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2 XRD Data Visualization, Processing and Analysis 3 with d1Dplot and d2Dplot Software Packages

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9 **Abstract:** The *d1Dplot* and *d2Dplot* computer programs have been developed as user-friendly tools
10 for the inspection and processing of 1D and 2D X-ray diffraction (XRD) data, respectively. *D1Dplot*
11 provides general tools for data processing and includes the ability to generate comprehensive 2D
12 plots of multiple patterns to easily follow transformation processes. *D2Dplot* is a full package for
13 2D XRD data. Besides general processing tools, it includes specific data analysis routines for the
14 application of the through-the-substrate methodology [Rius *et al.* IUCr] 2015, 2, 452-463]. Both
15 programs allow the creation of a user compound database for the identification of crystalline
16 phases. The software can be downloaded from the ALBA Synchrotron Light Source website and
17 can be used free of charge for non-commercial and academic purposes.

18 **Keywords:** computer programs; X-ray diffraction; powder diffraction; 2D-XRD; data analysis
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20 1. Introduction

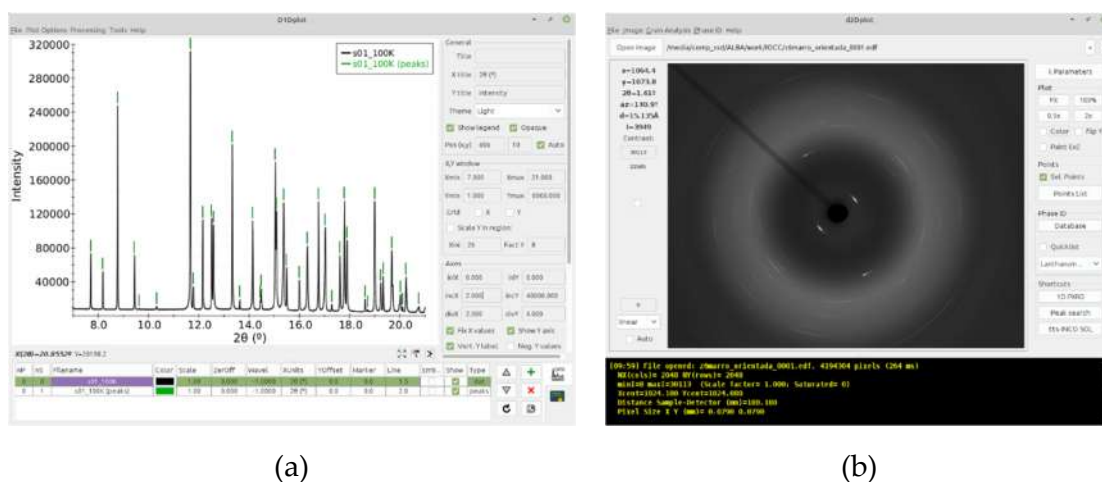
21 The treatment of experimental X-ray diffraction (XRD) data normally involves several steps of
22 processing and analysis, which often require a visual inspection of the data to follow and validate
23 the final results. Starting from the data collection, the visual inspection may become especially
24 important to check in detail the measured data during non-routine experiments or also when
25 decisions on the experimental conditions should be taken on the fly, e.g. in case of synchrotron
26 beamtime limitations. These two situations are really common nowadays due to the increasing
27 availability of cutting-edge XRD instrumentation and techniques. On the one hand, it opens the
28 possibility of developing new measurement techniques and methodologies and, on the other hand,
29 it is changing the tendency of the experiments towards *in situ* and *in operando* studies which often
30 require non-standard setups. On both scenarios the availability of XRD-specific tools providing
31 good plotting capabilities and processing options in a user-friendly environment is really helpful.
32 The *d1Dplot* and *d2Dplot* [1] computer programs for 1D and 2D XRD data, respectively, have been
33 developed prioritizing these aspects.

