



1 *Conference paper*

# 2 **Synthesis and characterization of graphene oxide** 3 **derivatives via functionalization reaction with** 4 **hexamethylene diisocyanate**

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14 **Abstract:** Graphene oxide (GO), the oxidized form of graphene, shows unique properties such as  
15 strong mechanical strength, high electrical and thermal conductivity, amphiphilicity and surface  
16 functionalization capability that make it very attractive in various fields ranging from medicine to  
17 optoelectronic devices and solar cells. However, its insolubility in non-polar and polar aprotic  
18 solvents hinders some applications. To solve this issue, novel functionalization strategies are  
19 pursued. In this regard, the current study deals with the preparation and characterization of  
20 hexamethylene diisocyanate (HDI)-functionalized GO. Different reactions conditions were tested  
21 to optimize the functionalization degree (FD), and detailed characterization was conducted via  
22 Fourier-transformed infrared (FT-IR) spectroscopy to confirm the success of the functionalization  
23 reaction. The HDI-GO could further react with other organic molecules or polymers via the  
24 remaining oxygen groups, which makes them ideal candidates as nanofillers for high-performance  
25 GO-based polymer nanocomposites.

26 **Keywords:** graphene oxide; hexamethylene diisocyanate; functionalization degree;  
27 solubility; infrared spectroscopy

