

characteristics [1]. In this way, solvents have liquid-like densities while viscosity is gas-like, and diffusivity is around two orders of magnitude higher than liquids [1]. SCF can diffuse through solid matrices as gases and dissolve compounds as liquids. When SFE is applied, slight changes in temperature or pressure lead to larger changes in the fluids' density since the solvent is performing near its critical point [1]. Thus, SFE selectivity depends on the density of the solvent [1].

CO₂ is the main solvent applied in SFE since it is non-toxic, non-explosive, and chemically inert and has low viscosity, surface tension, and high diffusivity. Moreover, the extract is easy to obtain and remove, and its critical point does not negatively affect the biological components [4,5]. The critical temperature and pressure of CO₂ are moderate (31 °C and 7.38 MPa, respectively), so the operational conditions can avoid the labile compounds' degradation [5]. Even though CO₂ is ideal for the bioactive compounds' recovery [6], pure CO₂ is not suitable for the polar compounds' extraction, so adding a cosolvent is necessary to improve the solubility of the target analyte in the solvent [4]. When a cosolvent is used, it is important to consider how the critical temperature and pressure of the solvent are changed in the extraction system, causing changes in the density of CO₂ and polarity of the mixed solvent, resulting in better efficiency of the extraction process [4].

SFE is considered a green extraction technique since this process needs a lower extraction time and lower quantities of non-toxic organic solvents compared with conventional extraction techniques such as solvent extraction [2]. Moreover, by applying SFE, no solvent residues are found in the extract, and the selectivity achieved is higher than in other extraction techniques [2,3]. However, the main disadvantage of SFE is the expensive equipment compared to conventional extraction techniques [5]. In this work, the potential use of SFE in the food industry is discussed based on the current data available, considering not only the recovery yields obtained and the wide compounds application but the scaling-up process suitability.

2. Supercritical Fluid Extraction (SFE) in the Food Industry

Although SFE has been studied and applied in the past decades in other industries, the food sector has shown interest in this extraction technique since 2016 [1]. Despite the increasing interest of the food sector in SFE, there is a notable delay between research and industrial applications since there is a need to prove this technology's efficiency, validate the process, including the products in the novel foods regulations, as well as confirm the nutritional profile, toxicity, allergenic potential and presence of the contaminants in the products obtained [1].

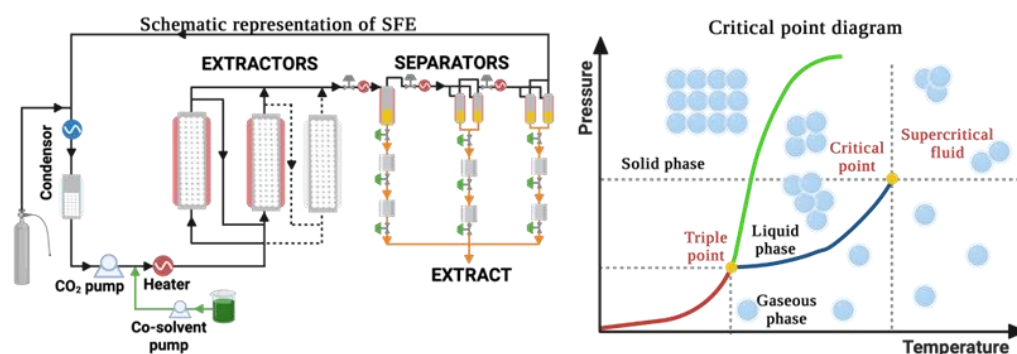
The potential use of this novel extraction technique is represented in **Error! Reference source not found.B**. In this way, researchers have shown the potential application of this eco-friendly extraction technique in the food industry to obtain different compounds (e.g., bioactive compounds, fatty acids, pigments...). Regarding flavonoid extraction from leaves, Song et al. have applied SFE-CO₂ to *Ziziphus jujuba* Mill., under optimal conditions (52.52 °C, 27.12 MPa, 113.42 min, and 0.41 mL/min of co-solvent flow), the flavonoid content was 29.052 mg, being both kaempferol and quercetin glycosides the main compounds [6]. Another study by Frohlich et al. used SFE for antioxidant compounds and eugenol extraction in clove leaves. The optimal operation conditions were 300 bar 60 °C, obtaining 1.94% of extraction yield [7]. Fatty acids of the pulp and nu oils from *Terminalia catappa* fruits were obtained in another study by applying SFE. After an extraction run at 300 bar 60 °C, 7.4 and 61.5% of pulp and nut of extraction yield was achieved. The extract was mainly formed by unsaturated fatty acids, of which omega-3 and -6 were predominant, showing suitable physicochemical properties [8]. SFE has been also applied in edible flowers. Volatile oils from Surangi flowers were extracted with SFE-CO₂ and steam explosion. The optimal extractions conditions for SFE-CO₂ were 35.03 °C, 179 min, 142 bar, and 3 mL/min of flow rate, achieving 4.75% of overall recovery yield [9].