34 Our research group has been devoted for a long time to the development of methodologies to
35 obtain information from diffraction data. From the recent through-the-substrate methodology
36 (tts- μ XRD) [2] or the latest developments on the origin-free modulus sum function phasing method
37 [3], to the well-established full pattern matching DAjust software [4], the Rietveld refinement
38 program RIBOLS or the direct-space strategy TALP [5] to solve molecular structures from powder
39 diffraction data. The data plotting capabilities never were a priority for us since there are plenty of
40 available tools that can plot 1D and 2D XRD data as part of their data analysis capabilities, such as
41 WinPLOTR [6], Fit2D [7], GSAS [8], EXPO [9], JPowder [10], Highscore [11] or TOPAS [12] among
42 others. However, recently, two reasons pushed the development of our own software *d1Dplot* and

43 *d2Dplot*. Firstly, the necessity of a devoted tool for the testing of the *tts- μ XRD* methodology allowing
 44 a thorough inspection of the diffraction images with specific functions and secondly, the need of
 45 cross-platform, user-friendly and customizable tools for their integration on a synchrotron beamline
 46 computer.

47 2. Features overview

48 The programs *d1Dplot* and *d2Dplot* have been developed prioritizing the ease-of-use and the
 49 visualization of the diffraction data. They are composed of a main window (Figure 1) that displays
 50 the loaded XRD data and provides access to all the display-related options and to all the processing
 51 and analysis operations from a top menu. The XRD data can be navigated using the mouse (left,
 52 right, middle buttons and the wheel) and live information of the region under the cursor is
 53 displayed. In this section, the most relevant aspects of both programs will be shortly described. For a
 54 more in-depth definition of the file formats, operations and functionality one should refer to the user
 55 manuals.



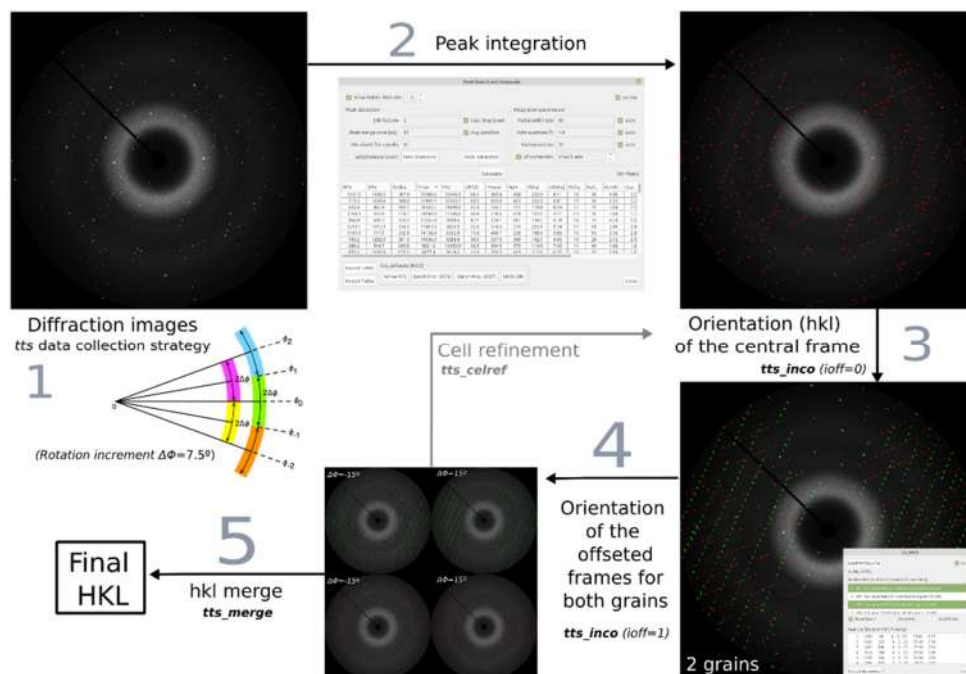
56 **Figure 1.** (a) Main windows of the programs: (a) *d1Dplot* showing the right panel with visualization
 57 options and the table with the list of opened data series, in this case a powder diffraction pattern and
 58 a peaks series; (b) *d2Dplot* showing an opened 2D XRD image with the on-screen cursor information
 59 on the left panel and the most used options on the right panel.