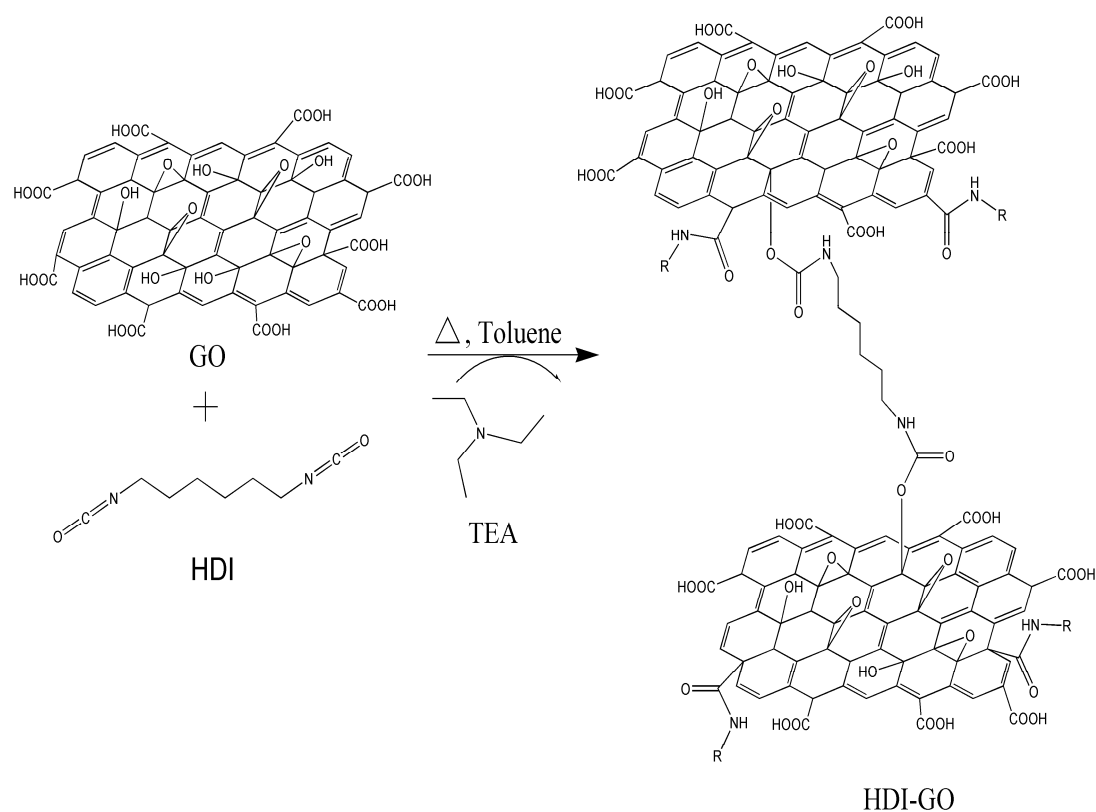
## 29 **1. Introduction**

30 Graphene (G), an allotrope of carbon like diamond, graphite and fullerenes, has attracted a lot  
31 interest in recent years both for fundamental studies and potential applications [1]. It is a flat,  
32 atomically thick 2D sheet composed of sp<sup>2</sup> carbon atoms arranged in a honeycomb structure. It  
33 presents superior electronic, thermal and mechanical properties, very large surface area and the  
34 highest electrical conductivity known at room temperature [2]. Its extremely high carrier mobility,  
35 broad absorption spectral range, high optical transparency and abundance make very attractive  
36 material in various fields ranging from medicine [3] or high-performance composites [4] to chemical  
37 sensors [5] and solar cells [6].

38 Several routes have been reported for the preparation of G, including chemical vapor  
39 deposition (CVD) of hydrocarbons onto transition metal surfaces, micromechanical exfoliation of  
40 graphite, epitaxial growth on electrically insulating substrates like SiC wafers, electrochemical  
41 intercalation, thermal exfoliation or chemical reduction of graphite oxide [7]. However, these  
42 approaches lead to a low production yield and are time consuming. Further, G is insoluble in  
43 common organic solvents, which hinders its applications. In this context, graphene oxide (GO),

44 originated from the exfoliation of graphite oxide or the chemical oxidation of G [8], has been studied  
45 in many researches as an alternative to G. The established advantages of GO in production yield and  
46 cost make it an attractive candidate as nanofiller in polymer composites. GO is a water-soluble  
47 nanomaterial since comprises epoxide, hydroxyl and carbonyl groups on the basal planes and  
48 carboxylic acids on the edges. Thus, upon sonication in aqueous media, it easily exfoliates and forms  
49 stable colloidal suspension due to its strong hydrophilicity [9]. However, the exfoliation of GO in  
50 organic solvents is hindered due to strong hydrogen bonding interactions between the adjacent GO  
51 layers that prevent the penetration of organic molecules into the interlayer spaces. The  
52 hydrophilicity of GO has been regarded as a drawback to develop high-performance polymer/GO  
53 nanocomposites in organic solvents. To solve this issue, novel functionalization strategies are  
54 pursued. An interesting approach is the isocyanate functionalization proposed by Stankovich et al.  
55 [10]. In their work, reactions between different organic isocyanates and the hydroxyl and carboxyl  
56 groups of GO were tested to reduce the amount of hydrogen bonds with donor groups on GO  
57 sheets, thus reducing the nanomaterial hydrophilicity. As a result, the isocyanate-treated GO  
58 samples could be exfoliated in some polar aprotic solvents such as N,N-dimethylformamide (DMF),  
59 after a mild ultrasonication. However, they could not be dispersed in non-polar solvents, and this  
60 still restricts its use in certain applications like polymeric-based solar cells.

61 In this regard, the present work focuses on the synthesis and characterization of hexamethylene  
62 diisocyanate (HDI)-functionalized GO. In our approach, GO was first prepared using a modified  
63 Hummers' method from flake graphite [8] and then reacted with HDI in the presence of  
64 triethylamine (TEA) as a catalyst to yield functionalized HDI-GO nanosheets (**Scheme 1**). Different  
65 reactions conditions were tested in order to optimize the functionalization degree (FD), and  
66 characterization was conducted to confirm the successful functionalization of HDI on the GO  
67 surface.  
68



69 **Scheme 1.** Schematic representation of the synthesis procedure of HDI-functionalized GO.

## 72 2. Results and Discussion

73 The reaction conditions, namely reaction temperature, reaction time, GO/HDI/TEA ratio,  
74 tip/bath sonication cycles and solvent volume, were varied in order to determine their effect on the  
75 product yield. The conditions of each experiment and nomenclature of the different HDI-GO  
76 samples obtained herein are detailed in **Table 1**.

