

# Chronic Dietary Zinc Deficiency Alters Gut Microbiota Composition and Function <sup>†</sup>

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**Abstract:** Zinc (Zn) deficiency is a prevalent micronutrient insufficiency. Although the gut is a vital organ for Zn utilization, and Zn deficiency is associated with impaired intestinal permeability and a global decrease in gastrointestinal health, alterations in the gut microbial ecology of the host under conditions of Zn deficiency have yet to be studied. By conducting a series of long term in vivo (*Gallus gallus*) feeding trials, we aimed to characterize distinct cecal microbiota shifts induced by chronic dietary Zn depletion, and in the context of complete diets are based on Zn biofortified food crops that are relevant to target populations, and in geographical regions where dietary Zn deficiency is a major health concern. We demonstrate that Zn deficiency induces significant taxonomic alterations and decreases overall species richness and diversity, establishing a microbial profile resembling that of various other pathological states. Through metagenomic analysis, we show that predicted Kyoto Encyclopedia of Genes and Genomes (KEGG) pathways responsible for macro- and micronutrient uptake are significantly depleted under Zn deficiency; along with concomitant decreases in beneficial short chain fatty acids, such depletions may further preclude optimal host Zn availability. We also identify several candidate microbes that may play a significant role in modulating the bioavailability and utilization of dietary Zn during prolonged deficiency. Our results are the first to characterize a unique and dysbiotic cecal microbiota during Zn deficiency, and provide evidence for such microbial perturbations as potential effectors of the Zn deficient phenotype.

**Keywords:** zinc status; dietary zinc deficiency; intestine; brush border membrane functionality; microbiome composition and function; in vivo

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## 1. Introduction

Zinc (Zn), an essential nutrient for nearly all organisms, is most notably involved as a metal cofactor in hundreds of proteins within the human body [1,2]. In healthy adults, Zn is present in the amount of 2–3 g and is second only to iron (Fe) as the most abundant micronutrient [3,4]. Even mild deficiencies of this mineral can profoundly impact growth and development, as well as impede immune differentiation and maturation [5,6]. The spectrum of chronic Zn deficiencies has been recently estimated to affect around 17% of the population [7], with insufficient dietary Zn intake and/or poor bioavailability from food central to this condition [8,9]. Despite the high prevalence of Zn deficiency, accurate clinical biomarkers of Zn status are lacking [10,11]. To address this, a major initiative set forth by the World Health Organization, the International Zinc Nutrition Consultative Group, and others has been to promote the development of reliable Zn biomarkers. Although serum Zn is currently the most widely used biomarker of Zn status, inherent problems with its measurement and interpretation can significantly impact sensitivity and specificity for dietary Zn [11]. To that end,

our group recently published evidence in this journal for a new biological indicator of Zn status, the linoleic acid: dihomogamma-linolenic acid (LA:DGLA) ratio, which exploits the Zn-dependent rate-limiting step of erythrocyte fatty acid desaturation [12]. Yet, since no single reliable biomarker of Zn status currently exists, establishing a panel of biochemical indices, as is the case with functional Fe deficiency [13,14], may be necessary. Understanding the influence of the gastrointestinal microbiota on physiology may represent a novel area to also understand the effects of Zn deficiency on the host. Little is known about how dietary Zn contributes to the microbiota, and even less is known regarding the effects of chronic Zn deficiency on the gut microbial composition. Early work by Smith et al. [15] elucidated a role of the host microbiota in Zn homeostasis, whereby conventionally-raised (CR, Conventionally-raised) mice required nearly twice as much dietary Zn than did their germ-free (GF) counterparts. In the same study, an in vitro assay using radiolabeled  $^{65}\text{Zn}$  identified a *Streptococcus* sp. and *Staphylococcus epidermidis* able to concentrate Zn from the medium. In this study, GF animals also had a reduced cecal Zn concentration relative to their CR counterparts. Recently, it was shown [16] that Zn competition exists in *C. jejuni* and other bacterial species in the host microbiota of CR versus GF broiler chickens (*Gallus gallus*). Under conditions of Zn deficiency, this might lead to the preferential growth of bacteria able to survive at low-Zn levels. Further, many recent studies have shown that prophylactic doses of Zn (as Zn oxide, ZnO) in various animal models increased the presence of Gram-negative facultative anaerobic bacterial groups, the colonic concentration of short chain fatty acids (SCFAs), as well as overall species richness and diversity [17–19]. Likewise, others have found a gut microbiota enriched in members of the phylum Firmicutes, specifically *Lactobacillus*, following ZnO administration [20]. Therapeutic levels of dietary Zn have been shown to alter the overall gut microbial composition of piglets leading to favorable changes in its metabolic activity [21,22]. Protective effects of Zn supplementation include modulating intestinal permeability (via proliferation of the absorptive mucosa) [23,24], reducing villous apoptosis [25], influencing the Th1 immune response [26], and reducing pathogenic infections and subsequent diarrheal episodes [23]. Although the gut environment is central to Zn homeostasis, and is affected by suboptimal Zn status, we know little about the effects of chronic dietary Zn deficiency on the composition and function of the gut microbiome. Therefore, the present study examined how a 4 weeks period of Zn deficiency affected the composition and genetic potential of the cecal microbiota in broiler chickens fed a moderately Zn deficient diet. A panel of Zn status biomarkers was measured weekly, and gene expression of a variety of Zn-dependent proteins was quantified from relevant tissues at study conclusion. Cecal contents were collected for SCFA quantification and for analyzing compositional and functional alterations in the microbiota. Here we review the results of our three studies (long term feeding trials) aimed at evaluating the effects of physiological zinc status and chronic dietary Zn deficiency on the intestinal microbiota in vivo (*Gallus gallus*).

## 2. Materials and Methods

### 2.1. Animals, Diets, and Study Design

For all in vivo (*Gallus gallus*) studies that are reviewed here [27–29], Cornish cross fertile broiler eggs were obtained from a commercial hatchery (Moyer's chicks, Quakertown, PA, USA). The eggs were incubated in optimal conditions at the Cornell University Animal Science poultry farm incubator. The procedure was described in detail elsewhere (15–17) Upon hatching (hatchability rate was ~95%), chicks were assigned to two treatment groups based on gender and body weight to make an equal dissemination between groups ( $n = 15$ ): 1. "High Zn" (Zn enhanced diet); 2. "Low Zn" (control diet). Specific dietary composition is previously described [27–29]. The NRC recommendations and requirements for poultry were consulted to formulate the diets that were used in these studies and aimed to meet the nutrient supplies for the broiler, excluding Zn.

### 2.2. 16S rRNA PCR (Polymerase Chain Reaction) Amplification and Sequencing

Microbial genomic DNA was extracted from cecal samples using the PowerSoil DNA isolation kit, as described by the manufacturer (MoBio Laboratories Ltd., Carlsbad, CA, USA). Bacterial 16S

rRNA gene sequences were PCR-amplified from each sample using the 515F-806R primers for the V4 hypervariable region of the 16S rRNA gene, including 12-base barcodes, as previously published (14). PCR procedure reactions consisted of 12.5  $\mu$ L of KAPA HiFi HotStart ReadyMix (kit KK2601, Kapa Biosystems, Woburn, MA, USA), 10  $\mu$ M of each primer, and 10–100 ng of DNA template. Reaction conditions consisted of an initial denaturing step for 3 min at 95 °C followed by 31 cycles of 20 s at 98 °C, 15 s at 60 °C, and 20 s at 72 °C. Triplicate PCR reactions were performed for each sample, which were combined and then purified with Ampure magnetic purification beads (Agencourt, Danvers, MA, USA). Purified PCR products were quantified using a Quant-iT PicoGreen dsDNA assay (Invitrogen, Carlsbad, CA, USA). Equimolar ratios of total samples were pooled and sequenced at the Faculty of Medicine of the Bar Ilan University (Safed, Israel) using a MiSeq Sequencer (Illumina, Madison, WI, USA).