60 2.1. *D2Dplot*

61 *d2Dplot* provides the capabilities to manage 2D XRD data. It supports several common data
 62 formats and the instrumental parameters are read from the header when possible. Otherwise, they
 63 can be manually set on the program or, alternatively, they can be calibrated from a 2D XRD image of
 64 a calibrant substance (typically LaB_6 or Si) using an ellipse fitting procedure. The basic processing
 65 available for 2D XRD data includes the conversion between data formats, summation and
 66 subtraction of frames, background estimation, definition of excluded zones, the conversion to 1D
 67 XRD data and the generation of azimuthal plots. All these processing operations include their own
 68 options such as the possibility to apply geometrical corrections or to define azimuthal bins in the
 69 case of the 1D powder pattern generation. All the operations with their respective options have been
 70 described in depth in a previous publication [1] and in the user manual.

71 One specific feature of *d2Dplot* is the inclusion of a set of tools for the application of the
 72 *tts- μ XRD* methodology (Figure 2) including a graphical frontend to its implementation in the
 73 *tts_software* [13]. The aim of the *tts- μ XRD* methodology is to obtain a single-crystal-like dataset
 74 from the grains contained in thin-sections of materials mounted on glass substrates. The intensities
 75 are extracted from a few 2D XRD frames collected while rotating the sample in wide consecutive and
 76 partially overlapped scans. Then, the orientation of each of the grain(s) is determined and the
 77 intensities are scaled and merged, so that the resulting single-crystal dataset can be used for structure

78 solution or refinement. To this purpose, *d2Dplot* implements a peak identification module responsible
 79 for finding the diffraction peaks on the images and extracting their intensity together with additional
 80 information to be used in the following orientation-search step. Then, the *tts_software* module
 81 generates the input files to launch the corresponding subroutines (*tts_inco*, *tts_merge*, *tts_cellref*)
 82 involved in the different steps of the workflow and also to display their intermediate outputs.



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84 **Figure 2.** Workflow of the application of the *tts-μXRD* methodology. In the first step data are
 85 collected using the rotation method with wide and partially-overlapped angular increments. The
 86 second step is the integration of all the reflections on the images. Then, in the third step the
 87 orientation of the grain(s) contained in the central frame is determined. In this example (Dickinsonite
 88 phosphate mineral) there are two grains filling the measured microvolume. In the next step the
 89 orientation of the offsetted frames for the different grains is determined and, if necessary, the unit cell
 90 is refined. Finally, the last step is the scaling and merging of all the partial hkl from the different
 91 grains and offsets.

92 2.2. *d1Dplot*

93 *d1Dplot* provides the capabilities to work with 1D XRD data, i.e. powder diffraction. The most
 94 common file formats are supported, including: (1) two or three-columns ASCII text files (where
 95 usually the first column is the diffraction angle 2θ , the second is the intensity and the third is an
 96 estimated standard deviation of the intensity) which may contain header lines with instrumental
 97 information such as the wavelength; (2) list of intensities in free format with a first line specifying the
 98 initial 2θ , stepsize and final 2θ ; (3) GSAS Standard Powder Data File [14]; (4) FullProf PRF file with
 99 the observed, calculated and difference profiles [15]; (5) Panalytical XRDML format [16]; and (6) the
 100 *G(r)* output file from *pdfgetx3* [17].

