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## 2 Fusion of UAVSAR and Quickbird data for Urban 3 Growth Detection

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14 **Abstract:** Urban areas are rapidly changing all over the world and therefore, continuous mapping  
15 of the changes are essential for urban planner and decision makers. Urban changes can be mapped  
16 and measured by using remote sensing data and techniques along with several statistical measures.  
17 The urban scene is characterized by very high complexity, containing objects formed from different  
18 types of man-made materials as well as natural objects. The aim of this study is to detect the urban  
19 growth which can be further utilized for urban planning. Although high-resolution optical data can  
20 be used to determine classes more precisely, it is still difficult to distinguish classes such as  
21 residential regions with different building type due to spectral similarities. SAR data provide  
22 valuable information about the type of scattering backscatter from an object in the scene as well as  
23 its geometry, and its dielectric properties. Therefore, the information obtained using the SAR  
24 processing is complementary to that obtained using optical data. This proposed algorithm has been  
25 applied on multi sensor dataset consisting of the optical QuickBird images (RGB) image and full  
26 polarimetric L-band UAVSAR images data. After preprocessing data, the coherency matrix (T), and  
27 Pauli decomposition are extracted from multi temporal UAVSAR images. Next, SVM (Support  
28 vector machines) classification method is applied to the multi-temporal features in order to generate  
29 two classified maps. In the next step, post classification based algorithm was used for generating  
30 the change map. Finally, the results of the change maps are fused by majority voting algorithm to  
31 improve the detecting of the urban changes. In order to clarify the importance of using both optical  
32 and polarimetric images, the majority voting algorithm was also applied to change maps of optical  
33 and polarimetric images, separately. In order to analyze the accuracy of the change maps, the  
34 ground truth change and no-change area that gathered by visual interpretation of Google earth  
35 images were used. After correcting the noise generated by the post-classification method, the final  
36 change map was obtained with an overall accuracy of 89.81% and Kappa of 0.8049.

37 **Keywords:** Synthetic aperture radar (SAR), High resolution optic image, Multi-temporal analysis,  
38 Change detection, Support vector machines, Fusion.

### 39 1. Introduction

40 An urban area is a location characterized by high population density and many built-up features  
41 in comparison to the areas surrounding it [1]. Due to the expansion of urbanization over the past few  
42

43 decades, changes in the urban area are evident through the application of change detection  
44 techniques. Urban change detection is used for urban planning. Using different methods of change  
45 detection and applying them to radar and optical data has advantages and disadvantages. Combining  
46 these methods and data sets can allow us to overcome their disadvantages and complement each  
47 other. For this purpose, in this paper, a decision-level fusion method based on the majority voting  
48 algorithm is proposed to combine the change maps made by different methods applied to two optical  
49 and polarimetric data sets.

50 It has been stated that due to the ability of radar satellite to acquire data in every pass and  
51 regularly, SAR data are suitable for analyzing the changes. By using optical sensors with moderate  
52 resolution such as Landsat in urban areas, many of man-made features spectrally appear similar.  
53 Also, optical sensors with high resolution such as QuickBird are not efficient in discriminating among  
54 man-made objects which are constructed by using different materials [2]. On the other hand, several  
55 of these objects can be distinguished based on their geometrical and dielectrical properties by using  
56 Radar images. Walls of buildings for example have relatively strong backscattering signal due to the  
57 corner reflectors as these wall oriented orthogonally on the radar look direction while surface of bare  
58 soil has low backscattering signal because it acts as a specular surface which reflects the signal away  
59 from the radar [3].

60 Post-classification change detection is carried out after classification into land cover or land use.  
61 In this method of change detection, the classification results of two imageries are compared. Because  
62 of that the accuracy of postclassification change detection is strongly depends on the accuracy of  
63 classification [4]. The post classification change detection results contained large amount of noise due  
64 to classification errors of individual images [5].

65 In 2008, Sanli et al. [6] determined land use changes using polarimetric and optical images and  
66 monitored their environmental impacts. In this research, the urban development of the Admiralty  
67 region in Turkey between 1971 and 2002 was determined using remote sensing techniques. To  
68 improve the accuracy of land use/cover maps, the contribution of SAR images to optic images in  
69 defining land cover types was investigated. Landsat-5 and Radarsat-1 were fused to prepare the land  
70 use map for 2002. Comparisons with the ground truth reveal that land use maps generated using the  
71 fuse technique are improved about 6% with an accuracy of 81.20%.