### 2.3. 16S rRNA Gene Sequence Analysis

For quality filtering of raw data, sequences with Phred <20 or shorter than 75% of expected length were discarded, as well as sequences containing primer mismatches, incorrect barcodes, ambiguous bases, or homopolymer runs in excess of 6 bases. The sequences that passed the quality filters were analyzed using the QIIME software package. Sequences were classified taxonomically using the Greengenes (GG) reference database at a confidence threshold of 80%. The GG taxonomies were used to generate summaries of the taxonomic distributions of operational taxonomic units (OTUs) across different levels (phylum, order, family, and genus). To standardize sequence counts across samples with uneven sampling, we randomly selected 22,450 sequences per sample (rarefaction) and used this as a basis to compare abundances of OTUs across samples. For phylogenetic tree-based analyses, each OTU was represented by a single sequence that was aligned using PyNAST. A phylogenetic tree was built with Fast-Tree and used for estimates of  $\alpha$ -diversity (within sample diversity, using Faith's phylogenetic diversity) and  $\beta$ -diversity (between sample diversity, using unweighted and weighted UniFrac). For Polygenetic Diversity (PD) measurements, means, and standard errors for given categories were calculated from 100 iterations using a rarefaction of 16,837 sequences per sample. Metagenome functional predictive analysis was carried out using PICRUST software. Briefly, OTU abundance was normalized by 16S rRNA gene copy number, identified, and compared to a phylogenetic reference tree using the Greengenes database and was assigned functional traits and abundance based on known genomes and prediction using the Kyoto Encyclopaedia of Genes and Genomes (KEGG). Data representing significant fold-change differences in functional pathways between experimental groups were plotted (14).

### 2.4. Statistical Analyses

All values are reported as the mean  $\pm$  SEM. Statistical analysis was performed using SAS version 9.3 (SAS Institute, Cary, NC, USA). ANOVA was carried out to identify significant differences between the means of the experimental groups of birds, unless otherwise specified. Nonparametric factorial Kruskal–Wallis sum-rank tests was used to compare the relative abundance of distinct taxonomic units. Unweighted UniFrac, a phylogenetic measure of the degree of similarity between microbial communities, was used to assess phylogenetic diversity. The Spearman's rank correlation was employed to assess significant associations between bacterial groups and biomarkers of Zn status. Multivariate Association with Linear Models (MaAsLin) was used to identify potential correlations between OTUs abundance and host phenotype. Significant  $p$ -values ( $p < 0.05$ ) associated with microbial clades and functions identified by LEfSe were corrected for multiple comparisons using the Benjamini and Hochberg false discovery rate (FDR) correction.

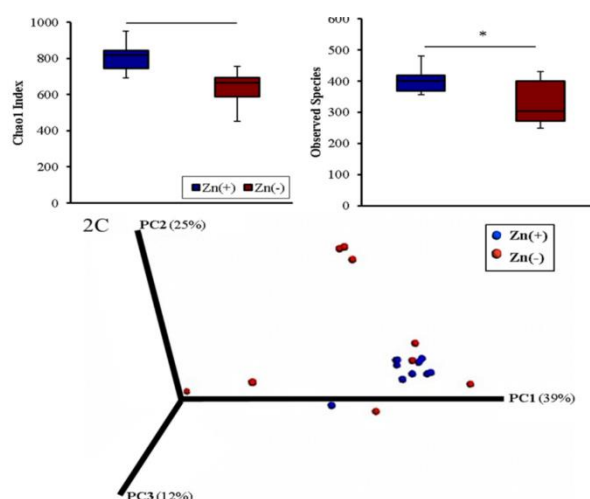
### 3. Results and Discussion

#### 3.1.

In the first study, we investigated how chronic zinc deficiency alters chick gut microbiota composition and function [27]. In this study we aimed at the assessment of zinc physiological status and dietary deficiency on the intestinal microbiome. Although the gut environment is central to Zn homeostasis, and is affected by suboptimal Zn status, we know little about the effects of chronic dietary Zn deficiency on the composition and function of the gut microbiome. Therefore, this study examined how a 4 weeks period of Zn deficiency affected the composition and genetic potential of the cecal microbiota in broiler chickens fed a moderately Zn deficient diet. Cecal contents were collected for analyzing compositional and functional alterations in the microbiota.

#### 3.1.1. Gut Microbial Diversity of Zn Deficient Animals Resembles Physiologically Diseased Microbiomes.

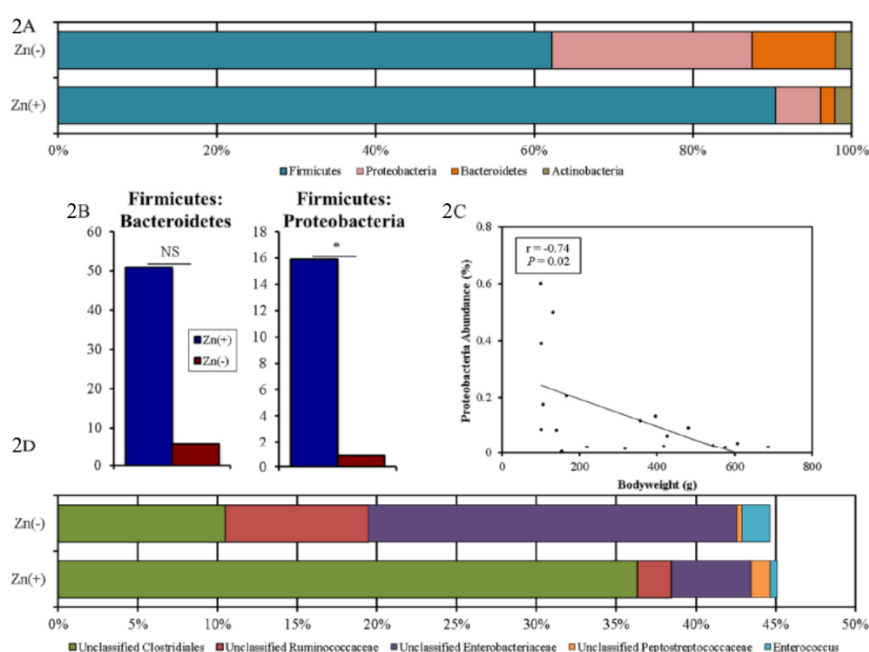
Cecal samples from the Zn(+) and Zn(-) treatment groups were harvested and used for bacterial DNA extraction and sequencing of the V4 hypervariable region in the 16S rRNA gene. The cecum represents the primary site of bacterial fermentation in *Gallus gallus*, with its resident microbiota highly diverse and abundant [30]. As in humans, Firmicutes are by far the dominant bacterial phylum in the *Gallus gallus* cecum, accounting for 70%–90% of all sequences [31,32]. The diversity of the cecal microbiota in the Zn(+) and Zn(-) groups was assessed through measures of  $\alpha$ -diversity,  $\beta$ -diversity, and overall species richness. The Chao1 index and observed species richness were used to assess  $\alpha$ -diversity. For both measures, the Zn deficient group had significantly lower phylogenetic diversity, indicating a less diverse cecal microbial composition (Figure 1 A,B). We utilized weighted UniFrac distances as a measure of  $\beta$ -diversity to assess the effect of chronic Zn deficiency on between-individual variation in bacterial community composition. Principal coordinate analysis demonstrated a significant expansion of  $\beta$ -diversity in the Zn deficient group (Figure 1C). Interestingly, the same features of lower  $\alpha$ -diversity and richness together with higher  $\beta$ -diversity compared to the control as seen in Zn deficiency are also found in GI microbiota observed during a deficiency of the trace mineral selenium [33], as well as in various pathological states such as Crohn's disease [34], inflammatory bowel disease [35], opportunistic infections [36], diabetes [37], obesity [38] and others [39].