Although SFE seems to be a potential technique to extract different compounds that can be incorporated into several food products improving their nutritional profile, this

technique can be applied to eliminate substances, e.g., oil, to reduce the fat content. Michael E. Wagner et al. extracted the oil in chips applying SFE-CO₂ at different operational conditions that ranged between 27.6–41.4 MPa, 35–80 °C and 0.5–5 g CO₂/min. Up to 100% of extraction was achieved when the highest temperature and pressure were performed [10]. The decaffeination process of coffee beans using SFE-CO₂ was studied by Lolanda De Marco et al. showing the suitability of the process since it can be performed in a single step [11]. Moreover, SFE can be applied to recover compounds or metabolites that can negatively affect the quality or/and food product safety. Hina Shanakhat et al. studied the application of SFE for the macrocyclic lactone mycotoxins recovery in maize flour. Results showed how using methanol as a solvent extract allowed 100% of these mycotoxins recovery [12].

Considering the current interest from the scientific community in the study of SFE for the compounds recovery for the food industry application, showing positive results in a laboratory-scale performance, it is necessary to continue the research considering the scaling-up process to prove the efficiency and the economic feasibility of SFE for the food industry.

A. Supercritical fluid extraction: a green extraction technique



B. Application of supercritical fluid extraction in the food industry

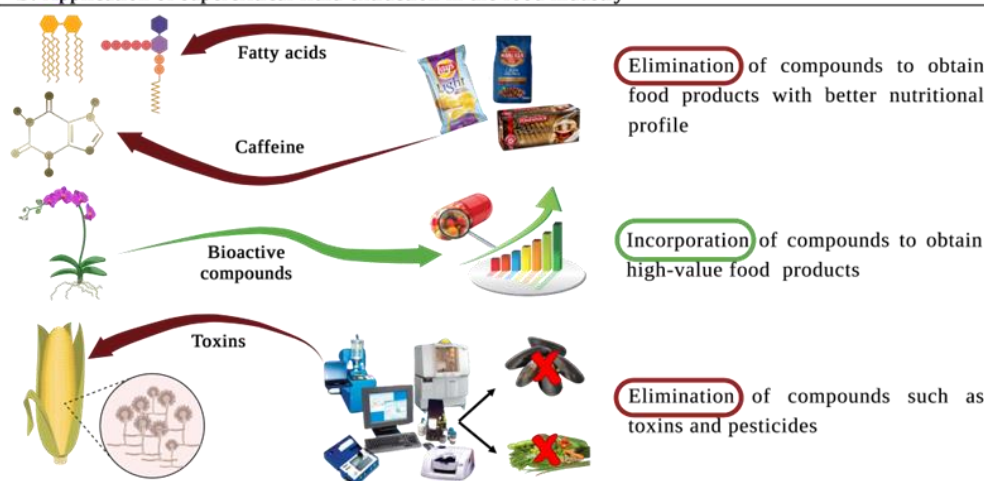


Figure 1. Supercritical fluid extraction (SFE) as a potential extraction technique in the food industry. (A) represents the SFE-CO₂ process and the critical point diagram of any substance. (B) shows different uses of SFE in the food industry including elimination and incorporation of compounds.

