



1 *Conference Proceedings Paper*

2 **Sentinel-1 GRD preprocessing workflow**

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8 **Abstract:** The Copernicus Programme became the world largest space data provider, providing
9 complete, free and open access to satellite data, mainly acquired by Sentinel satellites. Sentinel-1
10 Synthetic Aperture Radar (SAR) data have improved spatial resolution and high revisit frequency,
11 that makes them useful for a wide range of applications. While few research applications need
12 Sentinel-1 Ground Range Detected (GRD) data with few corrections applied, the wider part of the
13 users needs products with a standard set of corrections applied. In order to facilitate the exploitation
14 of Sentinel-1 GRD products, there is the need to standardise procedures to preprocess SAR data to a
15 higher processing level. A standard generic workflow to preprocess Copernicus Sentinel-1 GRD data
16 is here presented. The workflow aims to apply a series of standard corrections, and precisely to
17 apply precise orbit of acquisition, remove thermal and image border noise, perform radiometric
18 calibration, apply range doppler and terrain correction. Additionally, the workflow allows to
19 spatially snap Sentinel-1 GRD products to Sentinel-2 MSI data grids, in order to promote the use of
20 satellite virtual constellations by means of data fusion techniques. The presented workflow allows to
21 produce a set of preprocessed Sentinel-1 GRD data, offering a benchmark for the development of
22 new products and operational downstream services based on consistent Copernicus Sentinel-1
23 GRD datasets, with the aim of providing reliable information of interest to a wide range of
24 communities.

25 **Keywords:** Sentinel-1; Synthetic Aperture Radar; Ground Range Detected; GRD; preprocessing;
26 radiometric calibration; terrain correction

27

28 **1. Introduction**

29 The establishment of the Copernicus Programme by the European Commission created a new
30 paradigm in the availability and accessibility of data information, offering services based on satellite
31 Earth Observation and in situ data under 6 thematic Copernicus services. The Copernicus
32 Programme became the world largest space data provider, providing complete, free and open access
33 to satellite data, mainly acquired by Sentinel satellites. Main advantages offered by Sentinel data are
34 the improved spatial resolution and high revisit frequency, that makes them useful for a wide range
35 of applications.

36 The Sentinel-1 mission is a constellation of two polar-orbiting satellites (Sentinel-1A and
37 Sentinel-1B), which operate day and night sensing with a C-band synthetic aperture radar
38 instrument operating at a centre frequency of 5.405 GHz, that allows to acquire imagery regardless
39 of the weather and illumination conditions. Sentinel-1 satellites constellation acquires SAR data in
40 single or dual polarization with a revisit time of 6 days. Sentinel-1 Level 1 data are distributed by the
41 Copernicus Open Access Hub under two product types: Ground Range Detected (GRD) and Single
42 Look Complex (SLC).

43 Sentinel-1 level-1 GRD products consist of focused SAR data that has been detected,
44 multi-looked and projected to ground range using an Earth ellipsoid model. The ellipsoid projection
45 of the GRD products is corrected using the terrain height, specified in the product general
46 annotation, that varies in azimuth but is constant in range. After performing a multi-look
47 separately for each burst, a ground range detected image is generated merging all bursts in all
48 sub-swaths. The Sentinel-1 GRD scene is composed of square pixels with reduced speckle, due to the
49 multi-look processing, representing only the detected amplitude (the phase information is
50 discarded).

51 **2. Workflow**

52 A standard generic workflow to preprocess Copernicus Sentinel-1 GRD data is here presented.
53 The workflow was created in order to be used within the Sentinel application platform (SNAP), a
54 common architecture for all Sentinel satellite toolboxes. The processing graph in 'xml' format allows
55 to process Sentinel-1 GRD using the command line graph processing framework, that allows for
56 batch processing of large datasets.

57 The preprocessing workflow consists of 7 processing steps, designed to best reduce error
58 propagation in subsequent processes, described hereafter in separate subsections. The code to
59 perform the preprocessing workflow is available on the GitHub repository at link:
60 https://github.com/ffilipponi/Sentinel-1_GRD_preprocessing.

61 *2.1 Apply orbit file*

62 Orbit state vectors, contained within the metadata information of SAR products, are generally
63 not accurate. Satellites precise orbit are determined after few days and are available days-to-weeks
64 after the generation of the product. The operator to apply precise orbit available in SNAP allows to
65 automatically download and update the orbit state vectors for each SAR scene in its product
66 metadata, providing accurate satellite position and velocity information.