**Figure 1.** Microbial diversity of the cecal microbiome. (A) Measures of  $\alpha$ -diversity using the Chao1Index [36]; and (B) total number of observed species \*  $p < 0.05$ , ANOVA,  $n = 10$  in Zn(+),  $n = 9$  in Zn(-); (C) Measure of diversity using weighted UniFrac distances separated by the first three principal components (PC). Each dot represents one animal, and the colors represent the different treatment groups.

### 3.1.2. Chronic Zn Deficiency Reshapes the Gut Microbiome

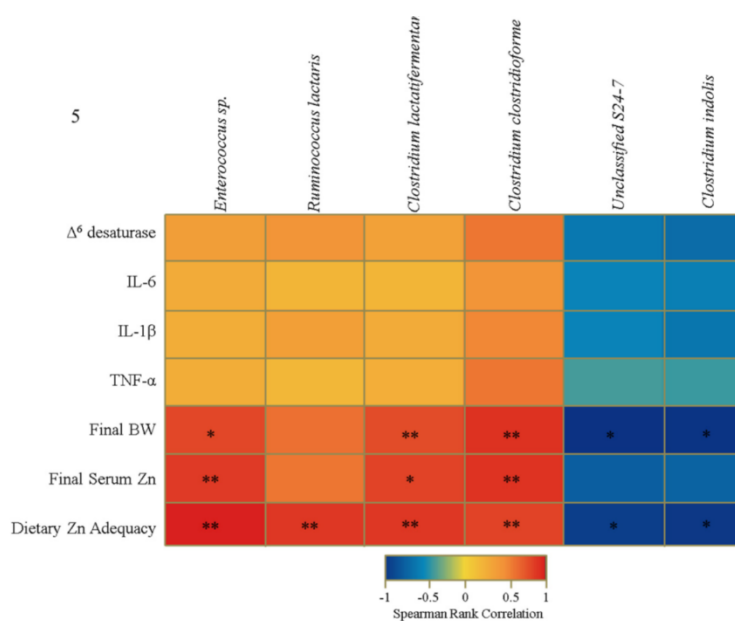
We performed a taxon-based analysis of the cecal microbiota (Figure 2). 16S rRNA gene sequencing revealed that 98%–99% of all bacterial sequences in both the Zn(+) and Zn(−) groups belonged to four major divisions: Firmicutes, Proteobacteria, Bacteroidetes, and Actinobacteria. Bacterial community composition was altered in the Zn deficient group, where significantly greater abundance of Proteobacteria and significantly lower abundance of Firmicutes (Figure 2A) was observed. In the Zn(−) group, the abundance of Bacteroidetes was increased whereas Actinobacteria was diminished, albeit not significantly. As such, the ratio of Firmicutes: Proteobacteria, was significantly lower in the Zn deficient group (Figure 2B). Further, the abundance of Proteobacteria inversely correlated with bodyweight (Figure 2C). Because of the central importance of Zn in growth and development, bodyweight is often the first anthropometric measurement to respond to Zn depletion and to quantify risk of complications related to Zn deficiency [40]. It has been a consistently reliable indicator of low Zn intake and Zn status in multiple cohorts and experimental models, and has been used by numerous others to quantify suboptimal dietary Zn deficiency [41,42]. Likewise in this study, final bodyweight strongly correlated with final serum Zn ( $\alpha = 0.84$ ,  $p = 0.0012$ ). At the family-level, Peptostreptococcaceae and unclassified Clostridiales were significantly lower, whereas Enterococcaceae and Enterobacteriaceae were significantly enriched, in the Zn deficient group. At the genus-level, we observed that Zn deficient animals had significantly higher relative abundance of Enterococcus, unclassified Enterobacteriaceae, and unclassified Ruminococcaceae, and significantly lower relative abundance of unclassified Clostridiales and unclassified Peptostreptococcaceae compared with their Zn replete counterparts (Figure 2D).



**Figure 2.** Phylum- and genera-level cecal microbiota shifts due to dietary Zn depletion. (A) Phylum-level changes between the Zn(+) and Zn(−) groups as measured at the end of the study (day 28). Only those phyla with abundance > 1% are shown; (B) Increased Firmicutes to Bacteroidetes and Proteobacteria ratios in the Zn(+) group (\*  $p < 0.05$ , NS = not statistically significant); (C) Inverse correlation between Proteobacteria abundance and bodyweight; (D) Genus-level changes in the Zn(+) and Zn(−) group as measured at the end of the study (day 28). Only those genera significantly different between groups are shown.

We next investigated whether taxonomic shifts at the genus level were associated with host phenotype, as defined by bodyweight and serum Zn (as measured on day 28), two commonly utilized biomarkers of Zn deficiency (Figure 3). Among the Zn replete animals, a significant inverse correlation was obtained between average serum Zn levels and Eggerthella abundance. There was

also a significant positive correlation between body weight and Rikenellaceae abundance in this group. In the Zn deficient group, a significant positive correlation was obtained between bodyweight and the abundance of Peptostreptococcaceae. The ratio of certain bacterial groups may be predictive of shifts in the genetic capacity of the microbiome in certain physiological processes (e.g., the Firmicutes:Bacteroidetes ratio and caloric extraction from diet [43]). Studies have yet to characterize or relate taxonomic changes induced by dietary Zn deficiency to markers of the phenotype, yet such ratio analyses may further define a cecal microbiota signature of the deficiency. Our analysis revealed that several ratios of the significantly altered genera in the Zn(-) group were also significantly different during Zn deficiency. The ratios of the relative abundance of Unclassified Clostridiales:Enterococcus (UC:E), Unclassified Clostridiales:Ruminococcaceae (UC:R), Unclassified Clostridiales:Unclassified Enterobacteriaceae (UC:UE), and Peptostreptococcaceae: Enterococcus (P:E) were significantly different between the Zn(+) and Zn(-) treatment groups (Figure 4B). Additionally, there was a significant, treatment-specific correlation between one of these ratios, Peptostreptococcaceae:Enterococcus, and bodyweight in the Zn deficient group. At the species-level, we identified a strong positive correlation between *Ruminococcus lactaris*, *Enterococcus* sp., *Clostridium lactatifermentans*, and *Clostridium clostridioforme* and Zn adequacy, as well as between the latter three operational taxonomic units (OTUs) and final bodyweight and serum Zn measurements (Figure 5). The levels of two additional bacterial species, *Clostridium indolis* and an unclassified member of the Bacteroidales (Unclassified S24-7), were inversely correlated with final bodyweight and dietary Zn adequacy. Although not significant, it is interesting that the trend in correlation presented in Figure 3.



