

1 Article

2 An integrated geoinformatics and hydrological 3 modelling-based approach for effective flood 4 management in the Jhelum Basin, NW Himalaya

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16 **Abstract:** In the present study, using static land system parameters such as geomorphology, land
17 cover and relief, we calculated water yield potential (RP) of all the watersheds of the Jhelum basin
18 (Kashmir Valley) using analytical hierarchy process (AHP) based watershed evaluation model
19 (AHP-WEM). The results revealed that among the 24 watersheds of the Jhelum basin, *Vishav*
20 watershed with the highest RP is the fastest water yielding catchment of the Jhelum basin followed
21 by *Bringi*, *Lidder*, *Kuthar*, *Sind*, *Madhumati*, *Rembiara*, *Sukhnag*, *Dal*, *Wular-II*, *Romshi*, *Sandran*,
22 *Ferozpur*, *Viji-Dhakil*, *Ningal*, *Lower Jhelum*, *Pohru*, *Arin*, *Doodganga*, *Arapal*, *Anchar*, *Wular-I*, *Gundar*,
23 and *Garzan* in case of same intensity storm event. The results were validated with the mean annual
24 peak discharge values of the watersheds and a strong positive correlation of 0.71 was found. Further,
25 for forecasting the floods in the watersheds having small lag time, such as in case of *Vishaw*, *Bringi*
26 and *Lidder*, we evaluated the performance of HEC-GeoHMS hydrological model to simulate stream
27 discharge during storm events. It was observed that the model performs well for august-september
28 period with strong positive correlation (0.94) between the observed and simulated discharge and
29 hence could be used as a flood forecasting model for this period in the region.

30 **Keywords:** HEC-GeoHMS; AHP-WEM model; Water yield potential; Water yield; Basin lag time;
31 GIS

34 1. Introduction

35 South Asia is at the brunt of climate change related disasters. India particularly, is witnessing
36 increased incidences of weather-related extreme events, such as floods, droughts and heat waves [1].
37 In September 2014, Kashmir the Northern Himalayan state of India, witnessed the most devastating
38 flood in the recorded history of the region. Since 2014, the flooding threats in this region have been a
39 recurring phenomenon every year [2]. The magnitude of this event crossed all bounds of the recorded
40 history of floods in the region not only in terms of discharge but also in terms of loss of life and
41 property [3-6]. The event has generated a scientific consensus for an alarming need of robust flood
42 mitigation strategy for the Kashmir region. Such a problem statement for the region requires
43 extensive data for three stages of research. First is the estimation of the contribution of the storm
44 events within each of the 24 watersheds towards the discharge of the Jhelum River. For this, a dense

45 network of automatic weather stations is required in each of the 24 watersheds of the Jhelum basin.
46 The real time data can serve as input in the chosen calibrated hydrological model of the region. The
47 model will reveal the peak of concentration or basin lag time that will serve as warning for the
48 downstream regions. Further, such a setup would also help in assessing the comparative basin lag
49 times of Jhelum watersheds, thus helping in prioritizing the watersheds for constructing hydraulic
50 structures that could help in extending the peak concentration, so that rapid concentration of water
51 in the Jhelum river resulting in the huge wave of water to promulgate, as has been witnessed in the
52 September 2014 floods, is delayed [3]. The third important step is the vulnerability assessment of the
53 Jhelum basin, so that a final plan is drafted where people could be desisted from building structures
54 in the flood prone areas or those who are already living in them could be resettled in safer zones [4-
55 6].

56 Considering the gravity of the situation and the topographic complexity of the region, there was
57 a need for an immediate flood assessment that could serve as a starting step of the mitigation strategy.
58 The present research addresses the issue of prioritization of the watersheds for the hydrological
59 response that could reveal, which watersheds of the Jhelum basin need immediate hydraulic or other
60 overland flow (surface run-off) management strategies. This could be achieved with the more
61 sophisticated methodology as discussed above or there could be an alternative empirical model
62 developed, based on the geomorphology of the Jhelum basin. There is quite a good amount of
63 literature on the relationships between geomorphological indices and the hydrological response.
64 There is some more research to be cited here. Altaf et al (2012) assessed the hydrological response of
65 the sub-watersheds of the west-Lidder watershed [7]. This study, on the basis of morphometric
66 parameters evaluated the comparative hydrological response of the sub-watersheds and suggested
67 which of the sub-watersheds of the 14 sub-watersheds of west-Lidder watershed shows quick
68 hydrological response in the occurrence of a storm event. Meraj et al. (2015) assessed the
69 comparatively hydrological response of the two watersheds of the Jhelum basin. This study has
70 evaluated a semi-quantitative index called total run-off score (*TR*), based on the collective impact of
71 morphometric parameters, land-cover, and slope categories on the hydrological response of the
72 Lidder and Rembiara watersheds [5, 6].