72 In 2012, Longbotham et al. [7] conducted research on the discovery of flooded areas by fusing  
73 optical and polarimetric images with high spatial resolution. In this research, the goal was not only  
74 to identify the best algorithms (in terms of accuracy), but also to investigate the further improvement  
75 derived from decision fusion. The goal was not only to identify the best algorithms (in terms of  
76 accuracy), but also to investigate the further improvement derived from decision fusion. The method  
77 applied is the majority voting algorithm. The majority voting will improve all the results provided.  
78 The statistical significance of the change detection maps was evaluated with the McNemar test and  
79 all the results were statistically significant (to the 95% confidence level).

80 In 2014, Mishra and Susaki [8] applied Landsat, PALSAR and AVNIR-2 images between 2007  
81 and 2011 to automatically detect patterns of change using optical and SAR data fusion. The  
82 experiment was carried out in an outskirts part of Ho Chi Minh City, one of the fastest growing cities  
83 in the world. The improvement of the change detection results by making use of the unique  
84 information on both sensors, optical and SAR, is also noticeable with a visual inspection and the  
85 kappa index was increased by 0.13 (0.75 to 0.88) in comparison to only optical images.

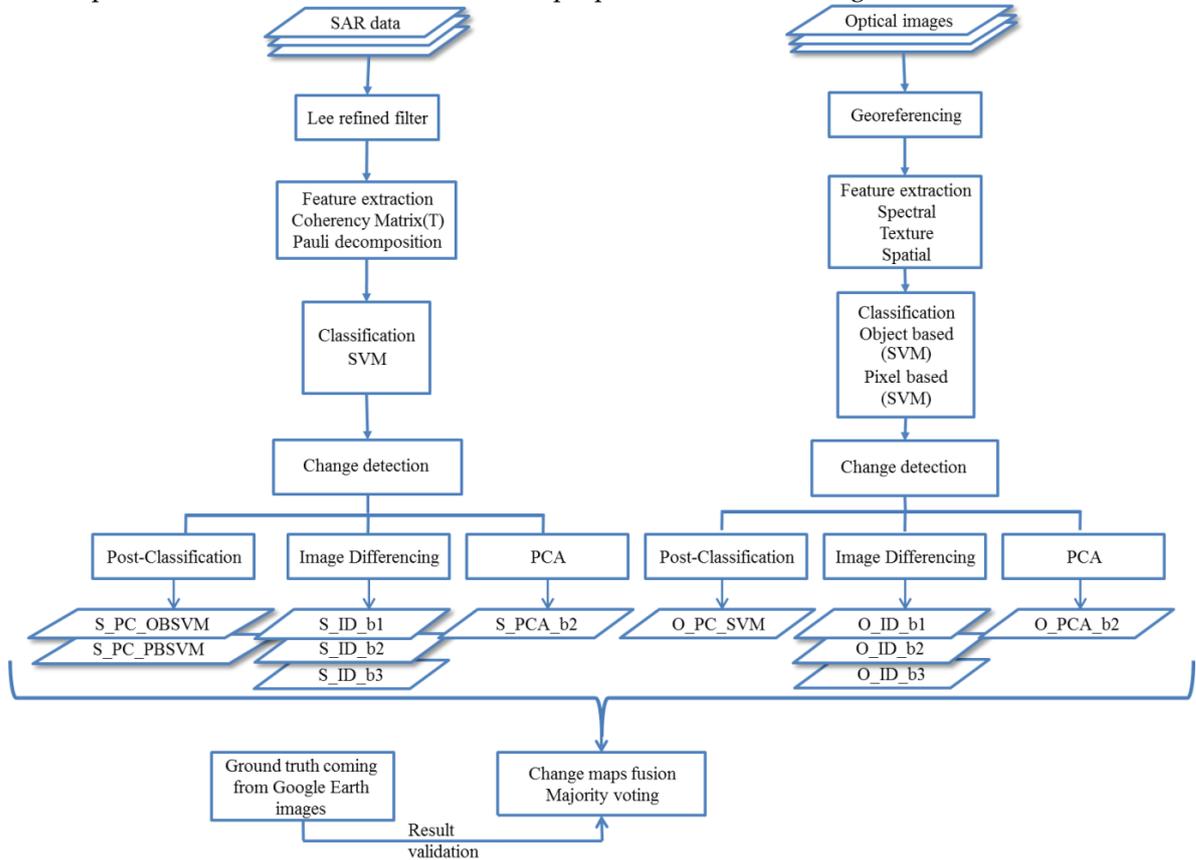
86 Optical images have been applied independently in most studies to detect urban changes or  
87 determine urban growth. Improvements have been made in research that exploits both optical and  
88 radar data. In this research, a new Post-Classification approach has been presented to extract urban  
89 land use/cover changes information from UAVSAR imagery. Also, the change maps obtained from  
90 optical and polarimetric images with high spatial resolution were fused together to achieve better  
91 results.

