

1 *Conference Proceedings Paper*

2 **Dark matter annual modulation results from the** 3 **ANAIS-112 experiment**

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15 **Abstract:** An annual modulation in the interaction rate of galactic dark matter particles is foreseen
16 due to the Earth's movement around the Sun; the DAMA/LIBRA observation of a modulation signal
17 compatible with expectations is intriguing the community for twenty years. The ANAIS-112
18 experiment, with a target of 112.5 kg of NaI(Tl), is running smoothly at the Canfranc Underground
19 Laboratory (Spain) since 2017, aiming to test this observation using the same detection technique
20 and target. Results on the modulation search from two years of data (220.7 kg·y) have been
21 presented and the analysis of three years (313.6 kg·y) is underway. Under the hypothesis of
22 modulation, the deduced amplitudes from best fits are in all cases compatible with zero for the two
23 energy regions at [2-6] and [1-6] keV; the results agree with the expected sensitivity for the
24 considered exposure, which fully supports the goal of achieving a 3σ sensitivity to explore the
25 DAMA/LIBRA result for a five-year operation. Here, the ANAIS-112 set-up and performance will
26 be briefly reminded and the annual modulation results and prospects will be discussed.

27 **Keywords:** dark matter; WIMPs; direct detection; annual modulation; NaI scintillators

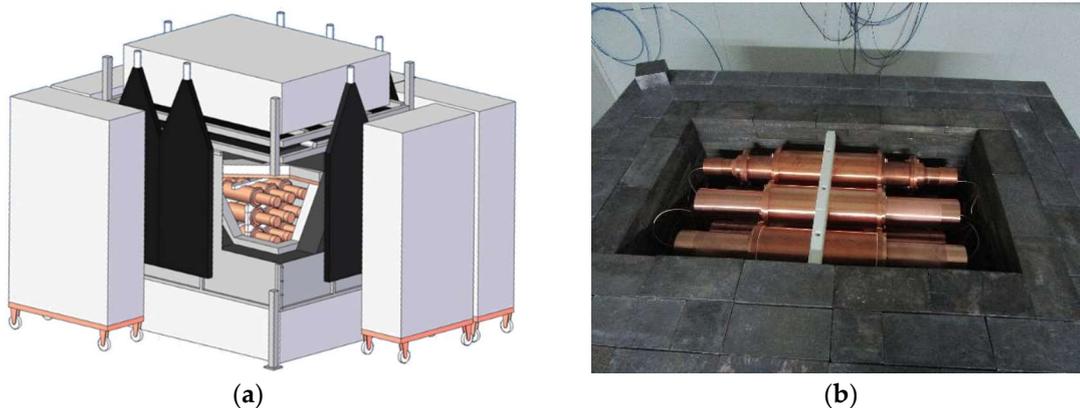
29 **1. Introduction**

30 The direct detection of dark matter particles, like the so-called Weakly Interacting Massive
31 Particles (WIMPs), which could populate the galactic halo, is mainly based on their elastic scattering
32 off target nuclei in suitable detectors [1]. As the Earth moves around the Sun, the relative velocity
33 between the detector and the dark matter particles follows a time variation giving rise to a
34 modulation in the expected rate of interaction with well-defined features [2]. The DAMA/LIBRA
35 experiment, taking data with about 250 kg of NaI(Tl) detectors at the Gran Sasso Underground
36 Laboratory in Italy, has reported the presence of an annual modulation signal with all the expected
37 properties at a confidence level of 12.9σ , from an exposure of 2.46 t·y accumulated over two decades
38 [3,4]. Taking into account the results presented by other direct detection experiments using different
39 targets (see for instance [1]), a tension appears if considering the DAMA/LIBRA signal as due to dark
40 matter, assuming common but also more generic interaction or halo models. In this context, to prove
41 or disprove the DAMA/LIBRA observation in a model-independent way and using the same target
42 and technique would be essential and this is the goal of the ANAIS experiment [5]. Other projects all

43 over the world have undertaken this task too, like COSINE-100 (also taking data and with first annual
44 modulation results for an exposure of 97.7 kg·y released [6]) and SABRE [7], PICOLON [8] and
45 COSINUS [9], being in preparation.