73 In the present study, using static land system parameters such as geomorphology, land cover
74 and relief, we calculated comparative water yield potential (RP) of all the watersheds of the Jhelum
75 basin (Kashmir Valley) using analytical hierarchy process (AHP) based watershed evaluation model
76 (AHP-WEM) [8]. Further we also tested the use of HEC-GeoHMS hydrological model for using it as
77 flood forecasting model for the region [9]. We also generated map of the locations wherein flood
78 structural measures could be constructed as a management strategy to increase the lag time of the
79 rapid water yielding watersheds.

80 2. Results

81 We used an integrated geoinformatics and hydrological based approach in order to holistically
82 address the flooding problem in the Jhelum basin. Geoinformatics helped in the deducing the highest
83 water yielding watersheds of the Jhelum basin using analytical hierarchy process (AHP) based
84 watershed evaluation model (AHP-WEM). To come up with a flood forecast model for the Jhelum
85 basin we evaluated the performance HEC-GeoHMS hydrological model. Finally, we used GIS based
86 overlay analysis to find the locations for the construction of structural measures for managing floods
87 in the affected watersheds. These results are shown below.

88 2.1. Analytical hierarchy process (AHP) based watershed evaluation model (AHP-WEM)

89 2.1.1. Watershed morphometrics and land cover of Jhelum basin watersheds

90 Initially, we calculated 23 morphometric parameters to compensate for geomorphology and
91 relief of the 24 watersheds of the Jhelum basin. In order to reduce the redundancy in the information,
92 we performed multivariate analysis on the data and as such 7 parameters were inferred that
93 represented all the morphometric information of the watersheds [8]. For land cover, we generated 8

94 land cover categories governing in part, the hydrology of the Jhelum basin. The results revealed that
 95 among the 24 watersheds of the Jhelum basin, *Vishav* watershed with the highest runoff potential is
 96 the fastest water yielding catchment of the Jhelum basin followed by *Bringi, Lidder, Kuthar, Sind,*
 97 *Madhumati, Rembiara, Sukhnag, Dal, Wular-II, Romshi, Sandran, Ferozpur, Viji-Dhakil, Ningal, Lower*
 98 *Jhelum, Pohru, Arin, Doodganga, Arapal, Anchar, Wular-I, Gundar,* and *Garzan* in the situation of same
 99 intensity storm event. (Table 1, Figure 1).

100 **Table 1.** Water yield potential categorization of Jhelum basin watersheds on the basis of AHP-WEM
 101 results

S no.	Watershed	AHP-WEM TR Score	Water yield	S no.	Watershed	AHP-WEM TR Score	Water yield
1	Garzan	13.03	Low	13	Sandran	21.36	High
2	Gundar	15.99	Low	14	Romshi	21.63	High
3	Wular I	18.11	Medium	15	Wular II	22.37	High
4	Anchar	18.83	Medium	16	Dal	22.53	High
5	Arapal	18.83	Medium	17	Sukhnag	22.83	High
6	Doodganga	19.13	Medium	18	Rembiara	23.33	High
7	Arin	19.38	Medium	19	Madhumati	23.48	High
8	Pohru	19.62	Medium	20	Sind	23.86	High
9	Lower Jhelum	20.11	Medium	21	Kuthar	24.65	Very high
10	Ningal	20.35	Medium	22	Lidder	25.48	Very high
11	Viji-Dhakil	20.43	Medium	23	Bringi	26.02	Very high
12	Ferozpur	20.60	High	24	Vishav	28.09	Very high