101 One strong aspect of *d1Dplot* is the panel at the rightmost part of the main window where all the
 102 visualization parameters can be tuned. There, it is possible to define the behavior of the axes when
 103 navigating the data (with fixed or dynamic values), set the axes separations, the displayed zone
 104 limits, partial zoom range, plot and axes titles, legend, data labels and almost everything that affects
 105 the visual appearance. The XRD data window can be exported as a PNG image or a SVG vector
 106 graphics file.

107 2.2.1. Multiple powder pattern processing

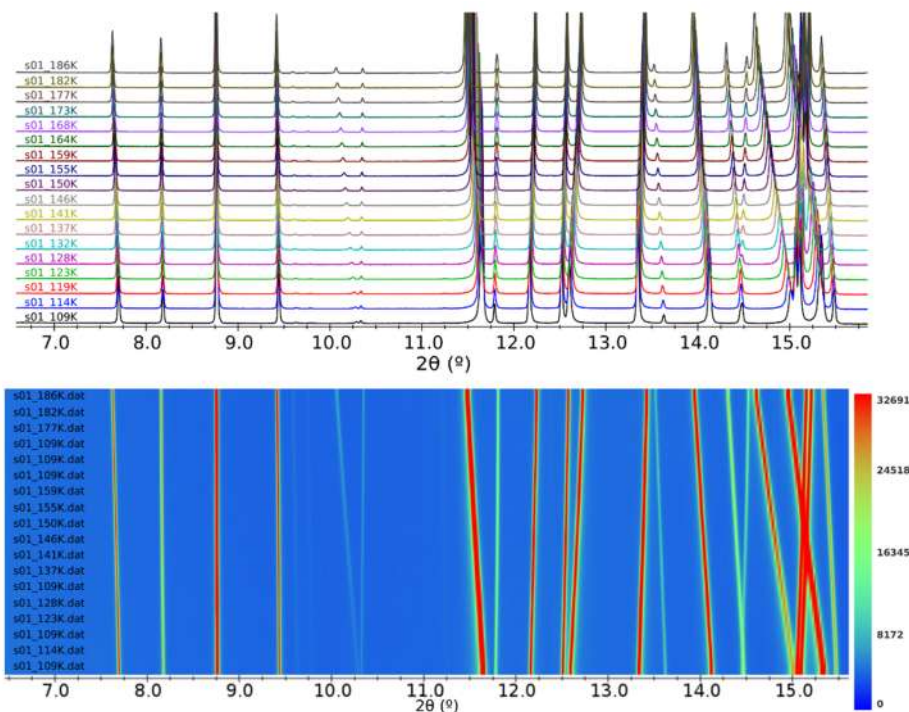
108 The most important feature of *d1Dplot* is the capability to manage several diffraction patterns in
109 a comfortable way. All the loaded data are shown on a table in the bottom part of the main window
110 that contains the following columns:

- 111 • *nP*: Pattern number.
- 112 • *nS*: Series number. One pattern may contain multiple series (data, background, peaks, etc...)
- 113 • *Name*: Name of the series (by default the filename).
- 114 • *Color*: Color of the series.
- 115 • *Scale*: Y scale of the series.
- 116 • *ZerOff*: Offset of the series in the X axis.
- 117 • *Wavel*: Wavelength of the pattern.
- 118 • *Xunits*: Units of the X-axis. By default 2θ .
- 119 • *YOffset*: Offset of the series in the Y axis.
- 120 • *Marker*: Marker size.
- 121 • *Line*: Line width.
- 122 • *ErrBars*: To show the error bars on Y.
- 123 • *Show*: To show or hide the current series on the plot area.
- 124 • *Type*: To differentiate and apply different plotting styles depending on the content of the data
125 series (powder XRD data, peaks, background estimation or discrete 2θ values to be used as
126 reference of crystallographic phases).