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## 2. Experiments

After applying the necessary preprocessing, optical and polarimetric data are classified using the SVM algorithm and then the change detection is performed using a number of conventional methods. After obtaining the change maps, they are fused with the majority voting method and the final change maps is obtained.

In order to improve the accuracy of the urban change detection and the application of both optical and polarimetric data, a suitable method is proposed, as shown in Figure 1.



**Figure 1.** The proposed framework of the change detection algorithm

For a preliminary validation of the proposed CD strategy, we investigate two datasets from Oakland in California. In the period 2010 to 2017, the region was affected by urban expansion, deforestation and land use change. We consider the pair of SAR data acquired by the UAVSAR satellite mission and the pair of optic data acquired by the QuickBird satellite over the region in 2010 and 2017, respectively, as the input dataset. Table 3 lists the data specification such as acquisition date (before and after changes), band specifications and spatial resolution.

**Table 1.** Data specification

Dataset	Acquisition date (before changes)	Acquisition date (after changes)	Band	Spatial resolution (m)
QuickBird	06.06.2010	12.03.2017	R,G,B	0.75 x 0.6
UAVSAR	23.04.2010	03.04.2017	L-Band(Fully polarimetric)	6.2 x 6.2 (GRD)

Change detection in urban areas is difficult due to the high degree of similarity between some classes, including bare land, building and road. The probability of an error in the separation between

112 these ground cover classes increases because of the high spectral similarity between these classes and  
113 the low number of bands. In this research, the feature extraction is used to increase the number of  
114 bands and the ability to separate classes. For each data set used in this study, different features are  
115 produced. Spectral, textual and spatial features can be used to improve the separation of classes in  
116 optical data due to limitations in the number of bands, and the provision of indices such as NDVI is  
117 not possible due to lack of NIR bands. The features provided for polarimetric data are the coherency  
118 matrix and Pauli target decomposition. Coherency matrix elements are applied to classify  
119 polarimetric images. Pauli target decomposition is used to detect changes by image differencing and  
120 principal components analysis methods.

121 After providing the multi-temporal optical and polarimetric images, the optical images were  
122 coregistered to each other and the refined Lee filter is used to reduce the noise in the polarimetric  
123 data. Two classification methods for optical images are applied. In the pixel based method, the train  
124 sample for the five classes for each date is selected separately and then classified using the support  
125 vector machine algorithm. In the object based method, the images are first segmented, then by  
126 selecting segments of the five classes as a train sample, the images are classified using the support  
127 vector machine algorithm using the spatial, textual and spatial features of the images.

128 In order to classify the polarimetric images, the train samples for the three classes were extracted  
129 using the Pauli decomposition technique. Then, using the components of the coherency matrix and  
130 using the support vector machine algorithms, the polarimetric images are classified.

131 In the final stage, the obtained change maps are fused by the majority voting method into four  
132 forms. These four categories are selected as follows:

- 133 1. All maps of the changes obtained from optical and polarimetric images
- 134 2. All maps of changes made from optical images
- 135 3. All maps of changes made from polarimetric images
- 136 4. Chart maps are carefully evaluated

137 The pixel-level classification produces maps producing a sort of “salt and pepper” noise driven  
138 by valid spectral information. This noise can be eliminated through the methods such as  
139 morphological post processing or the removal of clusters of pixels smaller than certain values [21].  
140 At the end, the final change map is improved by removing pieces less than 300 pixels and noise on  
141 the map is deleted.

### 142 3. Results and discussion

143 The change maps obtained from image differencing, principal components analysis and post-  
144 classification methods were evaluated using two Kappa coefficients and overall accuracy. In the  
145 change maps of post-classification derived from the object based method, there are segments that  
146 have been mistakenly identified as a change due to errors in the classification of these pieces. This is  
147 not the case, however, in the change maps made by the pixel based methods. According to the results  
148 shown in the Table 2, the urban change detection using the post-classification method with the pixel-  
149 based algorithm is more accurate.

