

Title:

Application of Spectral Unmixing on Hyperspectral data of the Historic volcanic products of Mt. Etna (Italy)

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Research Goals

- Delineate volcanic products with the use of Unmixing
- Accurate estimation of Abundances of deposited volcanic products
- Test different Signal Transformations to achieve optimum unmixing results
- Determine the degree of correlation between LFs
- Qualitative overview of the volcanic surface complexity
- Extract underlying information of sub-pixel analysis, wrt to ground truth
- Paradigm shift → future extension to younger, more correlated, Lavas

A. GEOLOGICAL SETTING

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Mt. Etna(1)

Volcanoes are manifestations of the physical/chemical processes happening on the Earth's interior

- Mt. Etna Eruptive Region: active supersynthem stratovolcano, producing continuous eruptive events, complex stratigraphy
 - Located on the eastern coast of Sicily, Italy (37°44'3"N, 15°0'16"E)
- Summit elevation ~ 3.329m , Base Diameter ~ 1.178 Km^2
- Borders with the orogenic belt of Hyblean Foreland to the south, the Apenine Chain to the north and foredeep deposits in between
 - Lies on the verge of the Eolie Islands magmatic arc
 - Predominant assumption on Etna's volcanism and seismicity origin:

Subduction of the Ionian lithospheric slab beneath the Aeolian slab

Mt. Etna(2)



Tectonic edifice of the Central Mediterranean Sea, the location of Mt. Etna is highlighted with red (from Branca et al, 2011).

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Mt. Etna(3)

- 4 major craters → Northeast Crater (NEC), Voragine, Bocca Nuova and the Southeast Crater (SEC)
- Produce: voluminous Summit eruptions, Paroxysmal events, Lava flows, Lava fountains
- Most eruptive: SEC
- > **300** secondary flank craters
- Flank eruptions: historically more hazardous for populated regions



(modified by Spinetti et al., 2009)

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Volcano's Plumbing System

Subduction forces the deep magmatic material to resurface.

Central conduit system is located west of the most active volcanic region, **Valle del Bove** (VdB) and is:

~ 7 Km x 5 Km wide and 1000 m deep

Consists of: Intracrustal reservoirs and levels of exsolution for various gases.



(modified by Ferlito et al., 2013)

Volcanic Activity

- Volcanism started ~ 500 ka ago
- Etna's historical record of eruptive activity is well documented, with many attempts to identify systematic trends.
- The post 1600 AD eruptive period is subdivided into 4 cycles.



Volcanic Products

Emerging lava: **'a'a** type → basaltic, rough surface, broken lava blocks & sharp texture



< 10% **pahoehoe** type → ropy, smoother surface. Flows downwards → cools and may change to **'a'a**

- Volcanic products :
- I. Lava Flows (LFs) occur from both summit and flank activity, subject to weathering, alteration and vegetation cover ⇒ morphology
- **II. Pyroclastics** are violent movement of gaseous compounds enriched with volcanic material, deposited on top of lava fields.
- III. Surface ash and scoriae !

Spectral Signatures of Volcanic Products

Altered lava

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0.10 -

0.08 0.06 0.04 Fine texture 0.02 Coarse texture 0.00 Pyroclastics 0.10 - B 0.08 0.06 0.04 0.02 Reflectance 0.00 Lava 0.10 C 0.08 0.06 0.04 0.02 0.00 Oxidized lava D 0.10 -0.08 0.06 0.04 0.02 0.00 500 1000 1500 2000 2500 Wavelength (nm)

(from Sgavetti et al., 2006)

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Major Volcanic Formations

- 5 geological stages: Pre Etnian activity, Fusion of four major stratovolcanoes: Trifoglietto I, Trifoglietto II, Calanna and Mongibello

Recent edifice bulk comprised of 2 volcanoes:

- Ellitico Volcano: distinguishable, steep slopes, mainly summit portion, flanks reach the Alcantara river on the north.
- Mongibello Volcano: formed during the last 15 ka, covers ~ 85% of previous landforms, VdB dominates the Eastern side. 122 BC eruption revealed the <u>Torre del Filosofo</u> formation.

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Selected Formation MF1, 1536-1669 AD

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MF1 Historic Lava Flows (1)

✤ Flank eruptions spanning 1536-1669 AD.

• 1536 AD

Overflown summit at NW, NE and flank eruption at ~ 2200-1500 m. Extensive damage.