77  
78 **Table 1.** Nomenclature and reaction conditions for the synthesis of the different HDI-GO samples.  
79

Sample	Reaction time (h)	Reaction temperature (°C)	GO/HDI/TEA weight ratio	Tip/Bath sonication time (min)	Solvent volume (ml)
GO	–	–	–	–	–
HDI-GO 1	12	60	1/1/1	0/120	25
HDI-GO 2	12	60	0.5/1/1	0/120	25
HDI-GO 3	48	60	1/1/1	0/120	25
HDI-GO 4	12	90	1/1/1	0/120	25
HDI-GO 5	12	60	1/1/1	5/120	50
HDI-GO 6	12	60	1/1/1	5+5+5*/120	50

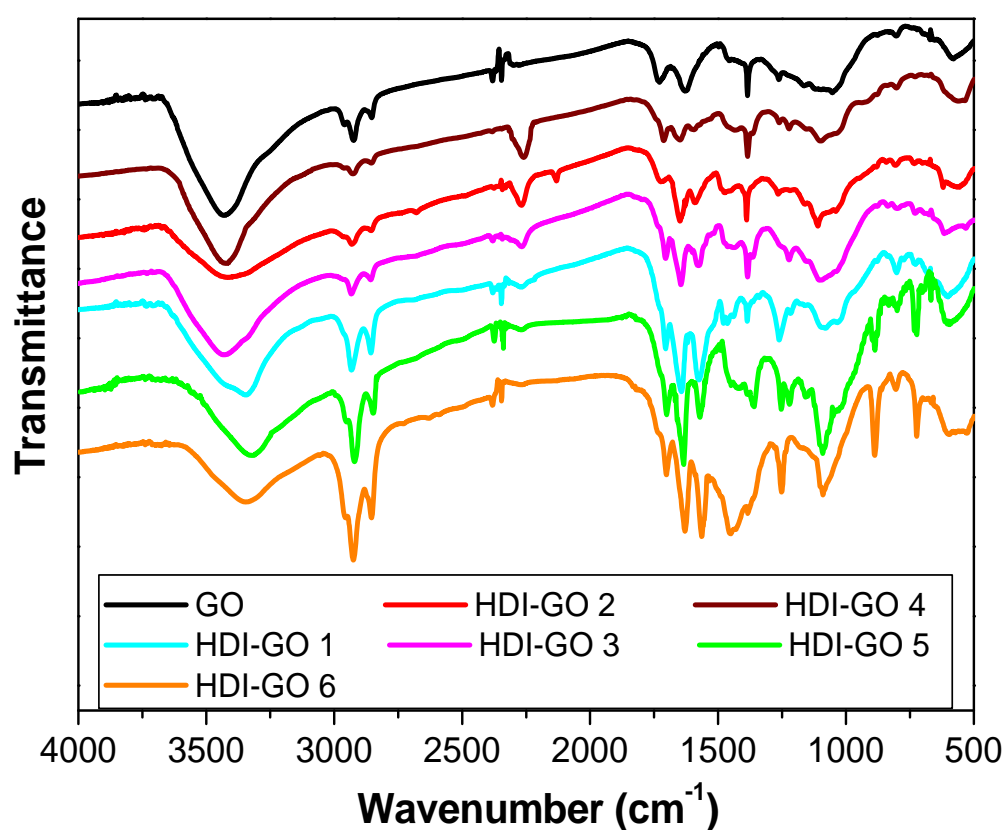
80 \*3 probe sonication cycles with 5 min of break between cycles

81

82 The chemical changes that occurred upon treatment of GO with HDI were monitored by FT-IR  
83 spectroscopy, since both GO and the derivatives display characteristic IR spectra (**Figure 1**). As  
84 mentioned earlier, GO contains epoxide and hydroxyl functional groups on both sides of its basal  
85 plane and carboxyl moieties at the edge sites. The most characteristic features in the IR spectrum of  
86 GO are the strong broad band centred at  $\sim 3430\text{ cm}^{-1}$  corresponding to the O–H stretching vibrations,  
87 the peaks at around  $2925$  and  $2845\text{ cm}^{-1}$  attributed to  $\text{sp}^2$  and  $\text{sp}^3$  C–H stretching bands produced at  
88 defects sites of the graphene network, the peak at  $\sim 1730\text{ cm}^{-1}$  arising from the C=O stretching of the  
89 carboxylic acid groups, the band at  $1620\text{ cm}^{-1}$  assigned to the aromatic C–C stretching, that at  $\sim 1400$   
90  $\text{cm}^{-1}$  corresponding to the O–H deformation [11] and the C–OH stretching at  $1260\text{ cm}^{-1}$  [3].

