

1 Article

2 Evaluation of the Impacts of Land Use on Water 3 Quality: A Case Study in Erhai Lake Basin

4 Jialin Wang ¹, Jianzhong Lu ¹, Zhan Zhang ¹, Xingxing Han ^{2,3*}, Xiaoling Chen ^{1*}

5 ¹ State Key Laboratory of Information Engineering in Surveying, Mapping and Remote Sensing, Wuhan
6 University, Wuhan 430079, China

7 ² Institute of Surface-Earth System Science, School of Earth System Science, Tianjin University, Tianjin
8 300072, China

9 ³ Tianjin Key Laboratory of Earth Critical Zone Science and Sustainable Development in Bohai Rim, Tianjin
10 University, Tianjin 300072, China

11 * Correspondence: hanxingxing@tju.edu.cn, Tel.: 19912105867; xiaoling_chen@whu.edu.cn, Tel.: 13907195381 ;

12 **Abstract:** Erhai Lake, the second-largest freshwater lake in the Yunnan Province of China, has a
13 flourishing tourist industry. Unfortunately, many problems such as deterioration of water quality
14 and eutrophication were occurred in Erhai Lake, leading to numerous environmental problems.
15 Chlorophyll-a (chl-a) is a critical ecological and environmental parameter for water quality, which
16 plays an important role in the wetland environment and eutrophication of water. Human-induced
17 land-use change can indicate the degree of the interference of anthropogenic activities on the
18 regional ecological environment. Therefore, understanding the relationships between changes in
19 land use and water quality is of great importance to improve water pollution control and for
20 providing guidelines for land use planning. However, the effects of ongoing anthropogenic
21 activities on water quality in Erhai Lake Basin are not well understood. Closing this knowledge gap
22 first requires obtaining accurate chl-a concentration information. The Landsat TM/ETM+/OLI
23 imagery were used to estimate the chl-a concentration in Erhai Lake from 1988 to 2020. Long-term
24 chl-a distributions of Erhai Lake revealed the changing trend of water quality. Besides, a Random
25 Forest classifier could be applied to spectral features extracted from time-series of Landsat
26 TM/ETM+/OLI imagery, ranging from 1988 to 2020, to increase the accuracy of land cover
27 classification. The classification results show the spatiotemporal patterns and characteristics of land-
28 use change in Erhai Lake Basin. The land use change has a direct impact on water quality varied
29 over nearly five decades; both positive and negative effects for certain land-use types were found
30 in Erhai Lake Basin. These findings shed new light on the impact changes of land use on water
31 quality and provide a scientific foundation for land use management and remediation plans.

32 **Keywords:** land use; chl-a; Random Forest; Erhai Lake Basin

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35 1. Introduction

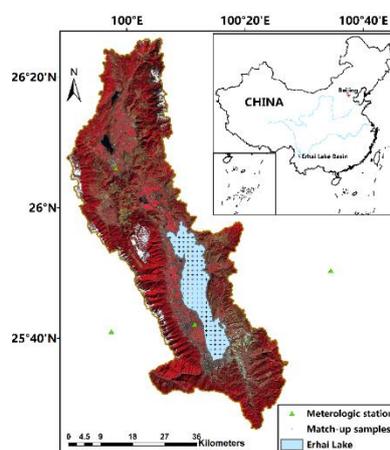
36 As valuable ecosystem and natural resources, inland lakes not only provide crucial habitats for
37 wildlife including aquatic species and a multitude of mammals[1,2], but also act as hot spots of global
38 carbon cycling and major players of climate change[3], providing critical ecosystem services and
39 mitigating the impact of floods and droughts by their own storage functions[4]. However, a large
40 number of inland lakes have been confronted with severe water quality deterioration[5], such as
41 eutrophication[6]. Erhai Lake, located in the northwestern of Yunnan Province, China (Figure 1), is
42 also facing the problem of cyanobacteria bloom[7]. Therefore, it is vital to monitor the long-term
43 water quality of Erhai Lake.

44 The Chlorophyll-a (chl-a) concentration is one of the key water quality parameters that play a
45 critical role in affecting ocean biology and ecology[8]. The use of the traditional field sampling
46 methods could only reveal the accurate information for specific sites, which is insufficient in spatial
47 and temporal coverage to derive statistically meaningful results[9]. With better spatial distribution
48 and temporal resolution than the traditional techniques, remote sensing technology can acquire the
49 distribution of chl-a at large spatial scales[10]. Many methods have been proposed to retrieval the
50 chl-a concentration based on remote sensing images in previous studies. The empirical algorithms
51 have been widely used in ocean color remote sensing monitoring as the potential advantage of simple
52 and convenient in application[11,12]. Based on the empirical equations, bio-optical models, and
53 radiation transfer models, the semi-analytical algorithms have been proposed for retrieving optically
54 significant constituents (OSCs), such as the chl-a concentration[13,14]. Machine-learning approaches
55 like random forest (RF) approach performed reasonably well to capture the nonlinear relationship
56 instead of the commonly used methods in previous studies[15].