102 2.1.2. Validation of AHP-WEM

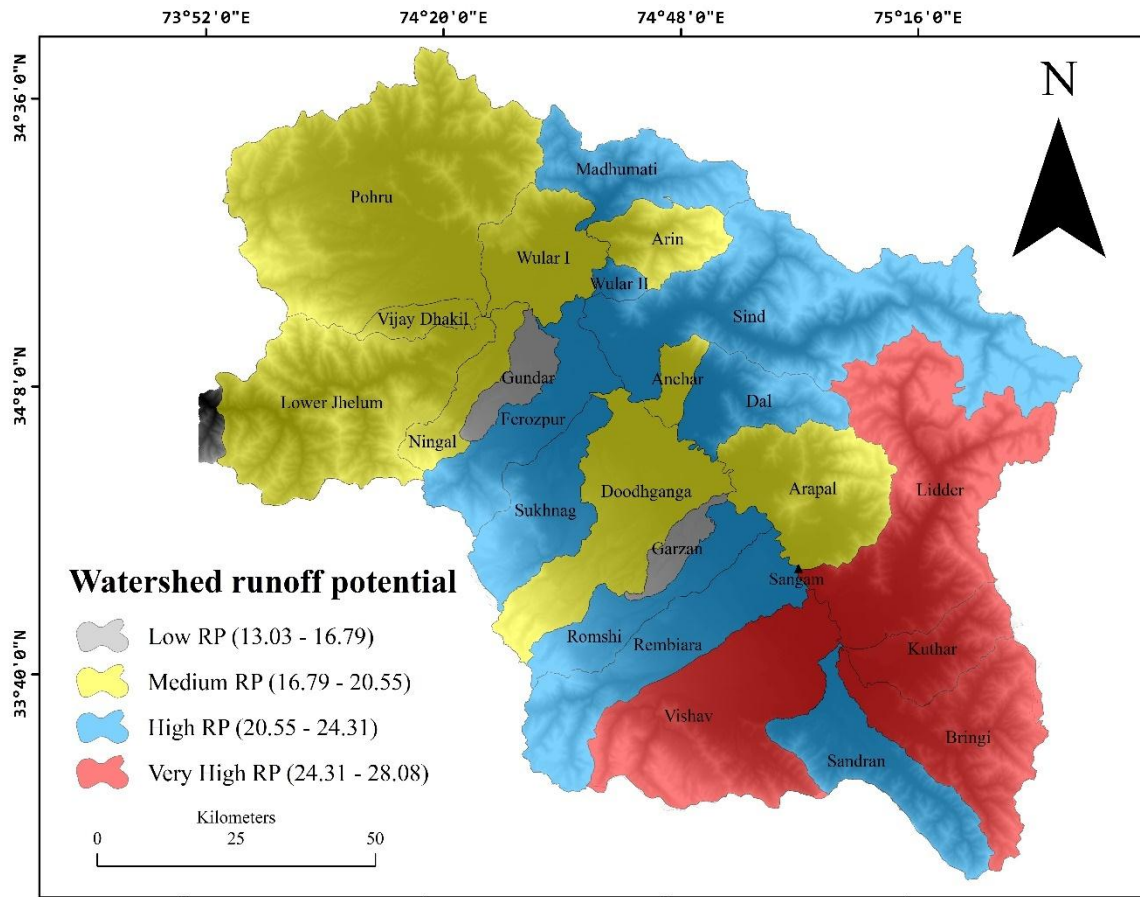
103 For validating AHP-WEM results, we correlated the total water yield potential of the watersheds with
 104 the mean annual peak discharge (MAPD) values of the watersheds of 30 years. The results showed
 105 strong positive correlation of 0.71 between the modelled water yield potential and MAPD values of
 106 the watersheds (Figure 2).

107 2.2. HEC-GeoHMS hydrological model simulations

108 We evaluated the performance of the HEC-GeoHMS model as a possible flood forecasting model for
 109 the Jhelum basin. It was observed that the model performs well for august-september period with a
 110 strong positive correlation of 0.94 ($r^2 = 0.88$), between the observed and simulated mean monthly
 111 discharge in the validation period (Aug-Sept, 2006-2016) (Figure 3). The model was run at Sangam
 112 discharge station which covers *Vishav, Bringi, Lidder, Kuthar* and *Sandran* watersheds of the Jhelum
 113 basin for a period of 21 years (1995-2016) (Figure 1). The results inferred that this model is one of the
 114 good models freely available to the flood forecasters, when realtime precipitation is available, to give
 115 early warning and prevent disaster in the region.