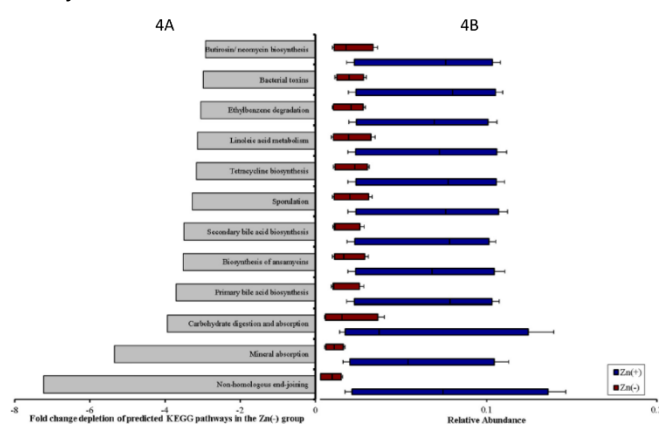
**Figure 3.** Heat map describing a set of Spearman correlations, independent of treatment group, between the relative abundance of different operational taxonomic units (OTUs) and select biological indicators of Zn status. The color indicator ranges from a perfect negative correlation (-1, blue) to a perfect positive correlation (1, red) (\*  $p < 0.05$ , \*\*  $p < 0.01$ , ANOVA).

### 3.1.3. Functional Alterations in the Genetic Capacity of Cecal Microbiota under Zn Deficiency Conditions.

We next sought to understand whether the genetic capacity of the microbiota may influence host Zn status, since there were significant community shifts associated with physiological markers of Zn deficiency. The study of metagenomic alterations among various phenotypes (e.g., inflammatory bowel disease, obesity) and between healthy and diseased subjects has helped to elucidate how the functional shifts of the microbiota may affect the trajectory of the disease process [44]. However, the medical significance of alterations in the metabolic or functional capacity of the host microbiome



under Zn deficiency conditions is unknown. Metagenome functional predictive analysis was carried out using PICRUSt software [45], OUT abundance was normalized by 16S rRNA gene copy number, identified using the Greengenes database, and Kyoto Encyclopedia of Genes and Genomes (KEGG) orthologs prediction was calculated [46]. Considering dietary Zn depletion was the singular variable in our experiments, 12 of the 265 (4.5%) KEGG metabolic pathways analyzed were differentially-expressed between the Zn deficient and adequate groups (Figure 4A,B). Non-homologous end-joining was most significantly depleted in Zn deficiency, an expected finding as Zn fingers are found in the catalytic subunit of DNA polymerase [47] and are essential for DNA binding and repair [48]. Further, we observed that even basic cecal microbiome metabolism was perturbed under Zn deficiency; pathways involving lipid metabolism, carbohydrate digestion and absorption, and, most pertinent to this study, mineral absorption were significantly depleted in the Zn(-) group. Other disruptions in microbial pathways involving the biosynthesis of bile acid and secondary metabolites, and xenobiotic detoxification reflect the fundamental requirement of dietary Zn in Zn finger motifs and in copper-zinc superoxide dismutase/glutathione enzymes, respectively. Finally, we utilized a GC-MS (Gas chromatograph–mass spectrometer) to analyze SCFA concentration in the cecal contents of the Zn(-) and Zn(+) birds (Figure 7). SCFAs are produced by bacterial fermentation and serve as a primary metabolic substrate for colonocytes [45]. We observed a significant decrease in the concentration of acetate (C2) and hexanoate (C6) in Zn(-) cecal contents. Pertinent to our results, SCFAs may increase dietary Zn absorption via a decrease in luminal pH in the intestines [49], thereby increasing Zn solubility, and/or via stimulation of the proliferation of intestinal epithelial cells leading to an increase in the overall absorptive area of the intestines [50]. In this study, a decrease in SCFA concentration in the Zn(-) group may have followed from either the observed bacterial composition shifts and/or the decreased output of carbohydrate metabolism and fermentation via changes in microbial metabolic pathways. In the host, this may initiate a continuous cycle, which serves to limit Zn uptake even in an already Zn deficient state.



**Figure 4.** Functional capacity of the cecal microbiota is perturbed under conditions of Zn deficiency. (A) Fold change depletion of these pathways in the Zn(-) group (all  $p < 0.01$ , Student’s  $t$ -test); (B) Relative abundance of differentially-expressed KEGG microbial metabolic pathways in cecal microbiota. Treatment groups are indicated by the different colors (all  $p < 0.05$ , ANOVA).

In this study, we have revealed a dramatic compositional and functional remodeling that occurs in the *Gallus gallus* gut microbiota under chronic Zn deficient conditions. Compositional alterations in bacterial abundance, in part due to host–microbe and microbe–microbe interactions, lead to changes in the functional capacity of the microbiota, such as SCFA output, which can influence the absorption and availability of dietary Zn by the host. Our data suggest that as a consequence of this remodeling, a Zn (-) microbiota has the potential to perpetuate, and perhaps even aggravate, the Zn deficient condition through the further sequestration of Zn from the host (Figure 8). Such a microbiota are not functionally compatible with the physiological needs of the Zn deficient host. In addition, others have observed decreased luminal Zn solubility in the intestines [51], increased GI inflammation and intestinal permeability, and an overall decline in GI health [52,53] under Zn

deficiency. Our findings add to this knowledge by suggesting possible mechanisms by which the gut microbiota may contribute to host Zn deficiency. Further research should determine whether the gut microbiome could represent a modifiable risk factor for chronic Zn deficiency.

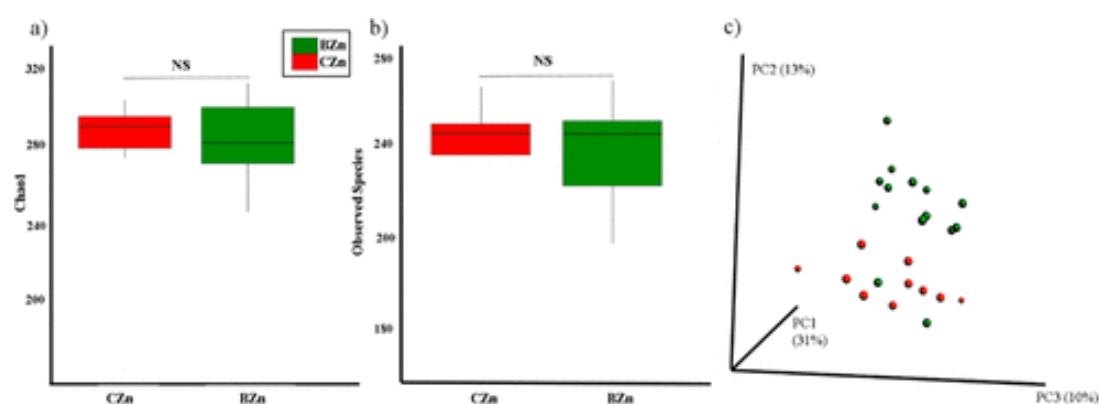
### 3.2.

In the second study, we investigated the Alterations in the Gut (*Gallus gallus*) Microbiota Following the Consumption of Zinc Biofortified Wheat (*Triticum aestivum*)-Based Diet [28].

