

The geometry, topology, group theory and harmonics of Kaluza-Klein unification

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OBJECTIVE & STARTING POINT

Objective: Find a description of the curvature of higher-dimensional spacetime which includes:

- field multiplets which transform as spinors with the quantum numbers of known fermions, above the electroweak (EW) scale
- 4D gravity and the known gauge fields above the EW scale: EW & strong interactions

Starting point: General Relativity describes 4D gravity as manifestation of curvature. Build out from there. Kaluza-Klein theories provide models – but don't assume correct in all details.

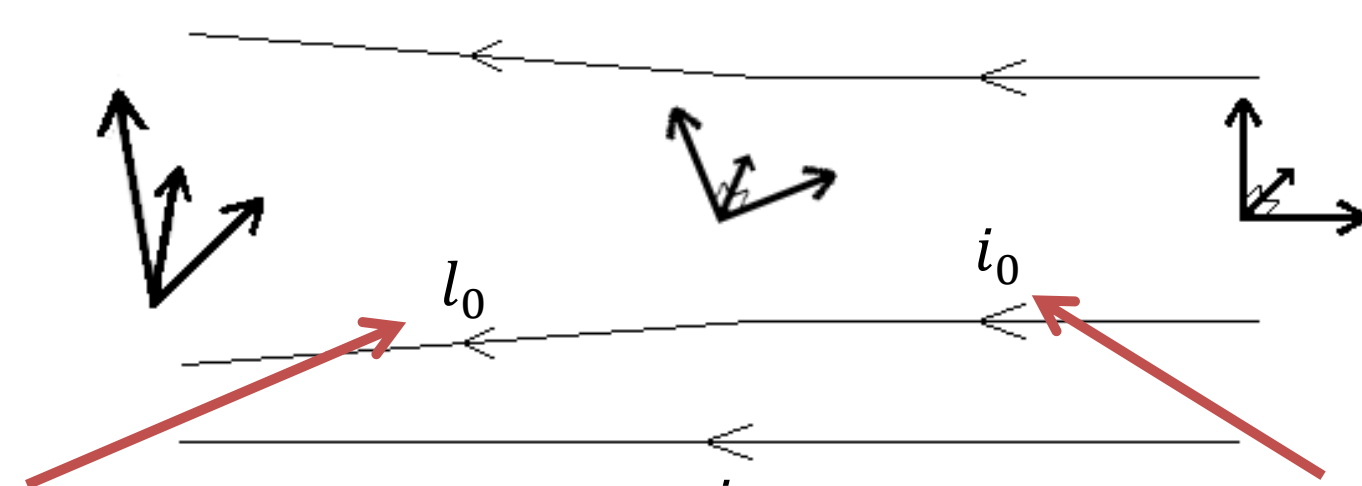
STEPS (S), OBSERVATIONS & CONCLUSIONS (C)

S1: consider transformation groups induced by changes of 4D coordinates.

Observations:

- Lorentz group preserves lengths of vectors – or equivalently, preserves orthonormality of frames on tangent space
- changes of coordinates induce $GL(4, \mathbb{R})$ transformations on vectors or on frames – of which, $SO(1,3)$ is subgroup

C1: changes of frame can be given polar decomposition



Degrees of freedom contained in metric

Degrees of freedom contained in parallelism

S2: consider the action of gauge transformations on fermion fields

Observation:

- strong and EW gauge groups are unitary ($SU(3)$ & $SU(2) \times U(1)$ respectively), changing basis of Hilbert spaces of complex fields

C1: orthogonal groups act on **frames**; but do not act directly on **field configurations**

Further observations:

- homomorphisms: $U(1) \rightarrow O(2)$, $SU(2) \rightarrow SO(3)$, $SU(4) \rightarrow SO(6)$
- in each case, Lie algebra of unitary group is same as Lie algebra of orthogonal group
- gauge fields are vector field-valued elements of Lie algebras

S3: consider Levi-Civita (L-C) connection on product spaces and relations to gauge fields

Observations:

- in appropriate coordinates, metric has block for each factor
- components of L-C connection fall into sets, e.g. $\Gamma_{\mu\nu}^{\rho}$, $\Gamma_{\mu\nu}^X$, Γ_{XY}^Z , ...
- one set ($\Gamma_{\mu\nu}^X$) has spin connection which is vector field-valued element of $SO(N_2)$ Lie algebra, i.e. gauge fields