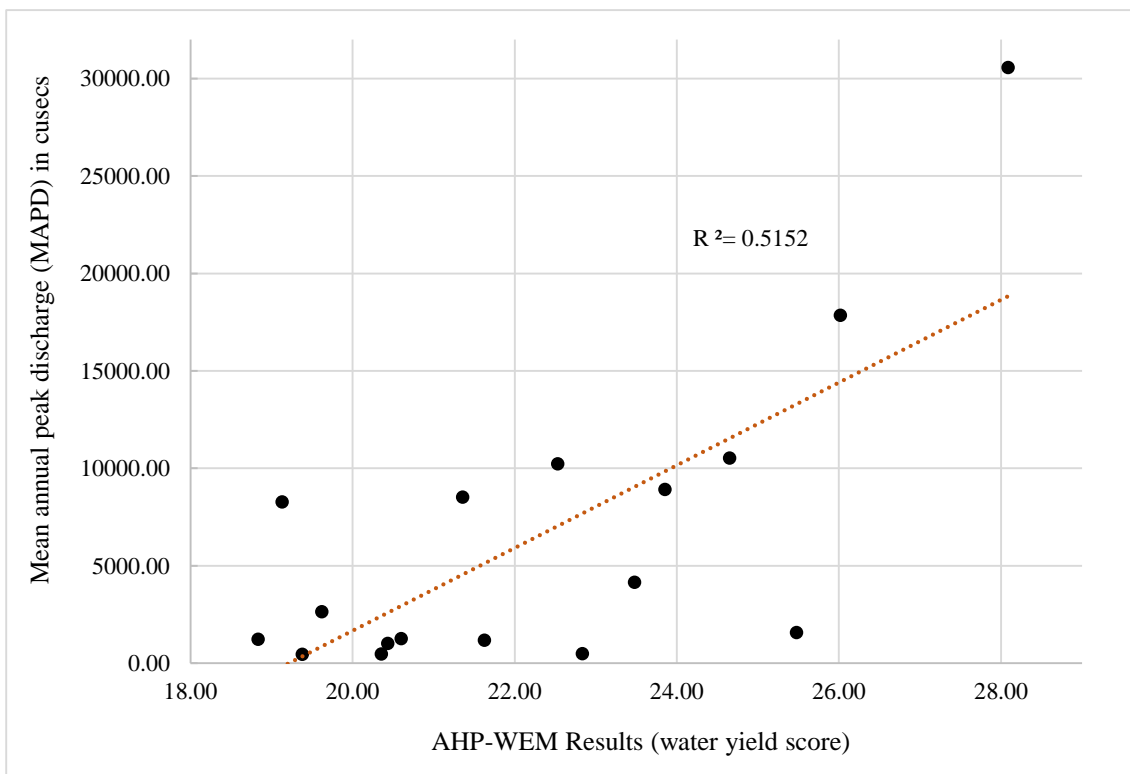
116 2.3. GIS overlay analysis for structural measures location determination

117 Using slope, discharge density and land cover information of the high water yielding watersheds,
 118 locations were determined for constructions of piano key-wiers and check dams as a management
 119 practice, to delay surface runoff during heavy rains through GIS based overlay analysis. Finally,
 120 location map was generated, showing areas where structural measures must be setup to increase the
 121 basin lag time of the very high water yielding watersheds.