57 Many other ocean colour satellites have been launched to monitoring biological parameters of
58 the water environment, such as Sea-Viewing Wide Field-of-View Sensor (SeaWiFS), Moderate
59 Resolution Imaging Spectroradiometer (MODIS), and the Visible Infrared Imaging Radiometer Suite
60 (VIIRS)[16]. However, the spatial and spectral resolutions of current remote sensors can hardly meet
61 the requirements of inland water color parameters' inversion due to the relatively small size of inland
62 waters and their complex optical properties. Considering the limited time span of Medium
63 Resolution Imaging Spectrometer (MERIS), it is difficult to reveal the long-term variation of chl-a
64 concentration. Fortunately, the Landsat TM/ETM+/OLI sensors provided a significant advantage over
65 the ocean colour sensors with long term observations and a higher spatial resolution (30 m full
66 resolution), which has been widely used in inland water monitoring. For example, the 2013–2018 time
67 series of 296 Landsat imageries were used by Markogianni et al. (2020) to quantify the chl-a
68 concentration[17].

69 To bridge the abovementioned gaps, this study aims to monitor the chl-a concentration from
70 1988-2020 in Erhai Lake based on the Landsat TM/ETM+/OLI data. We had the following specific
71 objectives:

- 72 (1) To obtain accurate results of chl-a concentration between 1988 and 2020.
73 (2) To explore the driving forces behind the long-term variation of chl-a concentration according
74 to quantitative results of related land-use and land-cover data analyses.



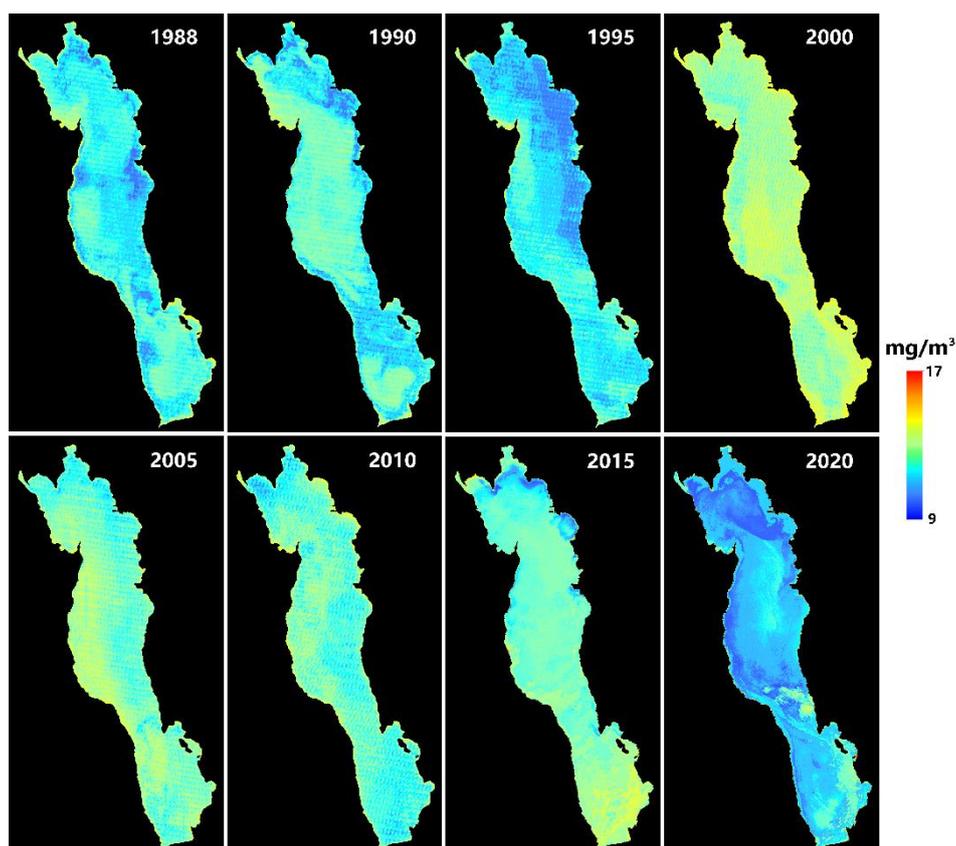
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Figure 1. The location of Erhai Lake, match-up samples, and the meteorologic stations in Erhai Lake Basin