127 All the values can be set directly on the table by clicking with the mouse, for one or for several
128 patterns at once. Also, right mouse button click on the table will show a context menu with possible
129 operations on the selected patterns, such as editing their parameters or summing them. Additional
130 operations on the selected patterns can be accessed through the *processing* tab of the top menu.
131 Among the available operations there is the background estimation, which can use a smoothing
132 procedure [18] or the interpolation of polynomial or spline functions, and the peak finding routine
133 that allows exporting a list of peaks for further analysis, e.g., indexing with DICVOL [19].

134 To conveniently compare multiple diffraction patterns two options are available from the *Plot*
135 *Options* tap on the top menu: (1) the *sequential Y-offset*, that results in a stacking of the selected
136 patterns along the Y axis by giving the desired offset between patterns; and (2) the *2D plot*, that
137 generates a heatmap image with the intensity as color and some options to control the aspect of the
138 plot (Figure 3). For data collected at different wavelength, X-units can be changed to Q or d-spacing.

139 Finally, a project file can be saved containing all the patterns and the visual customization in
140 order to continue the data processing or the preparation of figures between different sessions.



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Figure 3. The two plot options for multiple patterns: sequential Y-offset (top) and 2D heatmap (bottom). XRD data of 1-ethyl-2,3-dimethylimidazolium bromide at different temperatures with peak displacement due to the thermal expansion [29].

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2.3. Compound database

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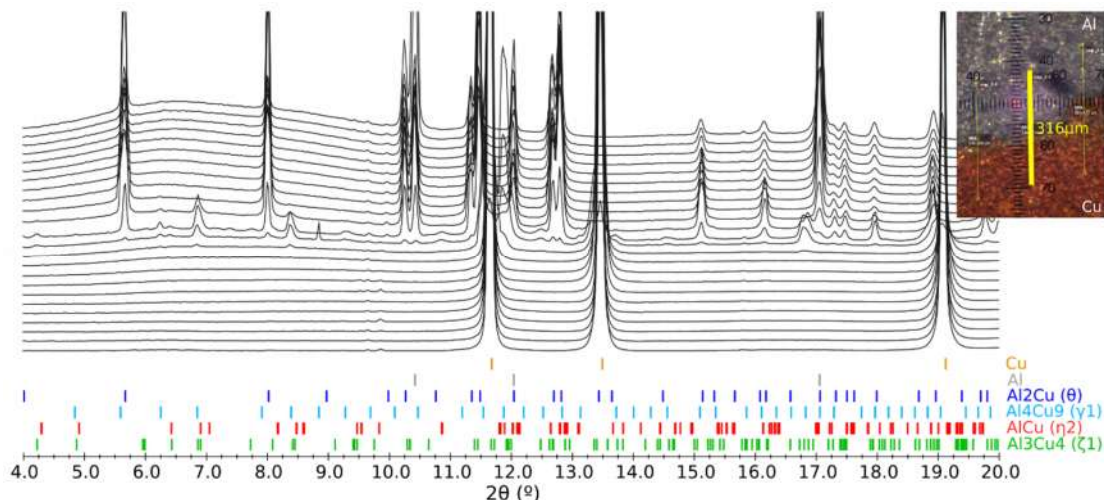
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Both programs include a database module that can read an ASCII file containing crystallographic information of compounds. It basically consists of a list of d -spacing positions for each compound that can be entered manually, calculated from a given unit cell and a space group, or generated from a CIF file [20] including, optionally, the intensities. The programs use this list to display the expected diffraction peaks (the Debye rings in the case of 2D XRD) taking into account the instrumental parameters. In *d1Dplot*, multiple entries of the database can be added as data series, e.g., to be displayed as possible phases when dealing with phase identification problems on mixtures (Figure 4). The aim of this tool is to help on the data interpretation by introducing a few known phases for specific problems and not a complete phase identification procedure, as there are already very good tools for this purpose, such as the Sieve software of ICDD coupled to its PDF4 database [21] or the QualX2 software [22].



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158 **Figure 4.** Plotting of the diffraction patterns along a laser welded Al-Cu joint (along the yellow line in
 159 the inset) with the assignment of the intermetallic phases formed during the process [23].