This six-week feeding trial investigated the compositional and functional alterations of the intestinal microbiota in broiler chickens fed a Zn biofortified wheat-based diet (BZn) versus a pair-fed Zn control wheat-based diet (CZn). We hypothesized that the increased dietary Zn content in the biofortified wheat-based diets would positively alter the gut microbiome in the BZn group and that the relative deficit of Zn in the CZn group would cause an expansion of pathogenic microbiota. A spectrum of Zn status biomarkers was measured on a weekly basis to screen the level of Zn deficiency, and gene expression of a variety of Zn-dependent proteins was measured from relevant tissues at the conclusion of the study. Duodenal samples were taken for identification of villus morphological changes at the conclusion of the study. 16S rRNA gene sequencing was utilized to analyze the microbial population's variations in the intestinal cecal contents. Phenotypic Alterations after the Consumption of Zn Biofortified Diet.

#### 3.2.1. $\beta$ -Diversity But Not $\alpha$ -Diversity of the Intestinal Microbiota Is Significantly Altered by the Zn Biofortified Diet

Cecal content samples from the CZn and BZn treatment groups were collected and used for bacterial DNA extraction and sequencing of the V4 hypervariable region in the 16S rRNA gene. The cecum contains highly diverse and abundant microbiota and represents the primary site of bacterial fermentation in *Gallus gallus* [30]. The diversity of the cecal microbiota between the two treatment groups was assessed initially through measures of  $\alpha$  and  $\beta$ -diversity. The Chao1 index, used to assess  $\alpha$ -diversity (Figure 5a), was not significantly different between the CZn and BZn groups ( $p > 0.05$ ). No difference was obtained in the number of observed species between groups (Figure 5b,  $p > 0.05$ ). We utilized unweighted UniFrac distances as a measure of  $\beta$ -diversity to assess the effect of the Zn biofortified diet on between-individual variation in bacterial community composition (Figure 5c). Principal coordinate analysis showed a statistically significant difference in clustering between the CZn and BZn groups, suggesting that individual samples were more similar to other samples within the same group, as opposed to samples of the other group ( $p < 0.05$ ). Additionally, individual samples of the CZn group clustered significantly closer to each other than did members of the BZn group ( $p < 0.05$ ).

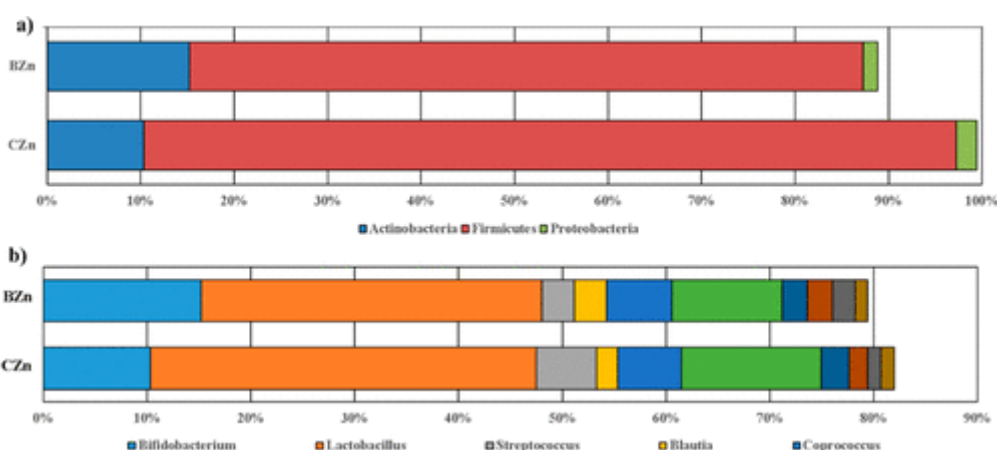


**Figure 5.** Microbial diversity of the cecal microbiome. (a) Measure of  $\alpha$ -diversity using the Chao1 Index and (b)  $\alpha$ -diversity using Observed Species Index; (c) Measure of  $\beta$ -diversity using unweighted UniFrac distances separated by the first three principal components (PCoA). Each dot represents one animal, and the colors represent the different treatment groups.



### 3.2.2. Effects of a Zn Biofortified Diet on the Composition of the Intestinal Microbiota

We conducted a taxon-based analysis of the cecal microbiota. 16S rRNA gene sequencing revealed that >98% of all bacterial sequences in both treatment groups were dominated by three major phyla: Firmicutes, Actinobacteria, and Proteobacteria, whereas sequences of Bacteroidetes, Fusobacteria, and Verrucomicrobia were also identified but in much lower abundance. The difference in abundance between the three dominant phyla was not significant between treatment groups (Figure 6a,  $p = 0.300$ ,  $p = 0.300$ , and  $p = 0.701$ ). After FDR correction, no significant differences between groups at the genus level were identified (Figure 6b). As in the human gut [54] the Firmicutes phylum vastly predominated in the *Gallus gallus* cecum [32]. We next investigated whether these taxonomic shifts were associated with the host phenotype as defined by the measured physiologic markers of Zn status, specifically gene expression of the various ZnT and ZIP family transmembrane proteins. In general, ZIP members facilitate Zn influx into the cytosol from extracellular fluid or from intracellular vesicles, while ZnT transporters lower intracellular Zn by mediating Zn efflux from the cell or influx into intracellular vesicles [55]. These proteins are widely transcribed in the brush-border of the small intestine [56]. When dietary Zn is low, enterocytes increase ZIP expression with more ZIPs localized to the apical membrane, while ZnT members are downregulated in an attempt to restore Zn homeostasis during depleted intestinal Zn conditions. However, both increased and decreased ZnT expression has been demonstrated in response to Zn deficiency [57,58]. Figure 6a demonstrates that animals with increased ZnT7 expression cluster more closely compared to those with lower expression ( $q = 0.028$ ). Figure 3B shows 2 genera, Lachnospiraceae and Erysipelotrichaceae, depleted in animals with increased ZnT7 expression, while Figure 3C shows 2 genera, Phascolarctobacterium and Veillonella, enriched in animals with increased ZnT7 expression. Both Veillonella and members of the family Erysipelotrichaceae have been shown to increase in abundance following therapeutic levels of Zn oxide in porcine models, but their interaction with ZnT transporters at more physiologic doses of Zn needs to be further clarified in this animal model [20,59]. We utilized MaAsLin to identify a significant positive association between the Ruminococcus genus and the BZn group ( $q = 0.036$ , Figure 3D–F). Additionally,  $\Delta 6$  desaturase, a Zn-dependent enzyme that catalyzes fatty acid desaturation and ZIP9 were also positively correlated with the Ruminococcus genus ( $q = 0.035$  and  $q = 0.012$ , respectively).