150 **Table 2.** Evaluation of optical image change detection.

Methods	Overall Accuracy	Kappa
Post Classification-OBSVM	67.48%	0.4409
Post Classification-PBSVM	80.59%	0.6767
PCA-band2	71.91%	0.5216
Image Differencing-band1	70.44%	0.4839
Image Differencing-band2	71.01%	0.5285
Image Differencing-band3	76.68%	0.6183

151 The limitation of polarimetric images is the low spatil resolution than the optical images. The  
152 advantage of these images is the number of bands and polarizations and the ability to detect and

153 differentiate the features. This limitation and advantage of polarimetric images have made the range  
 154 of precision in polarimetric and optical images close to each other.

155 **Table 3.** Evaluation of PolSAR image change detection.

Methods	Overall Accuracy	Kappa
Post Classification-svm	70.02%	0.4727
PCA-band2	71.73%	0.5184
Image Differencing-band1	70.96%	0.4903
Image Differencing-band2	70.72%	0.4736
Image Differencing-band3	70.46%	0.4745

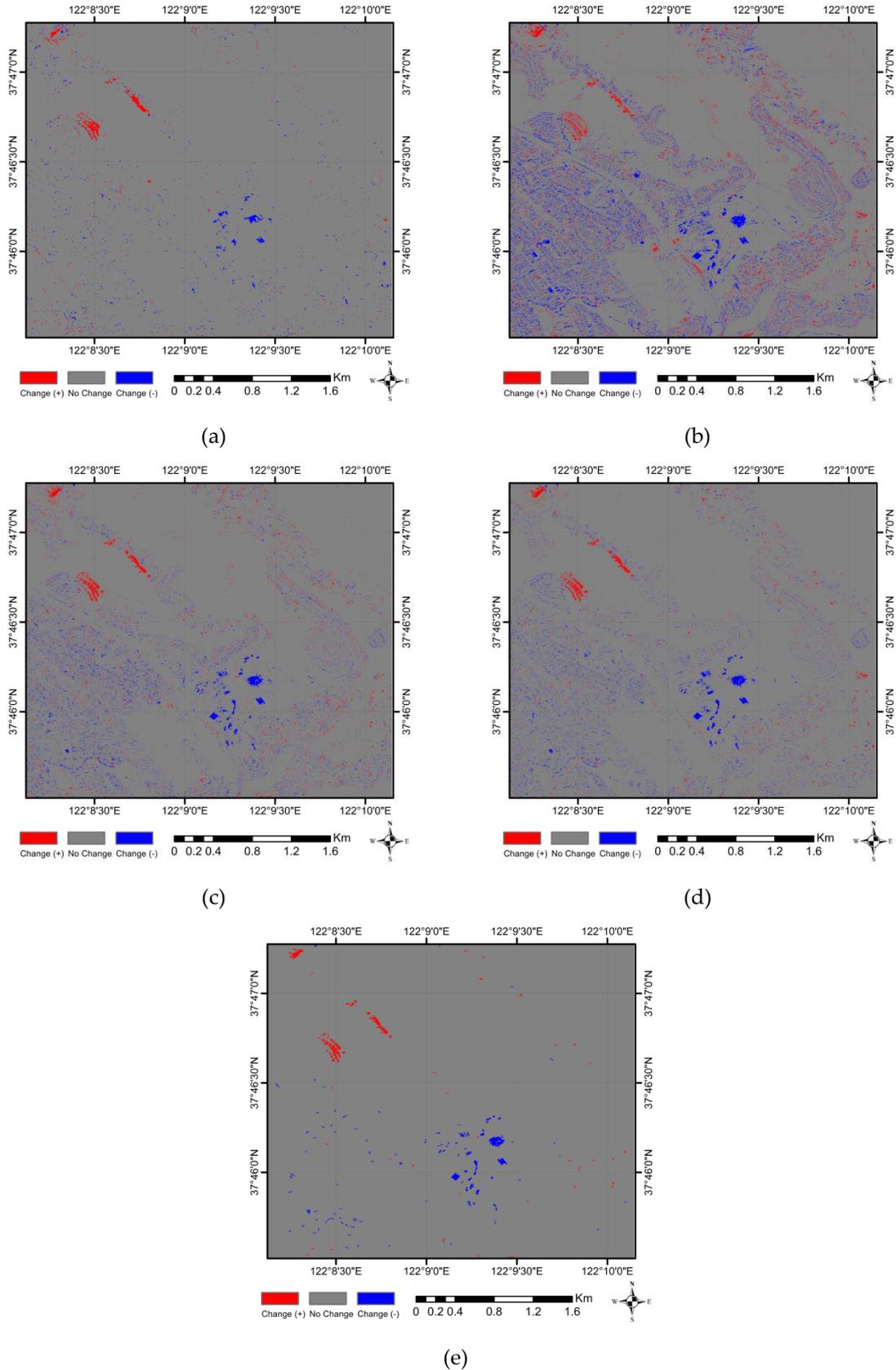
156 Assessing the accuracy of the results shows that by fusion of the change maps obtained from the  
 157 polarimetric images, the accuracy is better than the individual methods of change detection. In the  
 158 fusion of optical images, the precision will also produce the best results. The results showed that  
 159 fusing the change maps obtained from different algorithms will cover the defects and take advantage  
 160 of these methods.