3. Conclusions

SFE is a green technique that has recently taken an interest in the food sector since it is characterized by its high selectivity, low solvent requirement, low extraction time, and

wide range of compounds that can be extracted. In this way, several studies have established the optimal extraction conditions to release bioactive compounds, fatty acids, pigments, and other substances in different matrices, e.g., leaves, fruits, or edible flowers, showing high recovery yields. These high-value compounds can be incorporated into different food products improving their nutritional profile. However, there is a lack of data regarding the efficiency of this technology on an industrial scale applied in these high-value products. Nowadays, SFE is used in the food industry to eliminate compounds such as caffeine for decaffeinated coffee production or fatty content in low-fat. Moreover, SFE has been suggested to eliminate non-desirable substances that can be found to hurt human health, e.g., toxins. Considering the current data available, it is necessary to provide information on the efficiency of this technology on a large-scale, as well as its economic feasibility, to determine its application in the food industry.

Author Contributions: Conceptualization, A.P.-V., P.B. and M.C.; methodology, A.P.-V., P.B., P.D. and S.S.-M.; software, A.P.-V. and P.B.; validation, M.F.-C., P.O., H.C. and L.C.; formal analysis, M.C. and M.A.P.; investigation, A.P.-V. and P.B.; resources, J.S.-G. and M.A.P.; writing—original draft preparation, A.P.-V., P.B., P.D. and S.S.-M.; writing—review and editing, M.C., H.C., M.F.-C., P.O. and L.C.; visualization, M.C., M.A.P. and L.C.; supervision, J.S.-G., M.A.P. and L.C.; project administration, M.A.P. and L.C.; funding acquisition, J.S.-G. and M.A.P. All authors have read and agreed to the published version of the manuscript.

Funding: This research received no external funding.

Institutional Review Board Statement: Not applicable.

Informed Consent Statement: Not applicable.

Data Availability Statement: Not applicable.

Acknowledgments: The research leading to these results was supported by MICINN supporting the Ramón y Cajal grant for M.A. Prieto (RYC-2017-22891), and the Juan de la Cierva Incorporación for Hui Cao (IJC2020-046055-I); by Xunta de Galicia for supporting the program EXCELENCIA-ED431F 2020/12, the post-doctoral grants of M. Fraga-Corral (ED481B-2019/096), and L. Cassani (ED481B-2021/152), and the pre-doctoral grant of M. Carpena (ED481A 2021/313). The authors are grateful to Ibero-American Program on Science and Technology (CYTED—AQUA-CIBUS, P317RT0003), to the Bio Based Industries Joint Undertaking (JU) under grant agreement No 888003 UP4HEALTH Project (H2020-BBI-JTI-2019) that supports the work of P. Otero.

Conflicts of Interest: The authors declare no conflict of interest.