46 2. Experiment

47 The ANAIS-112 experiment, located at the Canfranc Underground Laboratory (LSC), in Spain,
48 started the data-taking phase in August, 2017. A full description of the set-up, shown in Figure 1, can
49 be found in [10]. ANAIS-112 includes an array of 3x3 NaI(Tl) scintillators, with a crystal mass of 12.5
50 kg each, having a total mass of 112.5 kg. ANAIS detectors were manufactured by the Alpha Spectra
51 Inc. company in Colorado (US) and two Hamamatsu photomultipliers (PMTs), model R12669SEL2,
52 were coupled to each crystal through quartz windows at the LSC clean room. The copper enclosure
53 has a Mylar window (allowing to perform low energy calibration using external sources) and
54 detectors have shown an outstanding optical quality; together with the high-efficiency of the PMTs
55 used, this has allowed to achieve a light collection of ~ 15 photoelectrons (phe) per keV for all the
56 detector units [11]. The shielding of ANAIS-112 is made of 10 cm of archaeological lead followed by
57 20 cm of low activity lead against the external gamma radiation, a box filled with radon-free N_2 gas
58 to avoid radon intrusion being under overpressure and 40 cm of polyethylene and water to moderate
59 neutrons. Sixteen plastic scintillators acting as active veto cover the sides and top of the set-up to tag
60 residual muons. The LSC facilities are placed at a depth of 2450 m.w.e..
61



62 **Figure 1.** Set-up of the ANAIS-112 experiment: (a) Schematic view showing the nine modules and
63 the full shielding; (b) Picture taken during ANAIS-112 commissioning with detectors, lead shielding
64 and calibration system visible.
65

66 The ANAIS-112 hardware and software for data acquisition, fine-tuned in the previous
67 operation of s_j prototypes, is also described in [10]. The PMT electric pulses taken from the detectors
68 are processed for trigger and for signals at both high and low energy. The waveform of the low-
69 energy signal is recorded at 2 GS/s. For each PMT, the trigger is at phe level; the coincidence (logical
70 AND) in a 200 ns window of the two PMT signals from a module gives the acquisition trigger. Every
71 two weeks, measurements with ^{109}Cd sources are made for calibration and correction of potential
72 gain drifts, if necessary. An accurate calibration in the low energy range down to the threshold is
73 performed by considering both the information from the ^{109}Cd calibration measurements with that
74 from ^{40}K and ^{22}Na emissions from crystal contaminations corresponding to 3.2 and 0.87 keV
75 (identified by the coincidences with the corresponding high-energy gammas). Even though
76 triggering with virtually 100% efficiency below 1 keV¹ has been shown by observing ^{22}Na events,

¹ All the energies given in the paper correspond to electron equivalent energies.

77 the analysis threshold has been set at 1 keV; due to the selection criteria established for the rejection
78 of non-scintillation events, the acceptance efficiencies decrease up to ~15% at 1 keV.

79 3. Results

80 In the analysis protocol of ANAIS-112, the energy distribution of single-hit events (those
81 depositing energy at only one detector) in the region of interest is blinded. After the first year of data
82 taking and before performing the first annual modulation analysis, 10% of acquired events (randomly
83 chosen) were unblinded in order to perform the final tuning of the procedures for event rejection [10],
84 to make a complete background analysis and characterization [12] and to evaluate the experiment
85 sensitivity [13].

86 Background models have been developed for each one of the nine ANAIS-112 detectors based
87 on the quantified radioactivity of the different components, independently estimated following
88 several approaches [12,14]. The spectra measured in different energy regions and conditions are well
89 reproduced in the models. The measured, efficiency-corrected background level is 3.58 ± 0.02
90 c/keV/kg/day from 1 to 6 keV; the bulk contamination of NaI(Tl) crystals was identified as the main
91 background source, being contributions of ^{210}Pb and ^{40}K and of cosmogenic ^{22}Na and ^3H the most
92 relevant ones [15,16].

93 Results on annual modulation from ANAIS-112 data were firstly presented after unblinding 1.5
94 years of data, corresponding to an exposure of 157.55 kg·y, applying the designed analysis protocol
95 [5]. Using the same procedure, a new modulation analysis was performed for the first 2 years of data
96 taken until the beginning of September 2019, corresponding to 220.69 kg·y [17]. This model-
97 independent analysis carried out is intended to search for modulation in the energy regions, [1-6]
98 keV and [2,6] keV, analyzed by DAMA/LIBRA. A least-squared fit is made considering the measured
99 rate R over the time t , summing all modules, as:

$$R(t) = R_0 + R_1 \exp(-t/\tau) + S_m \cos(\omega(t - t_0)), \quad (1)$$

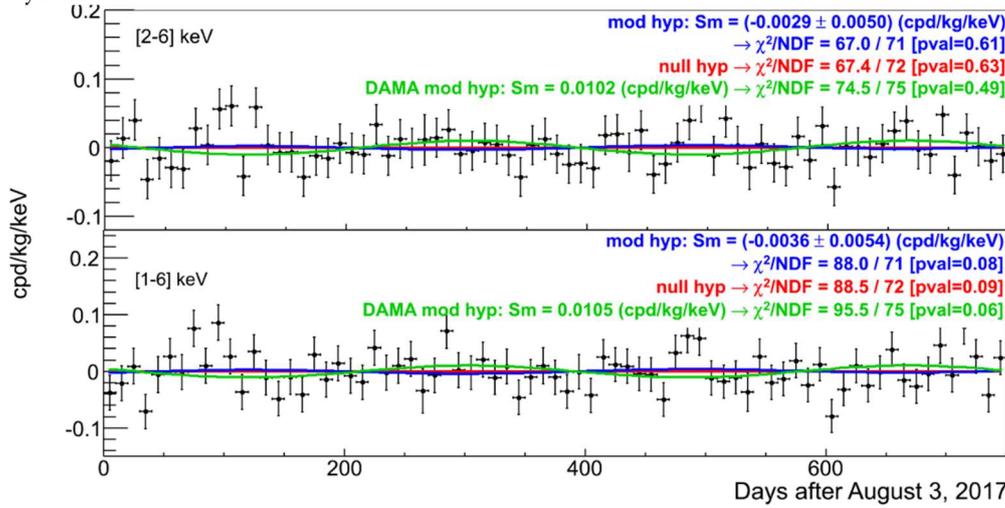
100 where R_0 and R_1 are free. The τ parameter is fixed from the time evolution given by the background
101 model for the considered energy region. The period and phase, related to ω and t_0 , are fixed as 1 y
102 and maximum at June, 2nd, following the predictions for a standard galactic halo. The modulation
103 amplitude, S_m , is set to zero when considering the null hypothesis. Figure 2 presents the fit of the
104 counting rate to Equation 1 for the two considered energy regions. Results are consistent with the
105 null hypothesis; the best fits for the amplitude under the modulation hypothesis are $S_m = -0.0029 \pm$
106 0.0050 c/keV/kg/day and $S_m = -0.0036 \pm 0.0054$ c/keV/kg/day for the [2-6] and [1-6] keV energy regions,
107 respectively. These values are compatible at 1σ with the absence of modulation and incompatible
108 with DAMA/LIBRA result at $\sim 2.6\sigma$. Now, the analysis of the first 3 years of data accumulated
109 (corresponding to 313.6 kg·y) is underway.

110 4. Discussion

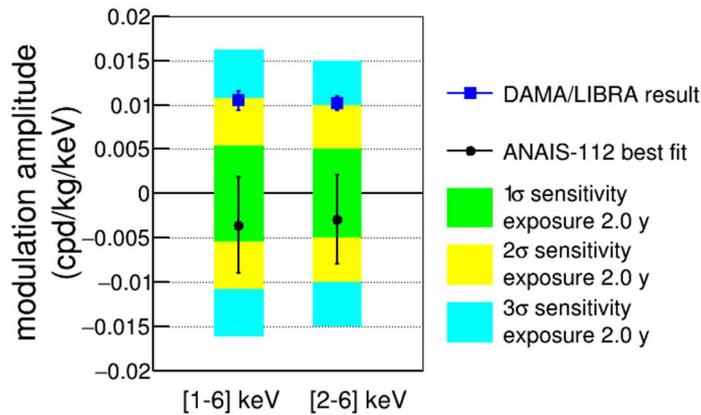
111 Figure 3 compares these results obtained from ANAIS-112 data for the modulation amplitude
112 S_m to those obtained by DAMA/LIBRA [3]; in addition, the estimated sensitivity of ANAIS-112 at
113 different confidence levels is depicted.

114 The obtained results from the analysis of ANAIS-112 two-year data fully confirm the sensitivity
115 prospects previously evaluated [13], being at 2σ level for 2 years. This supports the achievement of
116 3σ for 5 years of data, as shown in Figure 4, which presents the ANAIS-112 projected sensitivity to
117 DAMA/LIBRA (in units of σ C.L) as a function of time. This sensitivity is quoted as the ratio between
118 the measured DAMA/LIBRA modulation and the standard deviation on S_m from ANAIS-112.

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121 **Figure 2.** Results of the fit of ANAIS-112 data for first 2 years to Equation 1 in the two energy regions
122 of [1-6] and [2-6] keV in the modulation (blue) and null hypothesis (red). The non-modulated
123 components have been subtracted after fitting. DAMA/LIBRA results are shown too (in green) for
124 comparison.



