



1 *Conference Proceedings Paper*

# 2 **Measuring Earthquake Induced Deformation in** 3 **South of Halabjah (Sarpol-e-Zahab) Using Sentinel1** 4 **Data on November 12, 2017**

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10 **Abstract:** InSAR technology is a one of the powerful tool to measure deformation and/or deposition  
11 on the ground surface. In addition to that the mass movement can be monitored using Synthetic  
12 aperture radar interferometry (InSAR) techniques. The earthquake that occurred on 12 November  
13 2017 in South of Halabjah the magnitude of 7.2 caused 350 people to lost their lives and more than  
14 2,500 people were injured. The aim of this study is to measure the deformation due to the earthquake  
15 using “Interferometric Wide Swath” which is one of the four display types of Sentinel 1 data. In  
16 order to carry out this process, two type of data sets were used which are SRTM data and Sentinel  
17 1 images acquired on November 7 and 19, 2017. In this study, VV polarization with C band were  
18 used generate interferogram. During the study, SNAP 5.0 free image analysis and processing  
19 software by ESA. According to obtained results, minimum and maximum surface displacement  
20 were acquired as -0.45 and 0.49 meters. When comparing the results with faults, the results are  
21 appropriate for the tectonic structures. Using InSAR technologies with open source software and  
22 free data, it is possible to produce displacement maps just after the earthquake.

23 **Keywords:** InSAR, Sentinel 1, Halabjah

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## 25 **1. Introduction**

26 Earthquakes are one of the most destructive natural phenomena in the world. The rapid and  
27 accurate estimation of the location and the amount of deformation is an challenging task in  
28 geosciences. In addition, it is important for rescue operation [1,2]. InSAR technology is a one of the  
29 powerful tool to measure deformation and/or deposition on the ground surface. Sentinel-1 (A/B)  
30 data provides Synthetic Aperture Radar (SAR) images for investigating geohazard in all over the  
31 world [3]. Sentinel 1 provides and radar data having a range between 5 m to 22 m depend on the  
32 different products [4]. Before Sentinel 1 operating, there are some other SAR systems such as ERS-1  
33 and 2, Radarsat-1 and 2, ENVISAT and JERS. However, these sensor systems have complited their  
34 lives. Sentinel 1 can be easily accessible was launched on 2014 [5,6].

35 The destructive earthquake of magnitude 7.2 struck South of Halabjah (Sarpol-e-Zahab) on  
36 November 12, 2017. The oblique-thrust faulting at mid-crustal depth (almost 19 km) caused the  
37 earthquake. The preliminary mechanism solutions reveals the rupture happened on a fault dipping  
38 shallowly to east-northeast, or on a fault dipping steeply to the southwest. The Arabian plate moves  
39 towards the north at a rate of approximately 26mm/yr. The earthquake location is on this area [7].  
40 As a result of the two convergent palates which are Eurasia and Arabia, the Zagros mountains in

41 Iran was formed. This earthquake caused at least 452 people to lost their lives and thousands people  
42 were injured [8].

43 Due to this devastating earthquake, no healthy news was obtained and the extent of the damage  
44 to the area could be determined by remote sensing. The aim of this study is to measure the  
45 deformation due to the earthquake using “Interferometric Wide Swath” which is one of the four  
46 display types of Sentinel 1 data.

## 47 2. Study Area and Data Used

48 Study area is located in South of Halabjah which is the closest city of the earthquake epicenter  
49 that was located at 34.88°N and 45.84°E near the Iran–Iraq border (According to the National Center  
50 of Broadband Seismic Network of Iran). The most of the damages occurred in Sarpol-e Zahab, Qasr-  
51 e Shirin and Eslamabade-e gharb counties [9] (Figure 1).

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**Figure 1.** Study aera (from Google Earth)

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In this study main data source is Sentinel 1 data sets. Sentinel-1Asatellite was launched on April 3rd, 2014, by ESA [5,6]. In this study, a dataset that consists of four SAR having the C-band from S1A sensor of Sentinel satellite in TOPSmode [5] (Figure 2).