• 1537 AD

S flank vents at ~ 1900-1700 m, destroyed Nicolosi, total length of 15 Km, largely buried under 1892 lava.

• 1566 AD

NE flank eruption, multiple fissures. Largely covered.

MF1 Historic Lava Flows (2)

+ Flank eruptions spanning 1536, 1537 & 1566 AD (from left to right)







MF1 Historic Lava Flows(3)

• 1610 AD

SW flank vent at 2350-1950m, 2 fissures. Destroyed cultivated vineyards

Total lava vol. = $120 \ x \ 10^6 m^3$

• 1614-24 AD

NW-W flanks at 2500-2000m, voluminous. Mostly **pahoehoe**. No damages reported.

• 1634-36 AD

S-SSE flank, short fissure at 2090-1975m, damage across.

Total lava vol. = $150 \ x \ 10^6 m^3$

• 1646-47 AD

NNE flank at 1900m, several villages destroyed. Prominent pyroclastic cone.

Total lava vol. = $190 \ x \ 10^6 m^3$

MF1 Historic Lava Flows(4)

Flank eruptions 1610, 1614-24, 1634-36 & 1646-47 AD









MF1 Historic Lava Flows(3)

1669 AD:
Vigorous seismicity, S summit ~ 800m.
Destroyed Nicolosi, broke into Catania walls.
Most devastating/voluminous, extensive lava field.
Total lava vol. = 607 x 10⁶m³

(Fresco from Catania Cathedral)

B. PROBLEM DEFINITION

B. PROBLEWI DEPINITION



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Spectral Mixture Models

Spectral Mixing: each image pixel may contain one or more LC components ∴ mixed spectral characteristics

- Several Mixture Models depending on the mixed pixel morphology
- Solution: soft sub-pixel classification techniques partition each pixel on different classes (UNMIXING)





Linear Mixture Model (LMM)

Assumption:

each endmember covers a defined region within the pixel area & multiple scattering is negligible \rightarrow Pure components **Linearly** mixed



Potentially induced Constraint:

 $a \ge 0$ (*NNC*), for every image pixel

Bilinear Mixture Model (BMM)

Assumption:

linear components as in LMM + endmember correlation terms

Formula:

$$\mathbf{y} = \mathbf{M}\mathbf{a} + \sum_{i=1}^{K-1} \sum_{j=i+1}^{K} a_{i,j}\mathbf{m}_{i} \odot \mathbf{m}_{j} + \mathbf{n} \iff \mathbf{y} = \sum_{k=1}^{K^{**}} a_{k}^{*}\mathbf{m}_{k}^{*} + \mathbf{n}$$

where $\mathbf{m_i} \odot \mathbf{m_j}$ denotes the ith & jth endmember interaction.

Potentially induced Constraint:

$$a_k^* \ge 0$$



Data

- *Used*: Hyperspectral image cube over Eastern Sicily, 09/07/2007
- 🖏 Big Data manipulation
- ✤ From: NASA EO-1 HYPERION sensor
 - 220 calibrated spectral bands (out of 242)
 - 10 nm spectral res. from 0.4 to 2.5 microns
 - 30 m spatial res. over a 7.7 Km swath
 - Highest SNR on Vis-VNIR
 - Level 1T radiometric & geometrically corrected product





Data Preprocessing

The followed preprocessing steps:

- Atmospheric Correction (via FLAASH algorithm): Radiance —
 Reflectance¹
- Water vapor and Cloud Masking
- *Dimensionality / Noise Reduction* via PCA: first 4 PCs Inverse PCA
- Vegetation Masking via NDVI: vegetated areas threshold on 0.41
- Active Areas Segregation: VdB omitted as a separate ROI
- Formation Masking: MF1 manually digitized, masked initial image

¹ not prerequisite step for unmixing analysis



a) from K. Karagiannopoulou, (2017). "Use of Hyperion spectral signatures and Sentinel-1 Polarimetric backscatter for lava flow differentiation in Mt. Etna, Sicily".

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D. METHODOLOGY

Endmember Extraction(1)

Criteria of ROI selection:

- Dense Lava deposits, close to geological map dates
- Avoid Borderline regions
- ROI > **30 pxls**, otherwise merging
- Spectral profile inspection → minimum variability
- Include **populated** environments

of ROIs = 13, for 9 LFs, 2 scoria cones
 and industrial, semi-urban, tile rooftops.