References

1. Braga, M.E.M.; Gaspar, M.C.; de Sousa, H.C. Supercritical Fluid Technology for Agrifood Materials Processing. *Curr. Opin. Food Sci.* **2023**, *50*, 100983. <https://doi.org/10.1016/J.COFS.2022.100983>.
2. Ray, A.; Dubey, K.K.; Marathe, S.J.; Singhal, R. Supercritical Fluid Extraction of Bioactives from Fruit Waste and Its Therapeutic Potential. *Food Biosci.* **2023**, *52*, 102418. <https://doi.org/10.1016/J.FBIO.2023.102418>.
3. Herrero, M.; Mendiola, J.A.; Cifuentes, A.; Ibáñez, E. Supercritical Fluid Extraction: Recent Advances and Applications. *J. Chromatogr. A* **2010**, *1217*, 2495–2511. <https://doi.org/10.1016/J.CHROMA.2009.12.019>.
4. Gong, T.; Liu, S.; Wang, H.; Zhang, M. Supercritical CO₂ Fluid Extraction, Physicochemical Properties, Antioxidant Activities and Hypoglycemic Activity of Polysaccharides Derived from Fallen Ginkgo Leaves. *Food Biosci.* **2021**, *42*, 101153. <https://doi.org/10.1016/J.FBIO.2021.101153>.
5. López-Hortas, L.; Rodríguez, P.; Díaz-Reinoso, B.; Gaspar, M.C.; de Sousa, H.C.; Braga, M.E.M.; Domínguez, H. Supercritical Fluid Extraction as a Suitable Technology to Recover Bioactive Compounds from Flowers. *J. Supercrit. Fluids* **2022**, *188*, 105652. <https://doi.org/10.1016/J.SUPFLU.2022.105652>.
6. Song, L.; Liu, P.; Yan, Y.; Huang, Y.; Bai, B.; Hou, X.; Zhang, L. Supercritical CO₂ Fluid Extraction of Flavonoid Compounds from Xinjiang Jujube (*Ziziphus Jujuba* Mill.) Leaves and Associated Biological Activities and Flavonoid Compositions. *Ind. Crops Prod.* **2019**, *139*, 111508. <https://doi.org/10.1016/J.INDCROP.2019.111508>.
7. Frohlich, P.C.; Santos, K.A.; Ascari, J.; Santos Refati, J.R.D.; Palú, F.; Cardozo-Filho, L.; da Silva, E.A. Antioxidant Compounds and Eugenol Quantification of Clove (*Syzygium Aromaticum*) Leaves Extracts Obtained by Pressurized Liquid Extraction and Supercritical Fluid Extraction. *J. Supercrit. Fluids* **2023**, *196*, 105865. <https://doi.org/10.1016/J.SUPFLU.2023.105865>.

8. Santos, O.V.; Lorenzo, N.D.; Souza, A.L.G.; Costa, C.E.F.; Conceição, L.R.V.; Lannes, S.C.S.; Teixeira-Costa, B.E. CO₂ Supercritical Fluid Extraction of Pulp and Nut Oils from *Terminalia Catappa* Fruits: Thermogravimetric Behavior, Spectroscopic and Fatty Acid Profiles. *Food Res. Int.* **2021**, *139*, 109814. <https://doi.org/10.1016/J.FOODRES.2020.109814>.
9. Pise, V.H.; Thorat, B.N. Supercritical Fluid Extraction of Dried Surangi Flowers (*Mammea Suriga*). *Ind. Crops Prod.* **2022**, *186*, 115268. <https://doi.org/10.1016/J.INDCROP.2022.115268>.
10. Wagner, M.E.; French, J.; Rizvi, S.S.H. Supercritical Fluid Extraction of Oil from Potato Chips: Two Scale Comparison and Mathematical Modeling. *J. Food Eng.* **2013**, *118*, 100–107. <https://doi.org/10.1016/J.JFOODENG.2013.03.023>.
11. De Marco, I.; Riemma, S.; Iannone, R. Life Cycle Assessment of Supercritical CO₂ Extraction of Caffeine from Coffee Beans. *J. Supercrit. Fluids* **2018**, *133*, 393–400. <https://doi.org/10.1016/J.SUPFLU.2017.11.005>.
12. Shanakhat, H.; Sorrentino, A.; Raiola, A.; Romano, A.; Masi, P.; Cavella, S. Current Methods for Mycotoxins Analysis and Innovative Strategies for Their Reduction in Cereals: An Overview. *J. Sci. Food Agric.* **2018**, *98*, 4003–4013. <https://doi.org/10.1002/JSFA.8933>.

Disclaimer/Publisher's Note: The statements, opinions and data contained in all publications are solely those of the individual author(s) and contributor(s) and not of MDPI and/or the editor(s). MDPI and/or the editor(s) disclaim responsibility for any injury to people or property resulting from any ideas, methods, instructions or products referred to in the content.