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During the study, image processing process were carried out using the open source software, SNAP 5.0 from ESA (European Space Agency) [10]. The study area is covered by the data, and assemble 3 slices of SAR data along tracks for better ground coverage. Figure 2 shows the quick look images of the used data sets.

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A pair of Sentinel-1A radar image acquired in TOPS mode on the descending track 6 were used as shown in Table 1. These datasets have the shortest temporal and perpendicular baselines available (Table 1).

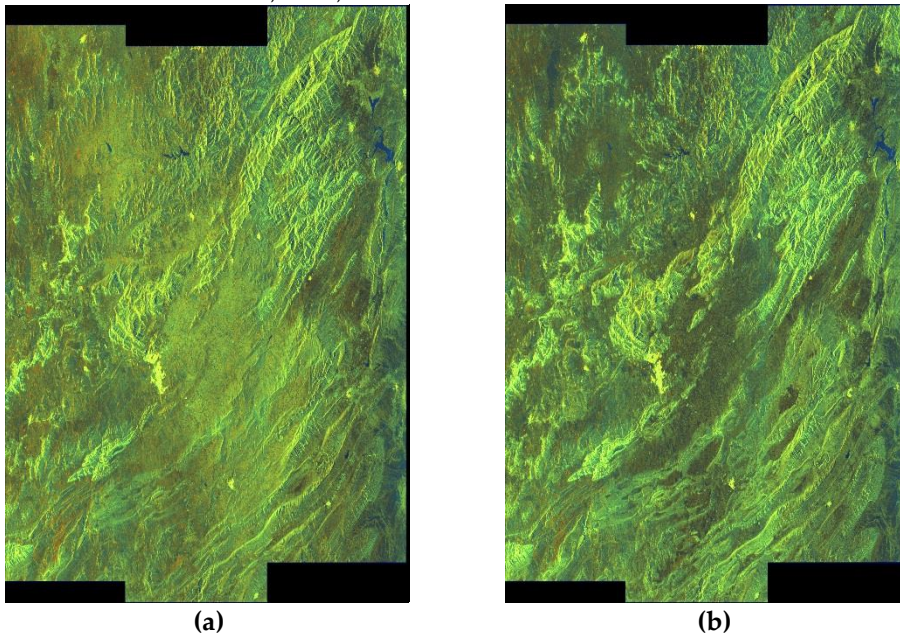


Figure 2. Sentinel-1 Data 20171107 (a), 20171119 (b)

Table 1. Detailed parameters of the Sentinel-1 data

Master-slave (yymmdd)	Orbit direction	Track	Incidence (degree)	Pixel spacing in slant range (m)	Pixel spacing in azimuth (m)	Wavelength (cm)
20171107-20171119	Descending	6	41-46	2.3	13.9	5.6

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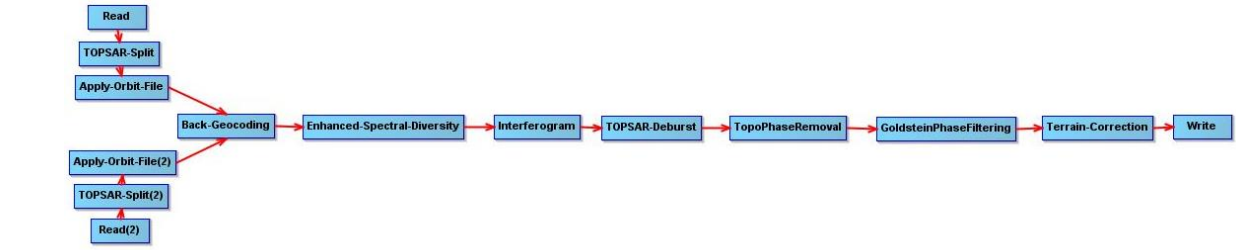
### 3. Methodology

This study can be categorized into four main processing steps which are coregistration of SAR images, InSAR data analysis, phase unwrapping, and finally displacement measurements. At the beginning of all process steps, the Sentinel-1 IWS SLC data sets can be acquired from the ESA's Sentinel Scientific Data Hub website. This product contains three sub-swaths ("burst SLC: Single Look Complex"). Figure 3 shows the processing steps of methodology. After radiometric calibration, the individual bursts can be merged into one Single Look Complex (SLC). Following the radiometric calibration, the individual bursts can be merged into one SLC. Next, resampling of SLC was performed with a reference SLC image. This can be called as rough coregistration process. This operation was generated with a consideration of the terrain topography.