78 2. Results

79 2.1. Chl-a Distributions in Erhai Lake from 1988 to 2020

80 Derived from Landsat TM/ETM+/OLI images between 1988 and 2020, Figure 2 demonstrated the spatio-
81 temporal variations of chl-a in Erhai Lake. The mean chl-a concentration of Erhai Lake ranges from 11.12 to
82 13.0 mg/m³. It went through ups and downs between 1988 and 2020 and reached the highest annual average
83 chl-a (13.0 mg/m³) in 2000. In the following two decades, the annual average chl-a decreased gradually. From
84 space, the chl-a in the south part of Erhai Lake was higher than that in the northern. The chl-a concentration
85 decreased gradually from nearshore to the center of the lake.

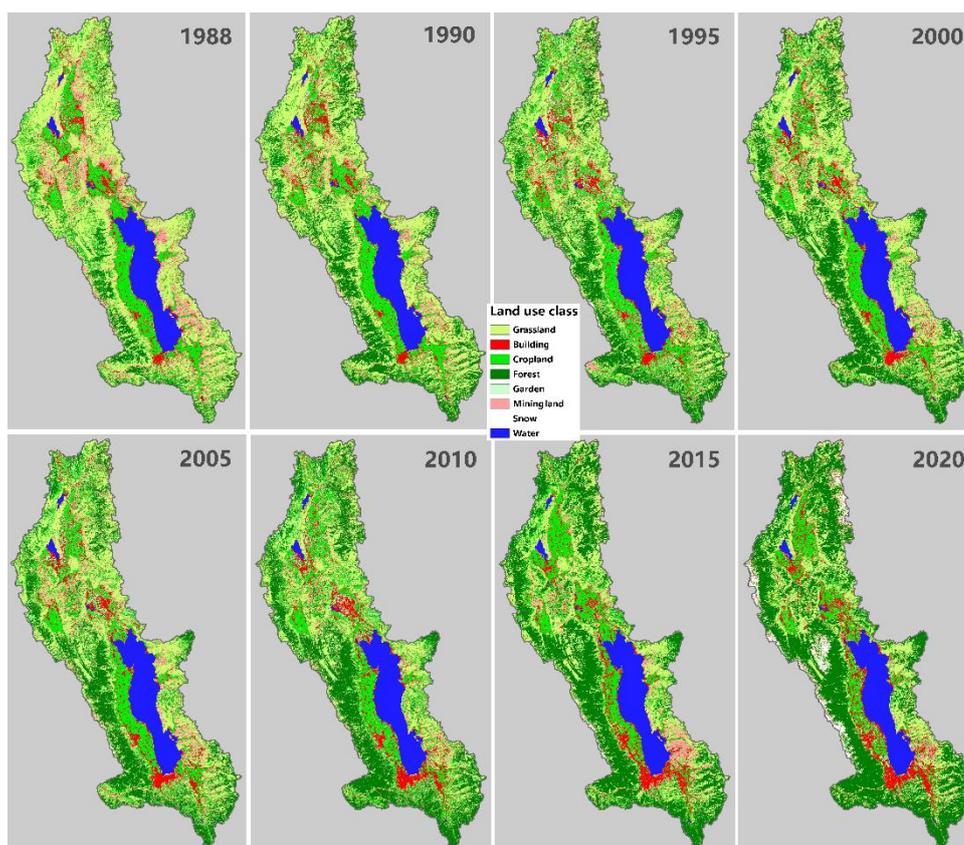


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87 **Figure 2.** Landsat-derived chl-a distributions in Erhai Lake between 1988 and 2020.