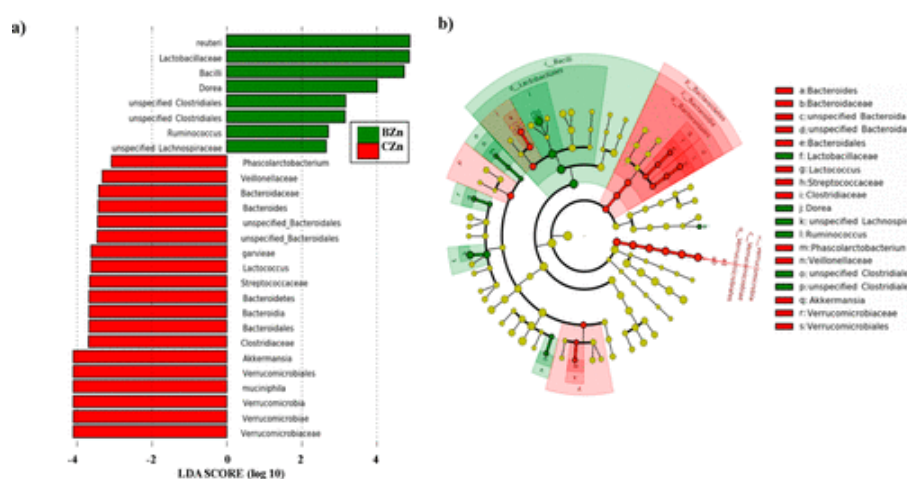


**Figure 6.** Compositional changes of gut microbiota in response to a biofortified diet. (a) Phylum level changes in the CZn and BZn groups as measured at the end of the study (day 42). Only phyla with abundance  $\geq 1\%$  are displayed. (b) Genus level changes in the CZn and BZn groups as measured at the end of the study (day 42). Only genera with abundance  $\geq 5\%$  are displayed.

### 3.2.3. Significant Bacterial Biomarkers Can Discriminate the Intestinal Microbiota of the BZn Versus CZn Groups

For the investigation of relative abundances at all taxonomic levels, we used the linear discriminant analysis effect size (LEfSe) method to investigate significant bacterial biomarkers that

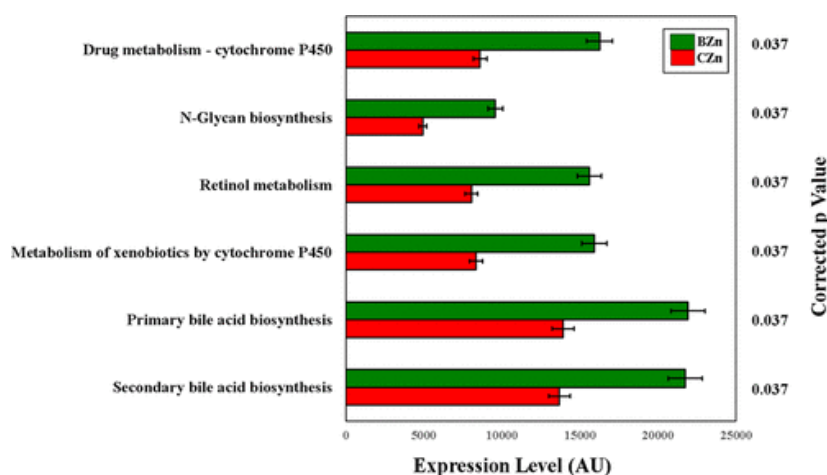
could identify differences in the gut microbiota of the BZn and CZn groups [60]. Figure 7a,b present the differences in abundance between groups at the various taxonomic levels, with their respective LDA scores. We observed a general taxonomic delineation between the BZn and CZn groups, whereby the SCFA-producing Firmicutes predominated in the BZn group. Specifically, *Lactobacillus reuteri* (LDA score = 4.94,  $p = 0.024$ ) and members of the Dorea (LDA score = 4.04,  $p = 0.010$ ), Clostridiales (LDA score = 3.39,  $p = 0.008$ ), Ruminococcus (LDA score = 3.35,  $p = 0.001$ ), and Lachnospiraceae genera (LDA score = 3.21,  $p = 0.015$ ) were significantly enriched in the BZn group. In the CZn group, however, members of the Verrucomicrobia and Bacteroidetes were the predominantly enriched phyla. Specifically, *Akkermansia muciniphila* (LDA score = 4.17,  $p = 0.022$ ), *Lactococcus* (LDA score = 3.57,  $p = 0.021$ ), and members of the Verrucomicrobium (LDA score = 4.17,  $p = 0.021$ ), *Bacteroides* (LDA score = 3.05,  $p = 0.010$ ), and *Bacteroidales* (LDA score = 3.46,  $p = 0.014$ ) genera were significantly enriched in the CZn group.



**Figure 7.** LefSe method identifying the most differentially enriched taxa in the BZn and CZn groups. (a) Taxonomic cladogram obtained using LefSe analysis of the 16S rRNA sequences. Treatment groups are indicated by the different colors with the brightness of each dot proportional to its effect size. (b) Computed LDA scores of the relative abundance difference between the CZn and BZn groups. Negative LDA scores (red) are enriched in the CZn group, while positive LDA scores (green) are enriched in the BZn group.

### 3.2.4. Zn Biofortified Diet Alters the Metagenomic Potential of the Intestinal Microbiota

We investigated whether the biofortified Zn diet influenced the genetic capacity of the microbiota. We recently demonstrated that metagenomic perturbations of the gut microbiota in *Gallus gallus* influence the severity Zn deficiency provided by an elemental diet via, among other pathways, decreasing the capacity of resident bacteria to produce beneficial SCFAs for optimal Zn absorption by the host. However, the clinical significance of alterations in the metabolic or functional capacity of the host microbiome from the consumption of a more realistic, Zn biofortified diet has not previously been explored, even though Zn biofortified diets are consumed on a population-wide level. Using PICRUSt [46] metagenome functional predictive analysis revealed that in the BZn group, 157 of the 240 (~65%) KEGG metabolic pathways analyzed were differentially enriched as compared to the CZn group ( $p < 0.05$ ). After FDR correction, 6 of the 240 (~3%) KEGG metabolic pathways analyzed were differentially enriched as compared to the CZn group (Figure 8).



**Figure 8.** Functional capacity of the gut microbiota is altered following a Zn biofortified diet. Relative abundance of differentially enriched KEGG microbial metabolic pathways in the microbiota. Treatment groups are indicated by the different colors, and  $p$  values are displayed on the  $y$ -axis.

As demonstrated in Figure 6, the taxonomic shifts associated with the Zn adequate phenotype are similar to our previously published observations, whereby *Ruminococcus* is strongly correlated with Zn adequacy and elevated  $\Delta 6$  desaturase activity (a Zn-dependent, rate limiting enzyme of fatty acid desaturation and biomarker of Zn deficiency). *Ruminococcus* contribute to a significant bulk of gut SCFA production such that its absence significantly reduces dietary insoluble carbohydrate fermentation [61]. As we have previously described, SCFAs have the potential to increase Zn solubilization and utilization, thereby improving host Zn status. With this new data, increasing evidence now implicates the *Ruminococcus* genus as an indicator of and potential contributor to improved host Zn status. Further longitudinal trials are needed to identify the specific role of *Ruminococcus* in host–microbiota Zn balance.