Endmember Extraction(2)

Endmembers:

- Pixel values of the same ROI follow a Normal Distribution
- Assumed the majority of spectral information is between ±25% from the mean value → resize each ROI
- ✦ HOW ?
- Find the Gaussian borders → **exclude the outliers**
- Calculate new ROI average
- Mean value represents the 1x140 Endmember vector
- Not physical image pixel
- Nore efficient than simple averaging or median value

Volcanic Products Spectra



- Flat | slightly convex profile in 750-2500nm range
- Potential olivine presence: absorption feature on ~ 900nm, need continuum removal
- Higher overall refl.: Tile rooftop buildings, Lowest overall refl.: 1610 scoria cone
- Older LFs have higher reflectance (consistent)

Volcanic Products Spectra



- \exists vegetation absorption: all products **except** industrial areas and 1536, 1669 LFs
- Alteration on: 1536, 1537, 1566, 1610, 1634-36, 1646-47 LFs
- Band reduction excludes crucial bands for compositional analysis



Linear Least Squares Unmixing (LLSU)

Solution to mixed pixels problem: Linear Least Squares Unmixing

- Quadratic optimization problem + linear inequality constraints
- Seeks the Optimum Abundance vector

Minimize Least Squares Error (LSE):

$$LSE = (\mathbf{M}a - \mathbf{y})^{\mathrm{T}}(\mathbf{M}a - \mathbf{y}), \quad subject \ to \ a \ge 0$$

Constrained abundance vector estimation:

$$a_{NCLS} = \left(\mathbf{M}^{\mathrm{T}}\mathbf{M}\right)^{-1}\mathbf{M}^{\mathrm{T}}\mathbf{y} - \left(\mathbf{M}^{\mathrm{T}}\mathbf{M}\right)^{-1}$$

Non-negativity constrained LS on Matlab via *lsqnonneg*²

² STOC not included

Signal Transformations (1)

- Methods used on LLSU:
- 1. Raw Data Channel Domain Representation
 - Simple and robust
- 2. Reduced Channel Domain
 - Exploit the HSI spectral redundancy
 - ↔ Dimensionality reduction via Feature Selection (FS)* \rightarrow 22 optimum bands
 - Majority of information in R-VNIR !
- 3. FFT transformed Image Spectra
 - ◆ DFT from Channel Domain → Frequency Domain
 - ✤ LLSU Frequency Domain ∝ LLSU Channel Domain
 - * Special Thanks to Dr. Kostas Themelis and Dr. Irida Xenaki for providing their scripts.
Signal Transformations(2)

- Methods used on LLSU:
- 4. FFT on Visible-VNIR
 - Subspace identification ⇒ selection of bands with low SNR → for the Hyperion dataset VIS-VNIR
 - High-pass filter that enhances spectral details
- 5. FFT on Reduced Frequency Domain
 - ✤ No significant variation over the 20th → Dimensionality Reduction
- 6. IFFT transformed Image
 - Keep Bands with significant energy content
 - ✤ Reduced Frequency Domain → Initial Channel Domain

Signal Transformations(3)

- Methods used on Bilinear LSU:
- 7. Reduced Channel Domain
 - ✤ Dot product of each endmember with the rest as $m_i \odot m_j$ = Endmember
 Correlation
 - Non-Linearity is induced by the enhanced Endmember matrix
- 8. Augmented Spectral Signatures Domain
 - Dot product of each of the bands = Band Correlation

Image Reconstruction

HOW?

Endmember Matrix x Extracted Abundance Matrix– Neglect additional noise

- Unmixing Quality Assessment → Structural Similarity Index (SSIM)
- Quantitative measure of the comparison between the *Initial image* and each *Reconstructed image*.
- Calculated on various windows of an image
- Formula based on three terms: luminance (*l*), contrast (*c*) & structure (*s*) HERE:

Not SSIM values for each of the 140 bands + mean SSIM values display.

E. RESULTS

ELL/EOOELO

METH. 1 Abundance Maps(1)



- LF colorbars are integrated on the same maximum value, unique for each method
- Generally: LFs are delineated
- Refined detail not provided by Geological Map
- High abundances on ROI areas, as expected

METH. 1 Abundance Maps(2)



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METH. 3 Abundance Maps(1)



- Lava flows outline is maintained, lower abundances on same pixels
- Lava patterns are consistent
- Outline fades, 1634-36 LF → Gaussian noise reduction, stripping exists

METH. 3 Abundance Maps(2)

F1 2007 1610 Scoria FFT Abundance Map 1.2 500 1 0.8 550 0.6 600 0.4 02 Type: Image 650 [X,Y]: [216 550] Index: 0.05147 150 [R,G,B]: [0 0 0.6863]