#### 3.1. Coregistration of the Data Sets

Coregistration process is indispensable step for InSAR image processing. This is because, this step directly affects the resultant data. Accuracy of the coregistration can be obtained at a 1/100 azimuth pixel level [11]. The strong Doppler variations within each burst cause significant phase jump effects, even with coregistration accuracy at 1/100 pixel level in the azimuth direction.

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 90 **TOPS Coregistration**

91 **Figure 3.** Flow chart of the Sentinel-1 TOPS data coregistration.

92 *3.2. InSAR Data Analysis*

93 In order to generate interferogram, minimum two coregistered images are required. One image  
 94 is used for master and the other one(s) is/are used as the slave(s) [12]. The interferometric image was  
 95 created with cross multiplying the master image with the complex conjugate of the slave. while the  
 96 phase represents the phase difference between the two images, the amplitude of both images is  
 97 multiplied [12]. In this study, minimum time period of the two image data sets is 12 days. One is  
 98 before the earthquake and the other one is after earthquake [13].

99 *3.3. Phase Unwrapping*

100 Another important processing step is phase unwrapping which is related to interferogram  
 101 determination [14]. The information about the height is computed from the interferometric phase  
 102 using SAR analysis technique. This computation also maintains the generation of the Digital  
 103 Elevation Model (DEM) [15]. The fundamental observation is the 2-D phase signal which is one of  
 104 the absolute phase signal for interferometric applications [16].

105 The interferograms are smoothed with a power spectrum filter and then unwrapped using the  
 106 SNAPHU software. SNAPHU is a statistical-cost network-flow algorithm for phase unwrapping  
 107 developed at Stanford University by Curtis Chen and Howard Zebker [12].

108 *3.4. Displacement Measurements*

109 During the InSAR application, a variation in range the look direction is measured. However, this  
 110 measurement is not able to determine the 3-D displacement vector. In order to measure displacement  
 111 vector, additional information is required such as information from interferograms from both  
 112 ascending and descending satellite orbits or integrated data from multiple platforms which can be  
 113 defined as different satellite positions [15].