LEfSe analysis revealed that the BZn group was enriched in Bacilli and the lower Lactobacillus. Members of the Lactobacillus genus, such as *L. reuteri* and other lactic acid bacteria, have been shown to improve gut health in humans and a variety of animal models by increasing villus surface area, goblet cell number per villi, and decreasing colonization of pathogenic microorganisms like *Salmonella* and enteropathogenic *E. coli* [62,63]. A major mechanism by which they benefit the host is via improved mucosal barrier integrity from the production of SCFAs [64]. As we and others have previously demonstrated [65], dietary Zn deficiency has a dramatic effect on limiting gut SCFA production, which can further perpetuate a Zn deficient phenotype. The CZn group, however, was dominated by Bacteroidetes, Verrucomicrobia, and the lower *Akkermansia muciniphilia*, a novel mucin-degrading bacterium [66]. Increases in Verrucomicrobia and other mucin-degrading bacteria have been demonstrated after ingestion of certain dietary products (i.e., ellagitannins and other polyphenolic compounds) [67]. Conflicting data exist for this group of bacteria as it pertains to Zn deficiency; some animal studies show that therapeutic levels of Zn (specifically Zn oxide) increase Verrucomicrobia, while others show an increase in abundance during Zn deficiency [68]. Clearly, the significance of this group in Zn deficiency is not known, and additional research is needed to explain the conflicting compositional changes from dietary increases in Zn through biofortification versus supplementation [69]. Recent studies demonstrate that both Verrucomicrobia, specifically *Akkermansia muciniphilia*, and members of the Bacteroidetes possess a significant capacity for lateral gene transfer of Zn-metalloproteinase enzymes [70]. A relative enrichment of these groups in the CZn versus BZn group may illustrate a pertinent example of host–microbe interplay; bacteria with the capacity to degrade mucin, an important nutrient contributor to overall mucosal homeostasis, can transfer vital Zn-dependent metalloproteinases when conditions of low luminal Zn exist and production of these enzymes are naturally suppressed. This interplay is highlighted further in the CZn group, where KEGG analysis revealed a significant decrease in bacterial *cyp450* production. This enzyme family is responsible for producing the Zn-dependent superoxide dismutase enzyme, which

has demonstrated a central role in modulating Zn deficiency-induced reduction of crypt cell proliferation and villus surface area, and diminished brush border disaccharidase activity. Therefore, in a mutualistic fashion, the observed proliferation of these bacteria may be explained as a compensatory mechanism to a mucin-rich, Zn depleted gut. Using these findings, future studies should focus on the specific mechanisms whereby these bacteria may be attempting to restore Zn homeostasis during dietary Zn restriction.

To summarize, the presented findings exhibit a significant remodeling of the intestinal milieu that occurs in animals receiving a clinically relevant Zn biofortified wheat-based diet. This study is the first to report on how Zn biofortified wheat affects the composition and metagenome of the intestinal microbiota. Animals who consumed the Zn biofortified wheat-based diet had increased microbial  $\beta$ -diversity, with concomitant increases in SCFA-producing lactic acid bacteria. Jointly, these observations deliver indication that ingesting a Zn biofortified wheat-based diet positively restructures the gut microbiota. As the consumption of Zn biofortified diets rises due to the increasing application of biofortification strategies, exploring the effects on the gut microbiota from Zn biofortified staple food crops remains an important strategy to further advance the efficacy and safety of this method.

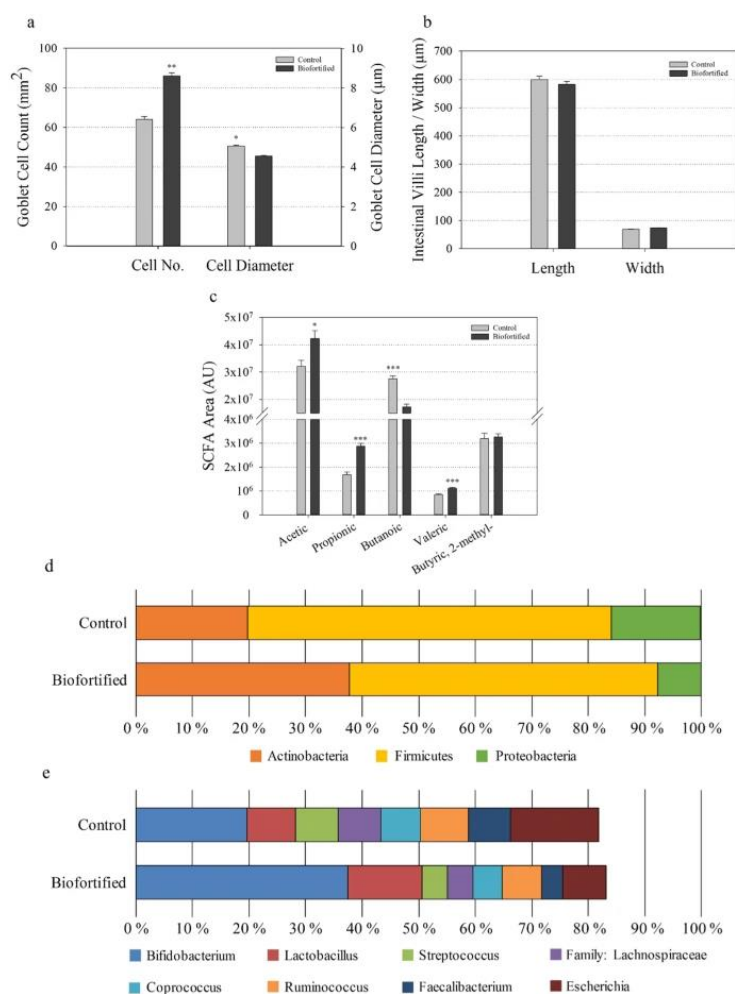
### 3.3.

In a third study, we investigated how Nicotianamine enhanced Fe and Zn biofortified wheat based may affect microbial populations in vivo (*Gallus gallus*) [29].

Nicotianamine (NA) is a natural chelator of Fe, zinc (Zn) and other metals in higher plants and NA-chelated Fe is highly bioavailable in vitro. In graminaceous plants NA serves as the biosynthetic precursor to 2'-deoxymugineic acid (DMA), a related Fe chelator and enhancer of Fe bioavailability, and increased NA/DMA biosynthesis has proved an effective Fe biofortification strategy in several cereal crops. In this study, we utilized the *Gallus gallus* model to investigate impacts of NA-chelated enhanced Fe and Zn biofortified on gastrointestinal health and microbiome when delivered over a period of six weeks as part of a biofortified wheat diet containing increased NA, Fe, Zn and DMA (long-term exposure).

#### 3.3.1. Biofortified White Wheat Flour Increases Goblet Cell Number and Positively Alters Gut Health and the Microbiome