88 2.2. Land Cover Types changes in Erhai Lake Basin between 1988 and 2020

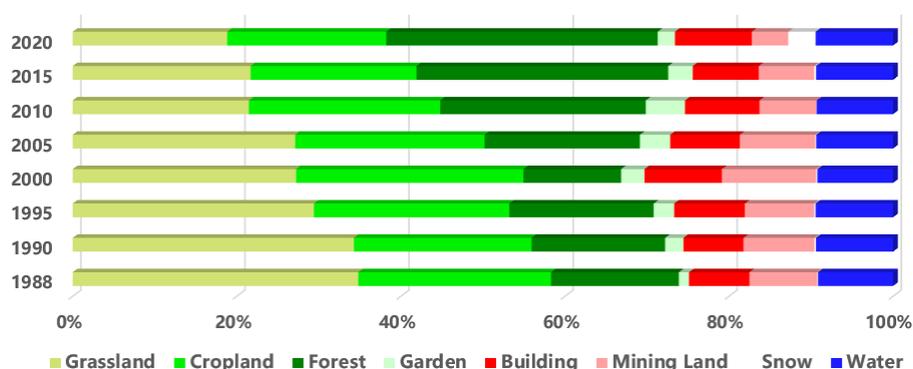
89 Figure 3 shows the classification maps for each of the Landsat images between 1988 and 2020s.
90 The spatial distributions of eight land cover types were clearly demonstrated within each panel, and
91 the long-term land cover changes were also revealed. In general, Erhai Lake Basin witnessed the large
92 degradation of grassland, cropland, and mining land, accounting for 15.9%, 4.1%, and 3.8% of the
93 total area in its region. Forest, garden, and building areas were increased in the past 32 years,
94 accounting for 17.4%, 0.9%, and 2.0% of the total area. The Erhai Lake area remained almost
95 unchanged.



96 **Figure 3.** Eight land cover types (including grassland, cropland, forest, garden, building, mining land,
 97 snow, and water) changes in Erhai Lake Basin between 1988 and 2020.

98 **3. Discussion**

99 The percentages of eight land cover types in Erhai Lake Basin from 1988 to 2020 are plotted in
 100 Figure 4 to facilitate visualization. With the increase of cropland, building area, and mining land,
 101 the mean chl-a concentration of Erhai Lake was also increasing gradually. The sum of cropland, building,
 102 and mining land reached the highest percentage in 2000, which led to the mean chl-a concentration
 103 in 2000 was significantly higher than that in other years. After that, with the increase of forest area,
 104 the mean chl-a concentration of Erhai Lake gradually decreased. The water quality of Erhai Lake was
 105 improved.



106 **Figure 4.** Percentages of eight land cover types in Erhai Lake Basin between 1988 and 2020.
 107

108 **4. Materials and Methods**

109 Landsat TM/ETM+/OLI imagery were downloaded from the United States Geological Survey
 110 (<http://www.usgs.gov/>) with no cloud in Erhai Lake Basin that spanned from 1988 to 2020 (Table 1).

111 Regarding Landsat TM/ETM+/OLI imagery, data preprocessing include the radiometric calibration
 112 and atmospheric correction, which can be completed in ENVI software.

113 The MERIS satellite is suitable for retrieving chl-a in Erhai Lake. Due to the limited data points,
 114 we referred to the chlorophyll retrieval results based on MERIS satellite of Han et al. (2014). To
 115 consistent with the MERIS data format, the Landsat data were resampled to 300m by using the
 116 bilinear method. Then, 112 match-up points (Figure 1) were randomly selected to conduct point-to-
 117 point modeling by using the random forest regression model obtaining the relative true with high
 118 spatial resolution[18]. Finally, the median filtering algorithm was applied to remove the noise in
 119 chlorophyll retrieval maps. The second task of this study is to discriminate the different land cover
 120 types based on Landsat images. High-resolution images are accessible freely from Google Earth™
 121 (<http://earth.google.com>) and could be used as ground-truth for selecting the different land cover
 122 samples. Furthermore, the random forest classification model was employed to build the nonlinear
 123 relationship between ground-truth samples with remote sensing images for quantitatively identify
 124 the land-use transitions[18]. Then, the land cover of the Erhai Lake Basin was classified into eight
 125 major types, including grassland, cropland, forest, garden, building, mining land, snow, and water.

126

Table 1. Information of Landsat TM/ETM+/OLI images used in this study.

Sensor	Path/row	Acquisition Date	Resolution
TM	131/42	1988-02-09	30
TM	131/42	1990-12-31	30
TM	131/42	1995-12-13	30
ETM+	131/42	2000-12-02	30
TM	131/42	2005-01-06	30
TM	131/42	2010-12-22	30
OLI	131/42	2015-03-07	30
OLI	131/42	2020-01-16	30

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131 **Author Contributions:** The authors undertook different tasks for this paper. Jialin Wang wrote and revised the
 132 paper. Jianzhong Lu gave the help for comments and discussions. Xingxing Han designed the research. Zhan
 133 zhang analyzed the data. Xiaoling Chen provided direction to the research work. All authors have read and
 134 approved the final manuscript.

135 **Conflicts of Interest:** The authors declare no conflict of interest.

136 Abbreviations

137 The following abbreviation is used in this manuscript:

138 Chlorophyll-a: chl-a

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