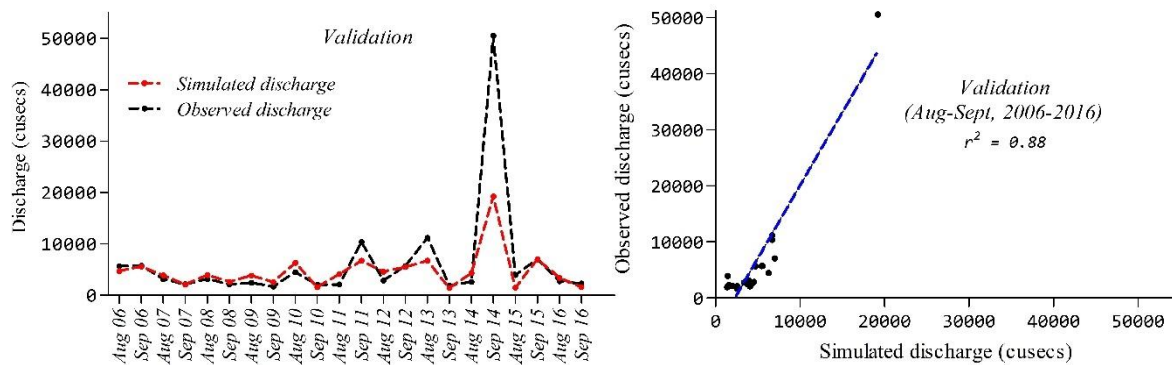
122

123 **Figure 1.** Comparative water yield potential categories of the Jhelum basin watersheds



124

125 **Figure 2.** Scatterplot of MAPD and AHP-WEM results



126

127 Figure 3 HEC-GeoHMS results of the validation period (Aug-Sept), 2006-2016

128 **3. Discussion**

129 The AHP-WEP model generated for this study, uses the drainage characteristics and land cover
 130 information of the watersheds for characterizing their water yield potential. The drainage system
 131 represents the geomorphology and lithology of the watershed very well [10]. Further, the type and
 132 distribution of land cover (LC) has a direct control on the ambient soil moisture, infiltration, evapo-
 133 transpiration and interception processes of the hydrological cycle and thus has a direct control over
 134 the overland flow. It is the land cover that is the major causal factor behind the frequency and
 135 occurrence of the floods in any region [11]. In this study, morphometry and LC of all the Jhelum basin
 136 watersheds were used to understand their comparative water yield potential. It was observed that
 137 south Jhelum watersheds (South Kashmir) have very high water yield potential, that results them
 138 being very fast in discharging their water, after a heavy downpour. This is one of the reasons, behind
 139 initial heavy flooding of south Kashmir villages, prior to overall flooding of the whole Kashmir valley
 140 during 2014 deluge. HEC-GeoHMS hydrological model was used to infer its applicability for near
 141 real-time flood forecasting at *Sangam* where almost all the very high water yielding watersheds
 142 collate (Figure 1). Model calibration was performed for a range of parameters such as CN and
 143 Muskingum. After lot of initial calibrations, the model was set up at $r^2 = 0.87$ for calibration and $r^2 =$
 144 0.88 for validation. Further, since for effective flood management, it is necessary that flood control
 145 structural measures are set up at locations where abrupt inflow of water could be managed to delay
 146 the concentration of water at the downstream locations for early warning and evading the disaster.
 147 For this purpose drainage density and land cover layers were used to deduce such locations using
 148 overlay analysis. Areas with heavy drainage density and vulnerable land cover such as impervious
 149 surfaces and degraded land, were ranked high in the analysis [12].

150 **4. Materials and Methods**

151 The comparative water yield potential of the 24 watersheds of the Jhelum basin was evaluated
 152 from the analysis of the morphometric indices and the land cover of the basin watersheds in an AHP
 153 based watershed evaluation model (AHP-WEM). We used survey of India (SOI) topographic maps
 154 (1:50,000 scales), Indian Remote Sensing (IRS) P6 Linear Imaging Self-Scanning (LISS III) data with
 155 23.5-m spatial resolution of October 21, 2008, and Advanced Space-borne Thermal Emission and
 156 Reflection Radiometer (ASTER) 30-m resolution Digital Elevation Model (DEM) in AHP-WEM
 157 model. For HEC-GeoHMS, soil maps from the National Bureau of Soils Sciences & Land Use Planning
 158 (NBSS&LUP) at 1:250,000 served as base line data. Daily rainfall for years, 1995 till 2016 of *Kokernag*,
 159 *Qazigund* and *Pahalgam* stations, and mean monthly discharge data for the same period at *Sangam*
 160 station was used for setting up the model.