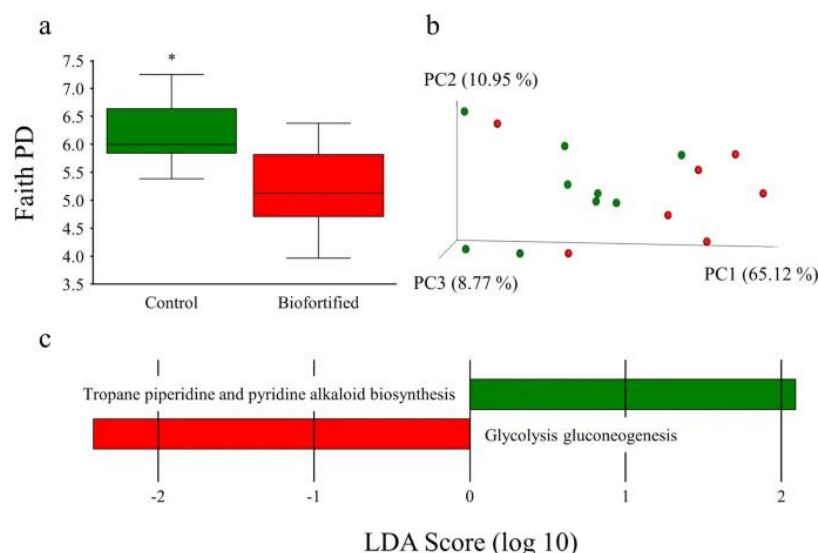
The number of intestinal goblet cells significantly increased, the number of acidic/neutral goblet cells significantly increased, and the diameter of intestinal goblet cells significantly decreased in 'Biofortified' relative to 'Control' chickens (Figure 9a). No difference in intestinal villi length and width was detected (Figure 9b). Short-chain fatty acid (SCFA) production significantly increased for acetic acid, propionic acid and valeric acid and decreased for butanoic acid in 'Biofortified' relative to 'Control' chickens (Figure 9c). For major bacteria phyla the proportion of Actinobacteria increased 1.9-fold while the proportion of Firmicutes and Proteobacteria decreased 1.2- and 2.0-fold, respectively in 'Biofortified' ceca relative to 'Control' (Figure 9d). For major bacterial genera the proportion of *Bifidobacterium* and *Lactobacillus* increased 1.9- and 1.5-fold, respectively while the proportion of *Streptococcus* (1.7-fold), *Coprococcus* (1.4-fold), *Ruminococcus* (1.2-fold) *Faecalibacterium* (2-fold), and *Escherichia* (2-fold) decreased in 'Biofortified' relative to 'Control' (Figure 9d). The proportion of family Lachnospiraceae decreased 1.7-fold and was significantly ( $p = 0.045$ ) lower in 'Biofortified' relative to 'Control' (Figure 9d). Only one genus, *Enterococcus*, was significantly ( $p = 0.010$ ) more abundant in 'Biofortified' (3.5%) relative to 'Control' (>1.0%). The abundance of all families and genera detected decreased 1.5-fold in 'Biofortified' cecum relative to 'Control'.



**Figure 9.** Intestinal functionality, short-chain fatty acid production and cecal microbial composition following consumption of experimental diets. **(a)** Chicken intestinal goblet cell number and diameter (µm). **(b)** Chicken intestinal villi length and width (µm). **(c)** Cecal short-chain fatty acid (SCFA) composition. Bars represent mean ± SEM of nine biological replicates. Relative abundance of microbial populations at the levels of **(d)** phyla; and **(e)** families and genera. Asterisks denote significant differences for \*  $p < 0.05$ , \*\*  $p \leq 0.01$ , \*\*\*  $p \leq 0.001$  as determined by Student's *t*-test. AU: arbitrary units.

### 3.3.2. Biofortified White Wheat Flour Significantly Alters Diversity and Metagenomic Potential of the Intestinal Microbiota

Microbial population diversity ( $\alpha$ -diversity) represented as Faith's phylogenetic diversity significantly decreased in 'Biofortified' cecum relative to 'Control' (Figure 10a). Significant ( $q = 0.042$ ) separate clustering ( $\beta$ -diversity) of weighted 'Biofortified' and 'Control' microbial populations was observed (Figure 10b) with family Enterococcaceae (including an unspecified genus) significantly more abundant and genus *Dorea* significantly less abundant in 'Biofortified' relative to 'Control'. Microbial glycolysis/gluconeogenesis significantly increased and microbial tropane piperidine and pyridine alkaloid biosynthesis significantly decreased in 'Biofortified' microbial populations relative to 'Control' (Figure 10c).



**Figure 10.** Microbial diversity and metabolic capacity following consumption of experimental diets. (a) Microbial  $\alpha$ -diversity of chicken ceca using Faith's phylogenetic diversity (PD). (b) Microbial  $\beta$ -diversity of chicken ceca using unweighted UniFrac distances separated by three principal components (PC). Each dot represents either a 'Control' (green) or 'Biofortified' (red) chicken. (c–d) computed linear discriminant analysis (LDA) scores of differences in microbial relative abundance and metabolic capacity, respectively. Positive LDA scores (green) are enriched in 'Control' and negative LDA scores (red) are enriched in 'Biofortified'. Asterisks denote significant differences for \*  $p < 0.05$  as determined by Kruskal-Wallis test.

Here we show for the first time that the benefits of consuming a biofortified diet include altered intestinal functionality, enteric microbiota and feed energy conversion. Biofortified wheat consumption increased the abundance of *Bifidobacterium* and *Lactobacillus* in 'Biofortified' ceca relative to *Clostridiales* (comprising *Coprococcus*, *Ruminococcus*, *Faecalibacterium* and family *Lachnospiraceae*) and *Escherchia* which is strikingly similar to the results obtaining following intraamniotic administration of NA-chelated Fe (Figure 2D) and provides further evidence that NA- and/or DMA-chelated Fe is highly bioavailable and does not persist in the intestinal lumen where it can contribute to the proliferation of pathogenic bacteria [71,72]. The major phyla observed in this study: Firmicutes, Actinobacteria and Proteobacteria are shared between humans and chickens [73,74]. Typically Firmicutes are the most abundant (70–80%) and Actinobacteria least abundant (~5%) phyla in human and poultry, suggesting the atypical microbial composition of both 'Control' and 'Biofortified' (~20% and 38% Actinobacteria, respectively) is due to nutritional insufficiencies in both diets [61–63]. *Bifidobacterium* and *Lactobacillus* are major probiotic genera within Actinobacteria and Firmicutes respectively, and both genera symbiotically harvest additional nutrients and energy from the diet for the host [75,76]. These probiotic populations likely inhabit the additional intestinal mucin secreted by increased goblet cells in 'Biofortified' chickens (Figures 4A) that are both acidic and neutral and provide mucin with an appropriate chemical composition to support these populations [77]. We hypothesize that additional *Bifidobacterium* and *Lactobacilli* in the mucosal layer upregulate glycolysis/gluconeogenesis enzymes and increase the production of acetic, propionic and valeric SCFAs, leading to improved host Fe absorption and carbohydrate metabolism in 'Biofortified' chickens relative to 'Control' [78,79]. Improved metabolic capacity in 'Biofortified' chickens manifested as reduced cumulative FCR (consuming ~20% less for the same weight gain) and increased glycogen storage in both liver and pectoral tissues relative to 'Control'. Improved food energy conversion due to increased *Bifidobacterium*/*Lactobacillus* relative to *Escherchia* was observed following prebiotic supplementation in broiler chickens, suggesting these effects may be due to NA and/or DMA acting as prebiotics in the biofortified diet. Administering extracts of biofortified white flour (containing NA and DMA) increased intestinal goblet cell number



and villi surface in 'B WF' relative to 'C WF', suggesting that even short-term exposure to biofortified wheat positively affects intestinal morphology.

#### 4. Conclusion

Overall, the data presented here indicate that the subject's zinc physiological status and dietary zinc levels significantly affect and reshape the composition and function of the intestinal microbiome. As Zn deficiency, caused by insufficient dietary Zn, that leads to poor Zn physiological status, induces a decrease in gut microbial diversity, and an outgrowth of bacteria particularly suited to low Zn conditions, leading to dysbiosis. Lack of dietary Zn also leads to alterations in the functional capacity of the microflora, causing multiple effects including decreased expression of pathways related to mineral (i.e., Zn) absorption and carbohydrate digestion and fermentation. A decrease in the latter pathway may also cause a depression in the production of SCFAs, compounds responsible for improving the bioavailability of Zn. Altogether, these microbial effects may decrease Zn absorbability and disturb GI health, thereby perpetuating a Zn deficient state. Red arrows and orange-lined boxes denote observations of this study, and dashed arrows and black-lined boxes describe published findings.

**Conflicts of Interest:** The authors declare no conflict of interest.

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