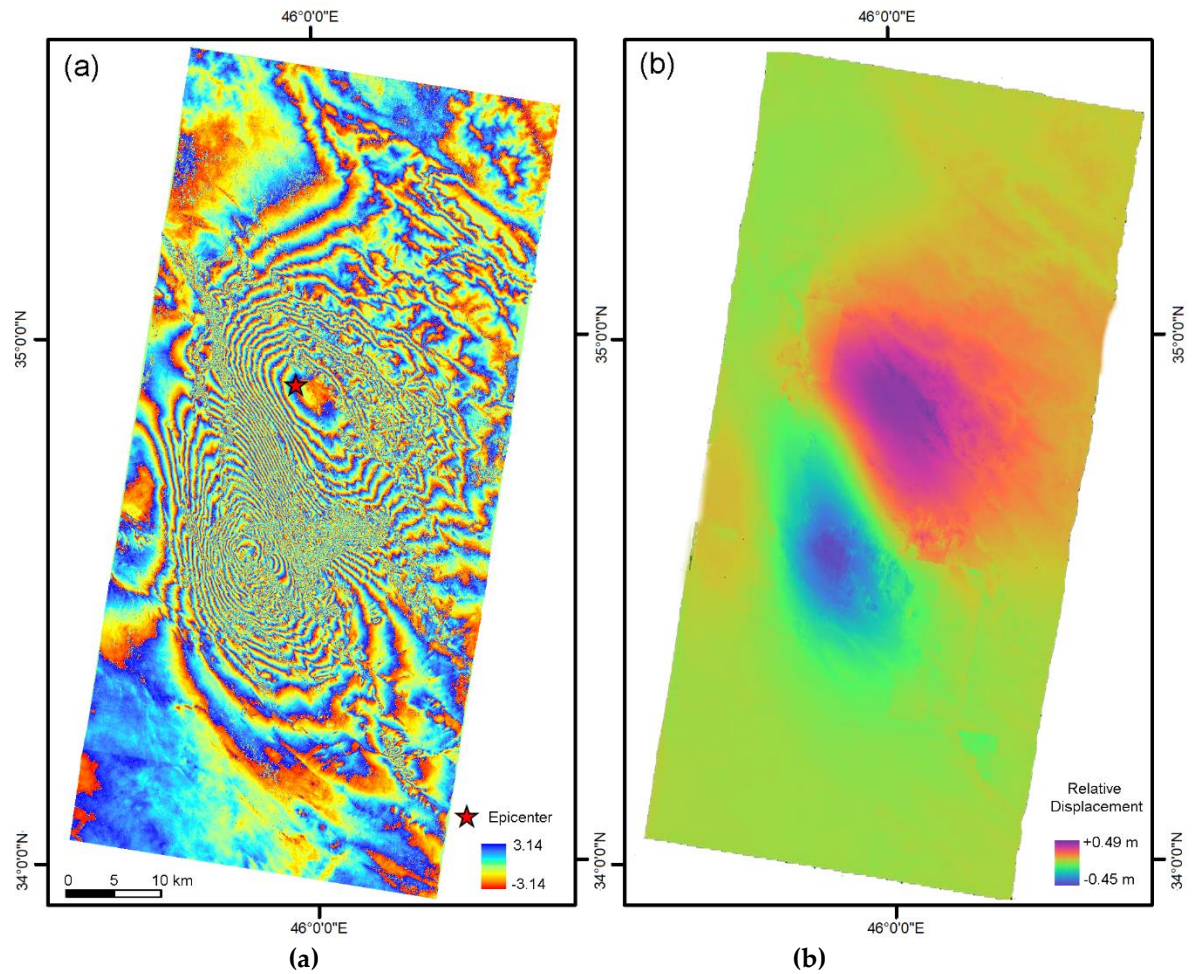
114 **4. Results and Discussion**

115 The interferogram and the deformation obtained from Sentinel-1 data sets on the study area is  
 116 given in Figure 4 (a) and (b). This results were obtained from a 12-day period as given in previously.  
 117 In Figure 4 (a), each rainbow color cycle shows the deformation fringes. It can be thought as  
 118 deformation contours. These color fringes are close to each other in the high deformation areas. As  
 119 can be seen from the Figure 4 (a), the fault line which results in earthquake can be clearly detected.

120 Finally, all the color fringes were unwrapped using image processing operation. In Figure 4 (b),  
 121 reddish and bluish colors show relative deformations. This figure also provides the thrust fault along  
 122 the study area. In the study, minimum and the maximum surface deformations were obtained as -  
 123 0.45 and 0.49 meters according to InSAR process. The obtained deformation amounts are directly  
 124 related about the distance of sensors. This measurements gives the distance differences between  
 125 sensors and the terrain. Even though this information is relative measurements, it gives us an idea

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about the spatial distribution about the measurements and the relative amount of the surface deformation.



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**Figure 4.** Geocoded Interferogram(a), Geocoded Unwrapped Phase (b)

## 131 5. Conclusions

132 In this study, Halabjah earthquake on November 12, 2017 were investigated using Sentinel-1  
133 images. The determining surface deformation is important task for earth scientists, planners and also  
134 rescue teams. This study was carried out using free data sets (i.e. Sentinel-1) by ESA. This is also  
135 important for quick response of producing scientific outputs.

136 The number of studies will be increased with the amount of high accuracy by increasing the  
137 number of free data sets for scientists and researchers in future. This type of various satellite images  
138 is required for determining and understanding our tectonically dynamic planet.

139

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