67 *2.2 Thermal noise removal*

68 Sentinel-1 image intensity is disturbed by additive thermal noise, particularly in
69 cross-polarization channel [1]. Thermal noise removal is in particular reducing noise effects in the
70 inter-subswath texture, normalizing the backscatter signal within the entire Sentinel-1 scene and
71 resulting in reduced discontinuities between sub-swaths for scenes in multi-swath acquisition
72 modes. The thermal noise removal operator available in SNAP for Sentinel-1 data can also
73 re-introduce the noise signal that could have been removed during the level-1 products generation,
74 and update product annotations to allow for re-application of the correction [2]. Sentinel-1 level-1
75 products provide a noise look up tables (LUT), provided in linear power, for each measurement data
76 set and is used to derive calibrated noise profiles matching the calibrated GRD data [2].

77 *2.3 Border noise removal*

78 While generating level-1 products, it is necessary to correct the sampling start time in order to
79 compensate for the change of earth curvature. At the same time, the azimuth and range compression
80 is leading to radiometric artefacts at the image borders. The border noise removal algorithm [3],
81 available as an operator in SNAP, was designed in order to remove low intensity noise and invalid
82 data on scene edges.

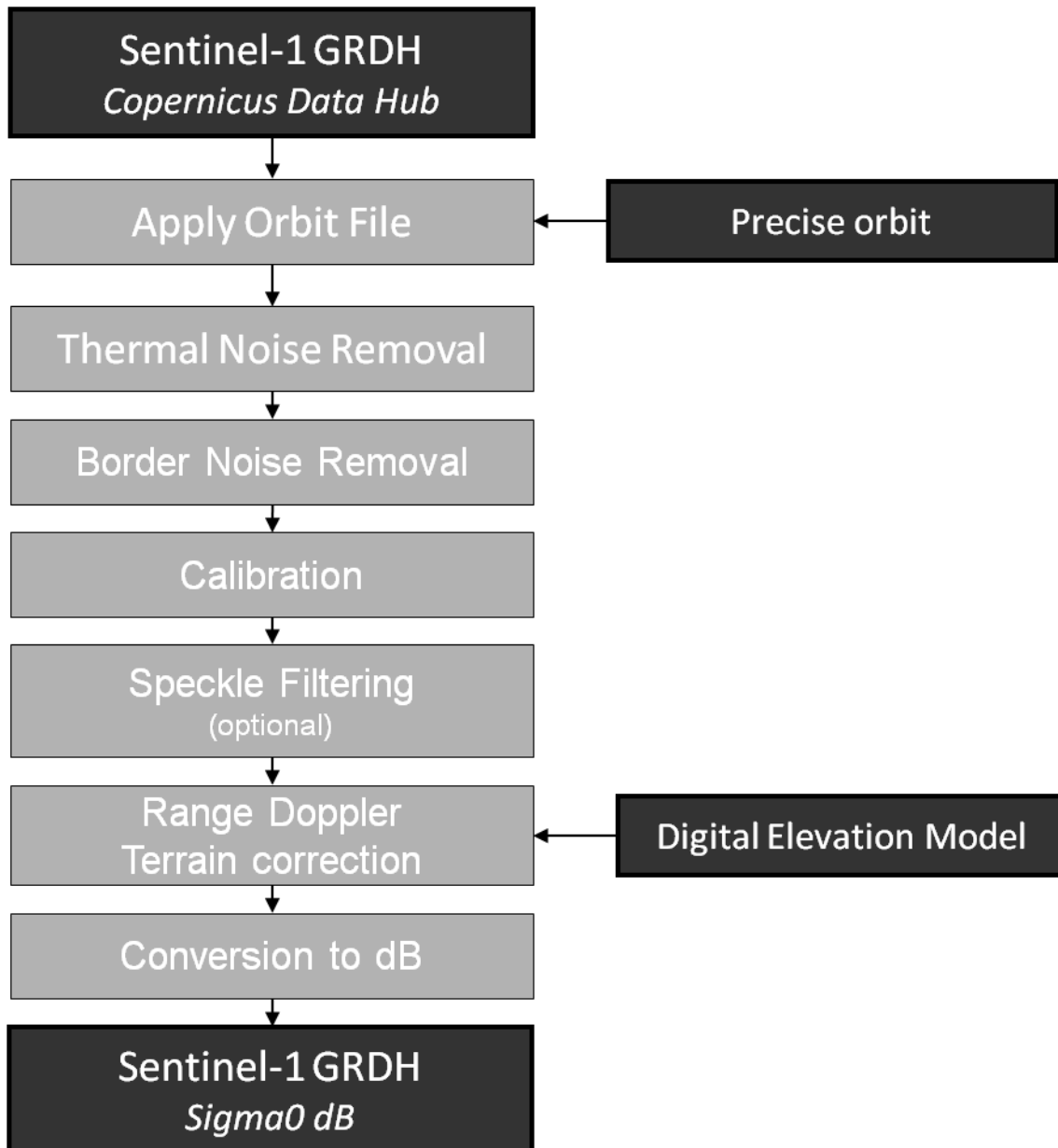
83 *2.4 Calibration*

84 Calibration is the procedure that converts digital pixel values to radiometrically calibrated SAR
85 backscatter. The information required to apply the calibration equation are included within the
86 Sentinel-1 GRD product, specifically a calibration vector included as an annotation in the product

87 allows simple conversion of image intensity values into sigma nought values. The calibration is
88 reversing the scaling factor applied during the level-1 product generation, and applying a constant
89 offset and a range-dependent gain, including the absolute calibration constant.