161 The AHP-WEM model is based on the below equations:

162 In AHP the normalized principal eigen vector that is used as an weighting coefficient for the
 163 analysis is calculated using following formula

164

$$W_i = \sum_{i=1}^n i/N$$

165

Where,

166

W_i is the principal eigen vector or the weighting coefficient

167

i = parameter

168

N = total no. of parameters

169

In order to make sure that the original preference or ratings are consistent, Saaty (2000) devised consistency index (CI) and consistency ratio (CR) defined by the following formulae

170

$$CI = \frac{\lambda_{max} - n}{n - 1}$$

173

172

$$CR = \frac{CI}{RI}$$

175

174

176

177

Where,

178

λ_{max} is the average of the consistency measure of all the parameters

179

n is the total number of the parameters in a matrix

180

RI is the random consistency index is developed by Saaty (1990, 2008) for different matrix orders from 1 to 15. CR must be less than 0.1 for a matrix to be consistent. In the present study CR calculated equalled to 0.8 for both morphometry and land cover matrices and shows that the ratings used in the pairwise comparison matrix are consistent [13, 14, 15].

181

182

183

184

185

The water yield potential equation (AHP-WEM) is summed up as follows

186

187

$$RI = \sum_{i=1}^n WRS_i$$

189

$$WRS_i = W_i \cdot RS_i$$

188

Where,

190

RI = Run off index of the watershed and is the sum of both morphometric and land cover parameters

191

192

WRS_i = AHP weightage based score of a parameter of watershed

193

194

W_i = Pairwise comparison derived weight of the parameter

195

196

RS_i = Run off score of the watershed for given parameter

197

198

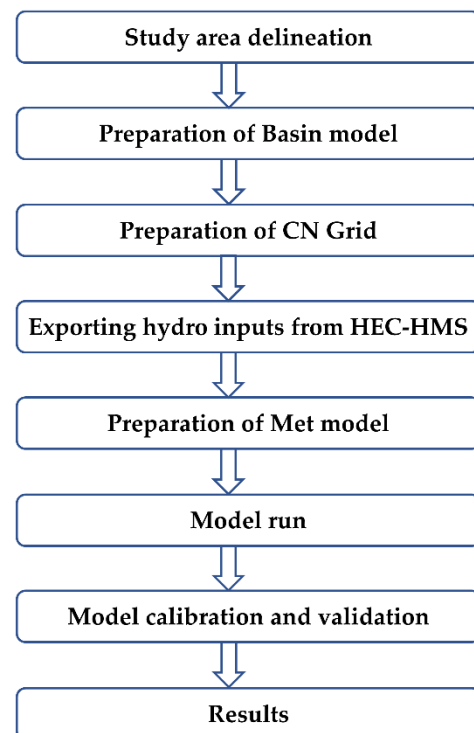
n = Number of parameters of the watershed.

199

The overall methodology of the HEC-GeoHMS model is shown in Figure 4

200

201



202

Figure 4. HEC-GeoHMS methodology included basin model generation and preparation of the CN grid followed by met model preparation.

203

204

205

5. Conclusions

206

The three tier strategy used in this work starting from determining, comparatively the highest water yielding watersheds to, finding the effective and efficient locations for the structural flood control measures, shall pave way to the disaster managers of the region for dealing the recurring floods of the region. The very high water yielding watersheds have to be managed on priority basis and a dense network of automatic weather stations has to set up for near real time flood forecasting

207

208

209

210

211 using HEC-GeoHMS model. The integrated use of geoinformatics and hydrological modeling in this
 212 study has focused on the holistic flood management of the Jhelum basin and has also paved way for
 213 further research in this area.

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224 **Author Contributions:** Gowhar Meraj did the AHP-WEM analysis, prepared the maps and wrote the
 225 manuscript. Tanzeel Khan performed the HEC-GeoHMS modelling Bashir Ahmad Sheikh assisted in that.
 226 Shakil Ahmad Romshoo, Majid Farooq and Kumar Rohitashw conceptualized the methodology and helped in
 227 the preparation of the manuscript.

228 **Conflicts of Interest:** The authors declare no conflict of interest.

229 Abbreviations

230 The following abbreviations are used in this manuscript:

231 AHP: Analytical Hierarchical Process
 232 WEM: Watershed Evaluation Model
 233 HEC-GeoHMS: Hydrologic Engineering Center – Geographic Hydrologic Modeling System
 234 MAPD: Mean annual peak discharge
 235 GIS: Geographic Information System
 236 SOI: survey of India
 237 IRS: Indian Remote Sensing Satellite
 238 ASTER: Advanced Space-borne Thermal Emission and Reflection Radiometer
 239 DEM: Digital Elevation Model
 240 NBSS&LUP: National Bureau of Soils Sciences & Land Use Planning

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