161 Fusing all change maps of polarimetric and optical images gives better results than fusing only  
 162 the change maps made by polarimetric or optical images. This shows that the results of combining  
 163 two optical and polarimetric data sets give better precision than the results of combining only one  
 164 optical or polarimetric data. In order to achieve the best combination for fusing change maps, two  
 165 kappa coefficients and overall accuracy criteria are used to select the best change map. The five  
 166 change maps that have been selected for the best combination include a post-classification map  
 167 derived from the pixel based algorithm, and the object based algorithm, and the image differencing  
 168 of band 3 from the optical and the post-classification map derived from polarimetric image. Also the  
 169 salt&pepper noise reduction can be seen caused to increase the accuracy of the final change map.

170 **Table 4.** Evaluation of fused change maps.

Methods	Overall Accuracy	Kappa
Optic-Post Classification-PB	80.59%	0.6767
PolSAR-Post Classification	70.02%	0.4727
Majority Voting-All	88.18%	0.7792
Majority Voting –Optic	80.56%	0.6581
Majority Voting –PolSAR	75.22%	0.4958
Majority Voting –Best	88.61%	0.7829
Majority Voting-Best-Improvement	89.81%	0.8049

171 The final change maps derived from fusing different combinations of change maps are shown  
 172 in Figure 2. As can be seen, since two change maps are derived from the post-classification method  
 173 the change map obtained from the fusion of optical images, salt&pepper noise is most observed.  
 174 While in the change maps resulting from the polarimetric images, one change map is derived from  
 175 the post-classification method. In the improved change map, the level of noise reduced significantly.



**Figure 2.** Change maps: (a) Majority voting PolSAR; (b) Majority voting optic; (c) Majority voting best; (d) Majority voting all; (e) Majority voting best improvement.

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## 179 5. Conclusions

180 In this paper, the goal is to detect changes in the urban area and improve the results by fusion at the  
181 decision level by majority voting meethod. Fusion of images with just one source and achieving better  
182 results suggests that fusing different algorithms will cover defects and take advantage of different  
183 methods. The results of fusing two types of optical and polarimetric data give better accuracy than  
184 the results of the fusion of only one optical or polarimetric data. Polarimetric images reveal better the  
185 changes caused by variations in geometric structure and physical properties of the features, and  
186 optical images better reveal changes resulting from spectral variation. Therefore, by fusing optical  
187 and polarimetric data at the decision level, different changes can be identified.

188 By analyzing the accuracy of the classification methods, we find that the object-based method results  
189 is better than the pixel based method because of the high resolution of the optical images.

190 If change detection methods are independent of each other, by increasing the number of methods  
191 and increasing the accuracy of the methods, the majority voting algorithm increases the accuracy of  
192 the change detection.

193 As a suggestion, the application of more than one fused image (optic-polarimetric) as well as the  
194 application of the fused time series of images will improve the level of monitoring of the land  
195 cover/use change.

196 **Author Contributions:** S.S. and H.A. and R.S. conceived and designed the experiments; S.S. performed the  
197 experiments; S.S. and R.S. analyzed the data; S.S. wrote the paper.

198 **Conflicts of Interest:** The founding sponsors had no role in the design of the study; in the collection, analyses,  
199 or interpretation of data; in the writing of the manuscript, and in the decision to publish the results.

## 200 Abbreviations

201 The following abbreviations are used in this manuscript:

202 PolSAR: Polarimetric Synthetic Aperture Radar

203 OBSVM: Object Based Support Vector Machine

204 PBSVM: Pixel Based Support Vector Machine

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