91 Upon treatment with HDI, the intensity of the O–H stretching band was reduced, decreasing  
92 gradually with increasing FD, and also shifted towards lower wavenumbers, due to the overlapping  
93 with the N–H stretching vibrations of the carbamate groups. Further, the peaks at  $2845$  and  $2925\text{ cm}^{-1}$   
94 originating from symmetrical and asymmetrical stretching vibrations of  $-\text{CH}_2-$  became more intense  
95 upon raising FD, due to increased number of methylene chains arising from the HDI. A new band  
96 appeared in the samples with low FD at  $2280\text{ cm}^{-1}$  ascribed to unreacted  $\text{N}=\text{C}=\text{O}$  group [12],  
97 suggesting the absorption/intercalation of the organic isocyanate between the GO flakes. However,  
98 this band can hardly be detected in the HDI-GO 5 and HDI-GO 6 samples, corroborating that the  
99  $-\text{N}=\text{C}=\text{O}$  groups of HDI reacted completely with the hydroxyl groups of GO. Further, the C=O

100 stretching appearing at  $1730\text{ cm}^{-1}$  in pristine GO is hidden by a new peak at  $\sim 1710\text{ cm}^{-1}$  ascribed to  
101 the C=O stretching of the carbamate ester groups [10]. This peak becomes more intense and shifts  
102 gradually towards lower wavenumbers with increasing FD. Besides, new intense bands can be  
103 observed at  $\sim 1648\text{ cm}^{-1}$  and  $1580\text{ cm}^{-1}$ ; the first can be assigned to the coupling of the C=O stretching  
104 with in-phase N-H bending and the second to the coupling of the N-H bending with the C-N  
105 stretching vibration [12]. These bands could originate from either amide or carbamate ester groups,  
106 although in the first case they typically appear at  $1660$  and  $1550\text{ cm}^{-1}$ , whilst for carbamate esters the  
107 bands are closer together due to the stronger  $\pi$ - $\pi$  interaction between the carbonyl group and the  
108 nitrogen lone pair electrons, and the amide II band appears at higher frequency [13]. These two  
109 peaks also turn out to be stronger and move to lower wavenumbers with increasing FD, which  
110 could be indicative of increased H-bonding interactions between carbamate esters closely located  
111 [14]. Another bands become visible at around  $1110\text{ cm}^{-1}$ , likely related to -C(=O)-O and C-N  
112 stretching vibrations of the carbamate groups, at  $885\text{ cm}^{-1}$  attributed to C-H out-of-plane bending  
113 vibrations of substituted aromatic rings and at around  $720\text{ cm}^{-1}$ , ascribed to the rocking of the  
114 methylene groups of HDI. On the basis of all the aforementioned observations, it can be concluded  
115 that GO was successfully functionalized with the organic HDI reactant and that the  
116 functionalization route via carbamate ester formation predominates.



137 **Figure 1.** FT-IR spectra of raw GO and the different HDI-GO samples

### 138 3. Materials and Methods

#### 139 2.1. Reagents

140 Natural graphite was obtained from Bay Carbon, Inc. (Michigan, USA).  $\text{H}_2\text{SO}_4$ ,  $\text{KMnO}_4$ ,  $\text{P}_2\text{O}_5$ ,  
141  $\text{K}_2\text{S}_2\text{O}_8$  and  $\text{H}_2\text{O}_2$  (30 wt % in water) were purchased from Sigma-Aldrich and used as received.

142 Hexamethylene diisocyanate (HDI, >99%,  $C_8H_{12}N_2O_2$   $M_w = 168.196$  g/mol) was acquired from Acros  
143 Organics). Triethylamine (TEA, >98%,  $N(CH_2CH_3)_3$ ,  $M_w = 101.193$  g/mol) was obtained from Fluka  
144 Analytical. All the organic solvents were HPLC grade and were purchased from Scharlau S.L.  
145 Toluene was dried and purified with a MBRAUN solvent purification system. Ultrapure water was  
146 obtained from a Millipore Elix 15824 Advantage 15 UV purification system.