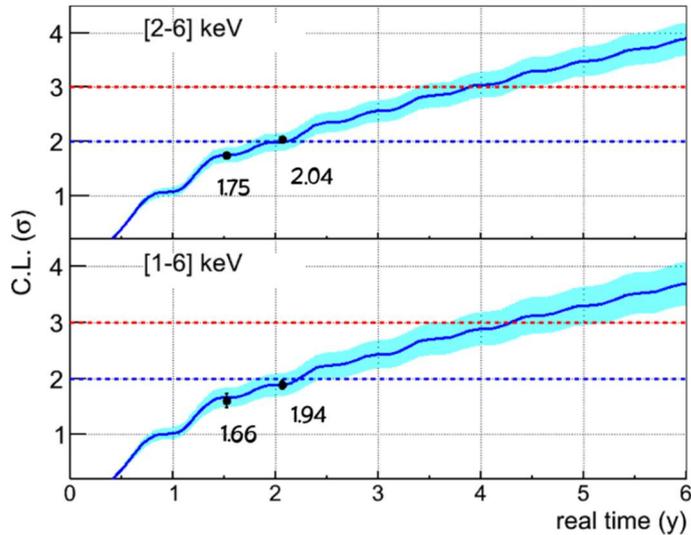
125 **Figure 3.** Comparison between ANAIS-112 best fit for the annual modulation amplitude S_m from the
126 first 2 years of data and DAMA/LIBRA result [3], for the two considered energy regions. The
127 estimated sensitivity is presented too at several confidence levels as coloured bands: 1σ (green), 2σ
128 (yellow) and 3σ (cyan).

129 5. Conclusions

130 The ANAIS-112 experiment aims at the confirmation or refutation of the annual modulation
131 signal observed over two decades by the DAMA/LIBRA experiment at the Gran Sasso Underground
132 Laboratory. It operates at the Canfranc Underground Laboratory with 112.5 kg of NaI(Tl) crystals
133 and the data taking for the dark matter search is progressing smoothly since 2017. For the first 2 years
134 of data collected (220.69 kg·y), the modulation amplitudes derived for the [2-6] and [1-6] keV energy
135 regions ($S_m = -0.0029 \pm 0.0050$ c/keV/kg/day and $S_m = -0.0036 \pm 0.0054$ c/keV/kg/day, respectively) are
136 compatible with modulation absence and incompatible with DAMA/LIBRA observation at
137 2.6σ , being the experimental sensitivity at 2σ . The preliminary analysis of the first 3 years of data
138 (313.6 kg·y) seem to corroborate these conclusions; the publication of these results is in preparation.
139 Being fully confirmed the ANAIS-112 sensitivity prospects, the capability of testing DAMA/LIBRA at

140 3σ when collected 5 years of data (expected for August 2022) is guaranteed, which will shed light to
141 the long-standing DAMA/LIBRA conundrum.

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144 **Figure 4.** ANAIS-112 sensitivity to DAMA/LIBRA signal in units of σ C.L. as a function of time. Cyan
145 bands show the 68% C.L. DAMA/LIBRA uncertainty in the modulation amplitude. Black dots and
146 numbers give the experimental sensitivities obtained in the two ANAIS-112 annual modulation
147 analysis carried out, for 1.5 and 2 years.

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157 commissioned the experiment; J.A., D.C., M.M., M.A.O., Y.O., A.O. and M.L.S. performed calibration and
158 maintenance of the experiment; M.A.O., M.M. and M.L.S. developed analysis tools; I.C., M.M., J.P. and M.L.S.
159 analyzed the data; S.C. and P.V. developed background simulation codes; E.G., A.O. and J.P. performed
160 radiopurity measurements; I.C., E.G., M.M., and J.P. contributed to sensitivity estimates; S.C. prepared the
161 manuscript.

162 **Conflicts of Interest:** The authors declare no conflict of interest. The founding sponsors had no role in the design
163 of the study; in the collection, analyses, or interpretation of data; in the writing of the manuscript, and in the
164 decision to publish the results.

165 Abbreviations

166 The following abbreviations are used in this manuscript:

167 ANAIS: Annual modulation with NAIs Scintillators

168 COSINUS: Cryogenic Observatory for Signatures seen in Next-generation Underground Searches

169 DAMA/LIBRA: DArk MATter/Large sodium Iodide Bulk for RAre processes

170 LSC: “Laboratorio Subterráneo de Canfranc” (Canfranc Underground Laboratory)

171 phe: photoelectron

172 PICOLON: Pure Inorganic Crystal Observatory for LOw-energy Neutr(al)ino

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173 PMT: Photomultiplier Tube

174 SABRE: Sodium-iodide with Active Background REjection

175 WIMP: Weakly Interacting Massive Particle

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