1610 scoria cropped Geological Map



1646-47 scoria cropped Geological Map



Industrial Area cropped Geological Map



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METH. 7 Abundance Maps(1)



- Homogeneous LF distribution
- Delineated lavas
- Sharper features on volcanic surface due to high frequency spectra

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• Comparable abundances with previous methods

METH. 7 Abundance Maps(2)





1610 scoria cropped Geological Map



1646-47 scoria cropped Geological Map



Industrial Area cropped Geological Map



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Faint 1610 scoria, low reflectance \rightarrow spectrally correlated with other products

Comparative Analysis (1)



Method 1 colocomposite of Correlated Lavas 1669 LF 1614-24 LF 1536 LF

Comparative Analysis (2)



All Methods Image Reconstruction



- Reconstruction levels > 99.5% → successful unmixing processes
- Ascending trend until 1350nm, generally descending on low frequencies
- IFFT lowest SSIM due to zero pudding, $20 \rightarrow 140$ bands
- Reduced FFT (20 freq.) gives the same results as FFT

All Methods Image Reconstruction



- *Bilinear Unmixing* on the enhanced domain → **Best overall** reconstruction accuracy
- Note: Bilinear SSIM > LS SSIM → bilinear model as a better representation

 \mathbb{Z} Meth. 2, 7 & 8 \rightarrow subspace, give equivalent results

Time efficiency and Noise Reduction

TIME EFFICIENCT	Elapsed Time (sec)	MEAN	STDV
	LS	15.094	± 0.235
	LS Reduced	12.497	± 0.161
TIME EFFICIENT & NOISE REDUCTION	FFT	14.168	± 0.034
	V_VNIR FFT	14.199	± 0.123
	20freq		± 0.149
	IFFT	15.325	± 0.314
	BL	32.919	± 0.343
	BL_aug	15.949	± 0.177

F. CONCLUSIONS & DISCUSSION

DISCUSSION

Conclusions

🖏 To sum up:

- Mapping of the different volcanic products wrt to Geological map
- Extract abundances of LF components, determine their spatial distribution
- Qualitative overview of the volcanic surface complexity
- Added value on existing context, quantitative information
- Achieve time efficient and robust techniques with comparable unmixing results
- Perform Dimensionality Reduction with very low computational cost → Big
 Data efficient manipulation
- Created a Paradigm shift \rightarrow Future extension

Discussion

- 🖏 To the best of our knowledge:
 - Volcanic products of Etna are studied mostly from field measurements, complimented by satellite images, in terms of mineralogical composition.
 - There are no references of Hyperspectral Unmixing techniques on Etnian Lava Fields, potentially to no other volcanic edifice.
 - Innovative work in terms of signal processing approaches tailored on a multidiverse volcanic environment.

Future Work

To compliment the HSI content:

- Sentinel-2 data provide great potential on the field
- **\diamond** Constant coverage and high spatial resolution \rightarrow Lava Discrimination
- Performed already similar techniques on Multispectral data
- Preliminary work on Etna with S2 data, that ultimately aims on:

A Spatio-temporal

characterization of Mt. Etna

volcanic products !

Thank you for your attention !

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Appendix

Key Points

- RESEARCH GOALS
- PROBLEM DEFINITION
- DATA PREPROCESSING
- MAIN DATA PROCESSING
- SPECTRAL MIXTURE MODELS: LINEAR & BILINEAR



- COMPARATIVE ANALYSIS
- CONCLUSIONS
- DISCUSSION
- FUTURE WORK

Etna's Supersynthems



(from *Branca et al, 2011*).

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Signal Transformations(2)

LLSU on the:

- 1. Untransformed Channel Domain of the initial image
- 2. Reduced Channel Domain
 - Exploit the HSI spectral redundancy
 - Perform Dimensionality reduction via Feature Selection (FS)*
 - Feature Selection uses the sparsity induced Fast Bi-ICE algorithm*, implemented in NOA
 - Output: vectors most significant Bands & Ranks
 - Optimum Band number: 22 Bands
 - 1st FS band (41) = 973 nm (0.1918), 22nd FS band (31) = 783 nm (0.1648)

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- Channel Domain reduced to corresponding 22 bands.
- Majority of information in R-VNIR !

* Special Thanks to Dr. Kostas Themelis and Dr. Irida Xenaki for providing their scripts.