90 In the proposed preprocessing workflow, LUTs to produce sigma nought values is proposed, in
91 order to generate radiometrically calibrated SAR backscatter with respect to the nominally
92 horizontal plane. Sigma specifies the strength of reflection in terms of the geometric cross section of a
93 conducting sphere, and represents the radar cross section of a distributed target over that expected
94 from an area of one square meter. The sigma nought has a significant variation with incidence angle,
95 wavelength, and polarisation, as well as with properties of the scattering surface.

96



97

98 **Figure 1.** Sentinel-1 GRD preprocessing workflow.

99 2.5 Speckle filtering

100 Speckle, appearing in SAR images as granular noise, is due to the interference of waves
101 reflected from many elementary scatterers [4]. Speckle filtering is a procedure to increase image

102 quality by reducing speckle. When such procedure is done at an early processing stage of SAR data,
103 speckle is not propagated in ongoing processes (i.e. terrain correction or conversion to dB). Speckle
104 filtering is not advisable when there is an interest for the identification of small spatial structures or
105 image texture, since it might remove such information. The refined Lee filter has been found to be
106 superior, with respect to other single product speckle filters, for visual interpretation, because of its
107 ability to preserve edges, linear features, point target and texture information [4]. More recently,
108 multitemporal speckle filters have been developed to reduce speckle taking advantages from
109 multiple SAR observations in time. The proposed preprocessing workflow includes a speckle
110 filtering step, which could be skipped by selecting 'None' as filter type. Currently one of the
111 following filter is available in SNAP single product speckle filter operator: 'Boxcar', 'Median', 'Frost',
112 'Gamma Map', 'Lee', 'Refined Lee', 'Lee Sigma', 'IDAN'.

113 *2.6 Range Doppler Terrain Correction*

114 SAR data are generally sensed with a varying viewing angle greater than 0 degrees, resulting in
115 images with some distortion related to side looking geometry. Terrain corrections are intended to
116 compensate for these distortions so that the geometric representation of the image will be as close as
117 possible to the real world. Range doppler terrain correction is a correction of geometric distortions
118 caused by topography, such as foreshortening and shadow, using a digital elevation model to
119 correct the location of each pixel. The range doppler terrain correction operator available in SNAP
120 implements the Range Doppler orthorectification method [5] for geocoding SAR scenes from images
121 in radar geometry. It makes use of available orbit state vector information in the metadata, the radar
122 timing annotations, the slant to ground range conversion parameters together with the reference
123 digital elevation model data to derive the precise geolocation information [2]. The target Coordinate
124 Reference System (CRS) can be selected and optionally set to match the UTM zone of the overlaying
125 Sentinel-2 granules. The operator allows to select the image resampling method and the target pixels
126 spacing in the target CRS. This processing step allows to spatially snap Sentinel-1 GRD products to
127 Sentinel-2 MSI data grids, in order geolocate data to a common spatial grid and promote the use of
128 satellite virtual constellations.

129 *2.7 Conversion to dB*

130 As last step of the preprocessing workflow, the unitless backscatter coefficient is converted to
131 dB using a logarithmic transformation.

132 **3. Conclusions**

133 A standard generic workflow to preprocess Copernicus Sentinel-1 GRD data was presented.
134 The workflow aims to produce a set of preprocessed Sentinel-1 GRD data, offering a benchmark for
135 the development of new products and operational downstreaming services based on consistent
136 Copernicus Sentinel-1 GRD data.

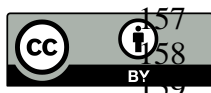
137 The workflow applies a series of standard corrections, and precisely to apply precise orbit of
138 acquisition, remove thermal and image border noise, perform radiometric calibration, apply range
139 doppler and terrain correction. Additionally, Sentinel-1 GRD products can be spatially coregistered
140 to Sentinel-2 MSI data grids, in order to promote the use of satellite virtual constellations by means
141 of data fusion techniques.
142

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145 sharing of knowledge.

146 **Conflicts of Interest:** The author declares no conflict of interest.

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