## 147 2.2. Synthesis of graphene oxide (GO)

148 GO was prepared using a modified Hummers' method from flake graphite [3,8]. Briefly,  
149 graphite powder,  $H_2SO_4$ ,  $K_2S_2O_8$ , and  $P_2O_5$ , were heated at 80 °C for 5 h. After cooling, deionized  
150 water was added to the mixture and it was stirred overnight. The resulting product was then  
151 filtered, dried under air and oxidized again via addition of  $H_2SO_4$ ,  $KMnO_4$  and water in an ice-water  
152 bath. Following to dilution with water, excess  $KMnO_4$  was decomposed by addition of 30 wt %  $H_2O_2$   
153 aqueous solution and then 5 wt % HCl aqueous solution. The product was filtered again and  
154 purified by repeating the following cycle: centrifugation, removal of the supernatant liquid, addition  
155 of aqueous solution of  $H_2SO_4$  (3 wt %)/ $H_2O_2$  (0.5 wt %) and bath ultrasonication for 30 min at a power  
156 of 140 W. Then it was washed several times with deionized water and finally vacuum freeze-dried  
157 before use.

## 158 2.3. Synthesis of hexamethylene diisocyanate-functionalized graphene oxide (HDI-GO)

159 The whole process was carried out under inert atmosphere of argon in order to avoid  
160 contamination during the functionalization reaction. In a typical experiment, GO powder (c.a. 250  
161 mg) was weighed and loaded into a 100-mL round-bottom flask, followed by addition of dried  
162 toluene (25 mL) under Ar atmosphere. The suspension was then ultrasonicated in an ultrasonic bath  
163 for 2 h; in some experiments, the bath sonication was preceded by probe sonication cycles (5 min  
164 on/5 min off, 40% amplitude). The sonication conditions were chosen according to preliminary  
165 studies carried out in the group [15]. The GO dispersion was then transferred to a reactor equipped  
166 with mechanical agitator, thermometer and reflux condenser. Subsequently, TEA (c.a. 8.75 mL) and  
167 HDI (5 mL) were added dropwise via a dropping funnel. The mixture was heated to 60 °C and  
168 stirred at 350 rpm overnight under inert atmosphere. The resultant slurry reaction mixture was then  
169 poured into methylene chloride to coagulate the product, and finally filtered, washed thoroughly  
170 with methylene chloride and dried under vacuum to yield HDI-GO. A schematic representation of  
171 the synthesis procedure of functionalized HDI-GO is shown in **Scheme 1**.

## 172 2.4. Instrumentation

173 FTIR spectra were recorded with a Perkin Elmer Frontier FTIR spectrophotometer equipped  
174 with an Attenuated Total Reflection (ATR) sampling accessory. Spectra were recorded at room  
175 temperature, in the wavenumber range of 4000-500  $cm^{-1}$ , with an incident laser power of 1 mW and  
176 a minimum resolution of 4  $cm^{-1}$ . Prior to the measurements, the powder samples were mixed and  
177 ground with KBr, and the mixtures were then pressed into a round transparent pellet in a  
178 pellet-forming die.

## 179 4. Conclusions

180 Hexamethylene diisocyanate-functionalized graphene oxide (HDI-GO) derivatives with  
181 different functionalization degrees have been synthesized following a two-step approach: firstly, GO

182 was prepared using a modified Hummers' method from graphite, and secondly GO was treated  
183 with HDI in the presence of TEA catalyst to yield the modified nanomaterial. The FT-IR and Raman  
184 spectra corroborated the success of the reaction and that the functionalization route via carbamate  
185 ester formation predominated. Future work will focus on the reaction of HDI-GO with other organic  
186 molecules or polymers via the remaining oxygen groups, in order to develop high-performance  
187 GO-based nanocomposites.

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191 **Author Contributions:** A.M. Díez-Pascual conceived and wrote the paper. C. Gonzalez-Arellano and G. Maties  
192 designed the experiments, provided the reagents and the research facilities; J. A. Luceño-Sanchez performed  
193 the experiments; A.M. Díez-Pascual and J. A. Luceño-Sanchez analyzed the data.

194 **Conflicts of Interest:** The authors declare no conflict of interest.

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232

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