Signal Transformations(3)

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TIME EFFICIENCY

Signal Transformations(4)

LLSU on the:

- 3. FFT transformed entire Image
 - ♦ DFT from Channel Domain → Frequency Domain
 - Reduce complexity to O(nlogn)
 - Perform FFT on the endmember matrix too
 - LLSU on the abs amplitude values of the Image Endmember vectors
 - ✤ LLSU Frequency Domain LLSU Untransformed
 - Does it give quantitatively the same results?
- 4. FFT on the Visible-VNIR part of the spectrum
 - # of endmembers is related to the dimension of the subspace occupied by measurements
 - Subspace identification ⇒ selection of bands with low SNR → for the Hyperion dataset VIS-VNIR

Signal Transformations(5)

LLSU on the:

- 3. FFT transformed entire Image
 - ♦ DFT from Channel Domain → Frequency Domain
 - Reduce complexity to O(nlogn)
 - Perform FFT on the endmember matrix too
 - LLSU on the abs amplitude values of the Image Endmember vectors
 - ✤ LLSU Frequency Domain LLSU Untransformed
 - Does it give quantitatively the same results?
- 4. FFT on the Visible-VNIR part of the spectrum
 - # of endmembers is related to the dimension of the subspace occupied by measurements
 - Subspace identification ⇒ selection of bands with low SNR → for the Hyperion dataset VIS-VNIR (a priori known) 63

Signal Transformations(6)

LLSU on the:

- 76 first bands
- Essentially a High-pass filter that enhances spectral details
- FFT on reduced bands computationally low-cost
- Reducing Gaussian Image noise
- Stripping effect remains (High Frequency noise)
- 5. Reduced Frequency Domain via FFT
 - Plot the FFT frequencies of the Endmember Matrix
 - *** 20 First** \rightarrow signal's major energy content
 - ♦ No significant variation over the $20^{th} \rightarrow$ **Dimensionality Reduction**
 - Comparable results with the FFT on entire image

ℵ TIME EFFICIENT NOISE REDUCTION

Signal Transformations(7)

LLSU on the:

- 76 first bands
- Essentially a High-pass filter that enhances spectral details
- FFT on reduced bands computationally low-cost
- Reducing Gaussian Image noise
- Stripping effect remains (High Frequency noise)
- 5. Reduced Frequency Domain via FFT
 - Plot the FFT frequencies of the Endmember Matrix
 - ✤ 20 First → signal's major energy content
 - ♦ No significant variation over the $20^{th} \rightarrow$ **Dimensionality Reduction**
 - Comparable results with the FFT on entire image

Signal Transformations(8)

LLSU on the:

- 6. IFFT transformed Image
 - ✤ From the diminished 20 freq. domain → 140 Channel domain
 - Return to the initial image through zero padding the FFT transformed image
 - Keep only the energy dominant bands
- Bilinear LSU on the:
- 7. Reduced Channel Domain
 - Exploit the FS reduced domain
 - Compute the Enhanced Endmember Matrix
 - Dot product of each endmember with the rest as Xi.*Xj = Endmember Correlation
 - Non-Linearity is induced by the enhanced Endmember matrix
 - Flexible model for multi-correlated LFs

TIME EFFICIENT
DIMENSIONALITY REDUCTION

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Signal Transformations(9)

✤ LLSU on the:

- 6. IFFT transformed Image
 - ***** From the diminished 20 freq. domain \rightarrow 140 Channel domain
 - Return to the initial image through zero padding the FFT transformed image
 - Keep only the energy dominant bands

Bilinear LSU on the:

- 7. Reduced Channel Domain
 - Exploit the FS reduced domain
 - Compute the Enhanced Endmember Matrix
 - Dot product of each endmember with the rest as Xi.*Xj = Endmember
 Correlation

Signal Transformations(10)

- Non-Linearity is induced by the enhanced Endmember matrix
- Flexible model for multi-correlated LFs
- Bilinear LSU on the:
- 8. Augmented Spectral Signatures Domain
 - Endmember matrix and Image spectral enhancement
 - Again compute the dot product of each of the bands = Band Correlation
 - Non-Linearity is induced by the augmented Endmember matrix

MF1 2007 1536 LF LS Abundance Map 2.4 [X,Y]: [225 863] Index: 0.9803 850 [R,G,B]: [0.1216 1 0.8745] 2 900 1.5 950 1 1000 0.5 1050 0 140 160 180 200 220 240 260







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LLSU Untransformed Abundance Maps



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