C2: $N_2 = 2 \rightarrow U(1)$ gauge fields; $N_2 = 3 \rightarrow SU(2)$; $N_2 = 6 \rightarrow SU(4)$

S4: consider limit of zero-curvature



Observations:

- In limit, all spatial dimensions appear the same
 - $SO(N_2)$ is unified with $SO(1,3)$
 - Reverse this: larger symmetry groups are non-linearly realised
- C3: known gauge fields can occur alongside gravity in product spacetime, as manifestations of curvature
- C4: higher-dimensional tensors decompose on factor spaces
- C5: 'unification' only occurs in non-physical limit of zero curvature

S5: consider quantum numbers of known fermions and use to determine total dimensionality and shape of extra dimensions

Observations:

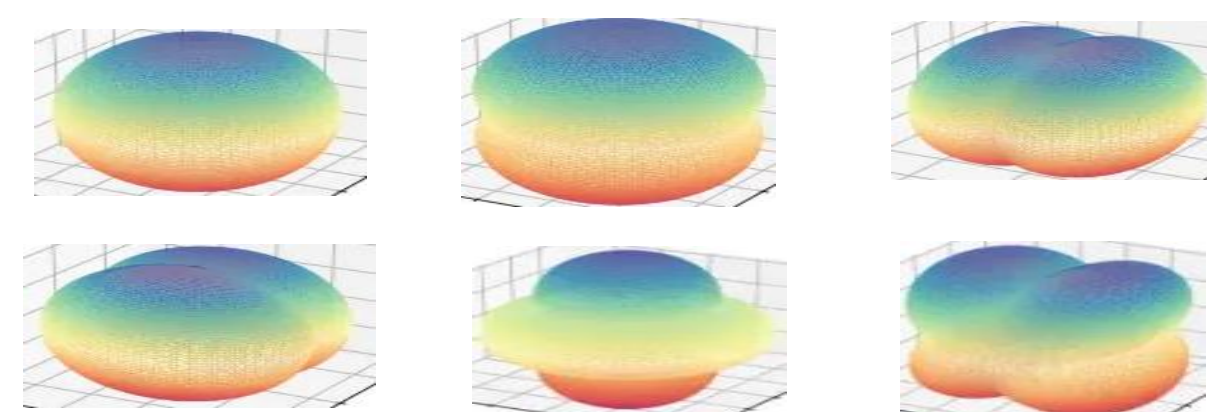
- three generations, distinguished by mass – which is norm of momentum vector in 4D spacetime
- each generation has 32 states – compile into 32-spinor
- only 10D or 11D (pseudo-)orthogonal groups have 32-spinors
- EW symmetry doesn't commute with parity (a spacetime transformation), but colour $SU(3) \subset SU(4)$ does

C6: Need $N_2 = 6$; then get $SU(3)$ gauge fields in spin connection; harmonics include multiplets containing three quarks + lepton

S6: consider how to get spinors with known quantum numbers from harmonics on N-spheres and deformations of them

Observations:

- Periodicity results in quantum numbers for field configurations



- if only source of 4D curvature is cosmological constant, at instant in cosmic time, 3-space is S^3
- under diffeomorphic action of $SO(N+1)$ on N-sphere, harmonics form multiplets, "dragged" into each other in unitary transformations
- multiplets and components distinguished by quantum numbers
- diffeomorphisms: $SO(4)$ on S^3 induces $SU(2)_L \otimes SU(2)_R$ action on its harmonics; $SO(6)$ on S^6 induces $SU(4)$ action on its harmonics

C8: At instant in cosmic time, background geometry is $S^3 \times S^6$

Further observation:

- Stabiliser of right-handed neutrino is $SU(3) \otimes SU(2)_L \otimes U(1)_Y$
- C9: Harmonics form basis of Hilbert space of spinors, with quantum numbers of known fermions above EW scale
- C10: Right-handed neutrino is background/deep space harmonic

RELEVANT OUTPUTS BY THE AUTHOR

Tangent space symmetries in general relativity and teleparallelism, *Int. J. Geom. Meth. Mod. Phys* (2021), **18**, 2140008

Product manifolds as realizations of general linear symmetries, *Int. J. Geom. Meth. Mod. Phys* (2022), **19**, 2240006

Covariant Compactification: A Radical Revision of Kaluza-Klein Unification, *Preprints* (2025), 202303.0314

Symmetries of Field Configurations and No-Go Theorems, *Preprints* (2025), 202510.2222

Covariant Compactification: progress towards a Theory of Everything Fundamental, <https://www.youtube.com/@WarpedBroken>