160 2.4. Command-line mode

161 The most used operations implemented in both programs can be also executed from the
 162 command-line interface without opening the graphical interface. This is intended to perform batch
 163 operations on multiple XRD data and to be able to attach these processing operations to data
 164 collection procedures to be performed automatically after data acquisitions. Also, the programs can
 165 be launched from the command line opening directly specific datasets by providing them as
 166 arguments.

167 3. Applications

168 Both programs are relatively recent but there are already some cases where they have been
 169 proved useful. Also, in the Materials Science and Powder Diffraction beamline of the ALBA
 170 Synchrotron [24] the programs are integrated on the beamline computers and used routinely to
 171 perform processing operations on the fly and to visualize the collected data. One example that takes
 172 full advantage of the software capabilities is the mapping of cultural heritage samples for phase
 173 identification using a small beam and a 2D detector. In this case, the 2D XRD frames are inspected,
 174 automatically converted to 1D powder patterns (sometimes for thousands of collected images) and
 175 plotted with *d1Dplot* to check the distribution of phases in the different zones of interest [25, 26]. In
 176 addition to analysis of phases, the same workflow has been used to evaluate the texture and
 177 microstructure of the hydroxyapatite crystals on crocodile teeth [27] and of calcium oxalate in
 178 kidney stones [28], the latter using extensively the azimuthal plotting capabilities of *d2Dplot* to
 179 differentiate between oxalate species. Obviously, *d2Dplot* is also being used in the cases where the
 180 μ XRD methodology is applied [30, 31]. On the other hand, *d1Dplot* is being continuously used in
 181 studies that involve crystallographic changes of the sample *in situ*, e.g. to follow crystallographic
 182 changes during chemical reactions, temperature ramps or gas absorption of porous materials [32].

183 To complement data visualization and processing, *d1Dplot* can be used to prepare
 184 comprehensive figures for publication either in scientific journals or in reports of measurements for,
 185 e.g. the industry. The possibility to tune most of the visual aspects allows creating tailor-made
 186 figures in a reproducible way between different datasets or sessions. Also, it is worth noting that the
 187 user-friendliness of the programs is really appreciated by non-experienced users, as they can rapidly
 188 get used to them and, consequently, become independent from data processing.

189 Finally, it should be mentioned that this software is also a good tool to teach various aspects of
 190 crystallography. In the personal experience of the authors, two specific ones which proved quite
 191 useful are: (1) the ability of *d1Dplot* to plot the reflection positions and to see how these positions

192 move when changing the crystallographic and/or the instrumental parameters; (2) similarly, with
193 *d2Dplot*, the possibility of plotting the Debye rings of a reference substance for specific instrumental
194 conditions and geometrical configurations.

195 4. Technical description and availability

196 *d1Dplot* and *d2Dplot* have been programmed using the Java language and the only requirement
197 to run is to have the Java Runtime Environment (JRE) installed. The following third-party libraries
198 have been used: Apache Commons Math and Apache Batik (versions 3.6.1 and 1.8 respectively,
199 Apache license), MigLayout (version 4.3, written by Mikael Grev, BSD license) and imageJ (version
200 1.50i [33], Public Domain). The programs can be downloaded from the ALBA Synchrotron Light
201 Source web site [34] and can be used free of charge for non-commercial and academic purposes. A
202 user manual is included and a configuration file is written in the program folder on first launch. Both
203 programs are in continuous development and any feedback to the authors (reporting of errors,
204 suggestions, etc...) is kindly appreciated.

205 **Author Contributions:** d1Dplot software, O.V.; d2Dplot software, J.R. and O.V.; resources, O.V. and J.R.;
206 writing—original draft preparation, O.V.; writing—review and editing, O.V. and J.R.; All authors have read and
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218 study; in the collection, analyses, or interpretation of data; in the writing of the manuscript, or in the decision to
219 publish the results.

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